

Exactly Solvable Quantum Mechanics and Infinite Families of Multi-indexed Orthogonal Polynomials

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Outline

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Discovery of ∞ Multi-Indexed Orthogonal Polynomials

- Infinitely many *orthogonal polynomials satisfying second order differential equations*, after Hermite, Laguerre and Jacobi polynomials (Odake-Sasaki, 2009-11)
- called Infinite Families of **Multi-Indexed orthogonal polynomials** $P_{\mathcal{D},n}(x)$, $\mathcal{D} = \{d_1, \dots, d_M\}$, $d_j \in \mathbb{N}$:

$$\int P_{\mathcal{D},n}(x)P_{\mathcal{D},m}(x)\mathcal{W}_{\mathcal{D}}(x)dx = h_{\mathcal{D},n}\delta_{nm}$$

- Solutions of *exactly solvable Schrödinger eq.*
- degree $\ell + n$ polynomial in x , but **forming a complete set**,
- **No three term recurrence relations**
- *global solutions* of Fuchsian differential equations with $3 + \ell$ *regular singularities*.

∞ -many Exceptional Orthogonal Polynomials

- for $\mathcal{D} = \{\ell\}$, $\ell \geq 1 \Rightarrow$ Exceptional orthogonal (Jacobi & Laguerre, 2009) polynomials $\ell = 1, 2, \dots$, $P_{\ell,n}(x)$, $n = 0, 1, 2, \dots$, (n counts nodes in (x_1, x_2)):

$$\int_{x_1}^{x_2} P_{\ell,n}(x)P_{\ell,m}(x)\mathcal{W}_{\ell}(x)dx = h_{\ell,n}\delta_{nm}$$

- generalisation: *Exceptional (X_{ℓ}) Wilson, Askey-Wilson, Meixner-Pollaczek, continuous Hahn, Racah, q -Racah, dual Hahn, dual q -Hahn, little q -Jacobi, Meixner polynomials* are also discovered as solutions of *exactly solvable difference Schrödinger eq.* (discrete quantum mechanics) (Odake-Sasaki, '09, '10, '11)

Fuchsian Differential Equations 1: overview

$$y'' + f(x)y' + g(x)y = 0, \quad f(x) = \frac{\alpha}{x - x_0} + \sum_{n=0}^{\infty} f_n(x - x_0)^n,$$

$$g(x) = \frac{\beta}{(x - x_0)^2} + \frac{\gamma}{x - x_0} + \sum_{n=0}^{\infty} g_n(x - x_0)^n$$

x_0 : regular singularity

- singular solutions $y_j = (x - x_0)^{\rho_j} \left(1 + \sum_{n=1}^{\infty} a_n(x - x_0)^n\right)$
- ρ_1, ρ_2 : characteristic exponents $\rho(\rho - 1) + \alpha\rho + \beta = 0$
- regular singularities only \Rightarrow Fuchsian equation
- local theory only

Fuchsian Differential Equations 2: examples

- 3 regular singularities at 0, 1, ∞ : **Gauss hypergeometric eq.**

$$x(1-x)y'' + (\gamma - (\alpha + \beta + 1)x)y' - \alpha\beta y = 0$$

- **solutions around $x = 0$: $\rho_1 = 0, \rho_2 = 1 - \gamma$**

$$y_1 = {}_2F_1(\alpha, \beta; \gamma | x) = \sum_{n=0}^{\infty} \frac{(\alpha)_n (\beta)_n}{(\gamma)_n} \frac{x^n}{n!},$$

$$y_2 = x^{1-\gamma} {}_2F_1(\alpha - \gamma + 1, \beta - \gamma + 1; 2 - \gamma | x)$$

hypergeometric function \Rightarrow **globally continued**

- 4 regular singularities 0, 1, ∞, t : **Heun equation**
- more than 4 regular singularities: global solution virtually **unknown**

Fuchsian Differential Equations 3

- if $\rho_2 - \rho_1 = n \in \mathbb{N}$: possible log terms (Frobenius)
- if $\rho_2 - \rho_1 = n \in \mathbb{N}$ and **no log terms** \Rightarrow **apparent singularity**
- apparent singularity of Schrödinger eq. (at $x = 0$)

$$\mathcal{H} = -\frac{d^2}{dx^2} + \frac{\alpha}{x^2} + \frac{\beta}{x} + \text{regular terms}$$

	α	$\rho_2 - \rho_1$	
	0	1	regular
$\rho = \frac{1 \pm \sqrt{1 + 4\alpha}}{2}$	3/4	2	Painlevé case
	2	3	Darboux trans.
	15/4	4	??
	\vdots	\vdots	

- if all extra singularities are apparent \Rightarrow **global solutions possible**

History

- $\ell = 1$ exceptional Laguerre and Jacobi polynomials, introduced by Gómez-Ullate et al (July '08) within Sturm-Liouville theory, by avoiding **Bochner's theorem**
- quantum mechanical reformulation with shape-invariant potentials by Quesne (July '08)
- $\forall \ell \geq 1$ exceptional Laguerre and Jacobi polynomials discovered by Odake-Sasaki (June '09)
- new (type II) $\ell = 2$ exceptional Laguerre & Jacobi introduced by Quesne (June '09)
- $\forall \ell \geq 2$ type II exceptional Laguerre & Jacobi introduced by Odake-Sasaki (Nov. '09)
- Gómez-Ullate et al discussed type II $\mathcal{D} = \{m_1, m_2\}$ (March '11)

General Structure of Factorised Hamiltonians

Problem: Find the **complete set of eigenvalues and eigenfunctions**

$$\mathcal{H}\phi_n(x) = \mathcal{E}_n\phi_n(x), \quad \int \phi_n^2(x) dx < \infty, \quad n = 0, 1, 2, \dots,$$

by adjusting the const. of $\mathcal{H} \Rightarrow \mathcal{E}_0 = 0$

\Rightarrow **Positive Semi-Definite** Hamiltonian \mathcal{H} (Hermitian Matrix)

$$0 = \mathcal{E}_0 < \mathcal{E}_1 < \mathcal{E}_2 < \dots, \quad \Rightarrow \quad \mathcal{H} = \mathcal{A}^\dagger \mathcal{A}$$

$$\mathcal{A} = d/dx - dw(x)/dx, \quad \mathcal{A}^\dagger = -d/dx - dw(x)/dx,$$

$\phi_0(x)$: groundstate wavefunction, no node, square integrable

$$\phi_0(x) = e^{w(x)}, \quad w(x) \in \mathbb{R}: \text{prepotential } \mathcal{A}\phi_0(x) = 0$$

$$\mathcal{H} = -d^2/dx^2 + V(x), \quad V(x) = (dw(x)/dx)^2 + d^2w(x)/dx^2$$

Orthogonality of Eigenfunctions

$$\mathcal{H}\phi_n = \mathcal{A}^\dagger \mathcal{A}\phi_n = \mathcal{E}_n \phi_n$$

$$0 = \mathcal{E}_n(\phi_m, \phi_n) = (\phi_m, \mathcal{A}^\dagger \mathcal{A}\phi_n) = (\mathcal{A}\phi_m, \mathcal{A}\phi_n), \quad m \neq n$$

$\{\mathcal{A}\phi_n\}$, $n = 1, 2, \dots$: a new orthogonal system

$\phi_n^{[1]}(x) \stackrel{\text{def}}{=} \mathcal{A}\phi_n(x)$ is the eigenvector of the first associated
Hamiltonian $\mathcal{H}^{[1]} \stackrel{\text{def}}{=} \mathcal{A}\mathcal{A}^\dagger$

Structure of Associated Hamiltonians

associated Hamiltonian $\mathcal{H}^{[1]} \stackrel{\text{def}}{=} \mathcal{A}\mathcal{A}^\dagger$ intertwining relation

$$\mathcal{A}\mathcal{A}^\dagger\mathcal{A} = \mathcal{A}\mathcal{H} = \mathcal{H}^{[1]}\mathcal{A}, \quad \mathcal{A}^\dagger\mathcal{A}\mathcal{A}^\dagger = \mathcal{A}^\dagger\mathcal{H}^{[1]} = \mathcal{H}\mathcal{A}^\dagger$$

essentially **iso-spectral**

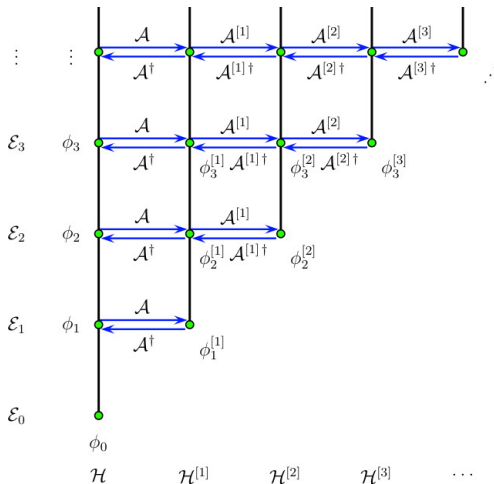
$$\begin{aligned} \phi_n^{[1]}(x) &\stackrel{\text{def}}{=} \mathcal{A}\phi_n(x), \quad \phi_n(x) = \mathcal{A}^\dagger/\mathcal{E}_n\phi_n^{[1]}(x), \quad n = 1, 2, \dots, \\ &\Rightarrow \mathcal{H}^{[1]}\phi_n^{[1]}(x) = \mathcal{E}_n\phi_n^{[1]}(x), \quad n = 1, 2, \dots \end{aligned}$$

removing the groundstate energy \mathcal{E}_1 from $\mathcal{H}^{[1]}$

\Rightarrow positive semi-definite

\Rightarrow factorisable $\mathcal{H}^{[1]} = \mathcal{A}^{[1]\dagger}\mathcal{A}^{[1]} + \mathcal{E}_1$ **repeat!!**

Schematic Picture of Crum's Theorem '55



deleting the eigenstates $\phi_1, \phi_2, \phi_3, \dots$ successively

Eigenfunctions etc for Crum's Theorem '55

in terms of Wronskian

$$\mathcal{H}^{[M]} \phi_n^{[M]}(x) = \mathcal{E}_n \phi_n^{[M]}(x) \quad (n = M, M + 1, \dots),$$

$$\phi_n^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\phi_0, \phi_1, \dots, \phi_{M-1}, \phi_n](x)}{W[\phi_0, \phi_1, \dots, \phi_{M-1}](x)},$$

$$(\phi_m^{[M]}, \phi_n^{[M]}) = \prod_{j=0}^{M-1} (\mathcal{E}_n - \mathcal{E}_j) \cdot h_n \delta_{mn},$$

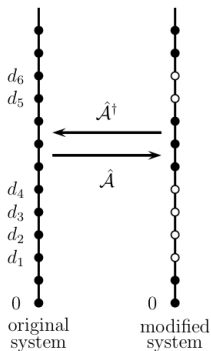
$$U^{[M]}(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log |W[\phi_0, \phi_1, \dots, \phi_{M-1}](x)|,$$

also called **M-step Darboux-Crum transformation**

Schematic Picture of Modified Crum's Theorem

delete eigenstates $\mathcal{D} \stackrel{\text{def}}{=} \{d_1, d_2, \dots, d_M\}$, $d_j \geq 0$, $\prod_{j=1}^M (m - d_j) \geq 0$,

$\forall m \in \mathbb{Z}_{\geq 0}$



Eigenfunctions etc for Modified Crum's Theorem

by Krein-Adler ('57, '94)

$$\mathcal{H}^{[M]} \phi_n^{[M]}(x) = \mathcal{E}_n \phi_n^{[M]}(x) \quad (n \in \mathbb{Z}_{\geq 0} \setminus \mathcal{D}),$$

$$\phi_n^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\phi_{d_1}, \phi_{d_2}, \dots, \phi_{d_M}, \phi_n](x)}{W[\phi_{d_1}, \phi_{d_2}, \dots, \phi_{d_M}](x)},$$

$$(\phi_m^{[M]}, \phi_n^{[M]}) = \prod_{j=1}^M (\mathcal{E}_n - \mathcal{E}_{d_j}) \cdot h_n \delta_{m n},$$

$$U^{[M]}(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log |W[\phi_{d_1}, \phi_{d_2}, \dots, \phi_{d_M}](x)|$$

exactly solvable \Rightarrow exactly solvable

Darboux Transformation

underlying logic is the **Darboux transformation**

any Schrödinger operator $\mathcal{H} = -\frac{d^2}{dx^2} + U(x)$, and any two solutions (not necessarily eigenstates) $\mathcal{H}\phi(x) = E\phi(x)$, $\mathcal{H}\tilde{\phi}(x) = \tilde{E}\tilde{\phi}(x)$,
 $\Rightarrow \phi'(x) \stackrel{\text{def}}{=} \left(d/dx - \partial_x \tilde{\phi} / \tilde{\phi}(x) \right) \phi(x)$ is a solution of another

$\mathcal{H}' = -\frac{d^2}{dx^2} + U'(x)$, $U'(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log \tilde{\phi}(x)$, with the same energy $\mathcal{H}'\phi'(x) = E\phi'(x)$

In general $U'(x)$ has singularities at the zeros of $\tilde{\phi}(x)$:

$$\tilde{\phi}(x) \sim \prod_j (x - x_j),$$

$$U'(x) = U(x) + \sum_j \frac{2}{(x - x_j)^2}, \quad \text{regular singularity } \rho_j = 2, -1$$

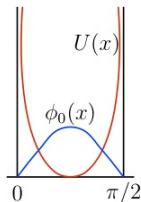
Crum, Krein-Adler give prescriptions of **no-singularities** in (x_1, x_2)

New Ingredient: Virtual State Solutions

- **negative energy** $\tilde{\mathcal{E}} < 0$: positivity of the norm guaranteed
- **No Zeros** in (x_1, x_2) : \Rightarrow No Singularity in the domain
- **non-square-integrable** due to the boundary condition at one boundary
- the above three properties of virtual state solutions are **inherited** at each step of Darboux transformation
- polynomial solutions \Rightarrow Multi-indexed Orthogonal polynomials
- $\mathcal{D} = \{\ell\}$, $\ell \geq 1$: one-step Darboux transformation
 \Rightarrow **exceptional orthogonal polynomials**

Example: Pöschl-Teller potential \Rightarrow Jacobi Polynomial

- $\mathcal{H} = -\frac{d^2}{dx^2} + U(x)$, $U(x) = \frac{g(g-1)}{\sin^2 x} + \frac{h(h-1)}{\cos^2 x} - (g+h)^2$,
 regular sing. $x = 0, g, 1-g, x = \pi/2, h, 1-h$, $\lambda = \{g, h\}$,
- groundstate wavefunct. $\phi_0(x) = (\sin x)^g (\cos x)^h$, $g, h > 0$,
 $w(x; \lambda) = g \log \sin x + h \log \cos x$, $0 < x < \pi/2$,
- $\mathcal{E}_n(\lambda) = 4n(n+g+h)$, $\eta(x) \stackrel{\text{def}}{=} \cos 2x$
- $\phi_n(x; \lambda) = \phi_0(x) P_n^{(g-1/2, h-1/2)}(\eta(x))$, P_n : **Jacobi polynomial**



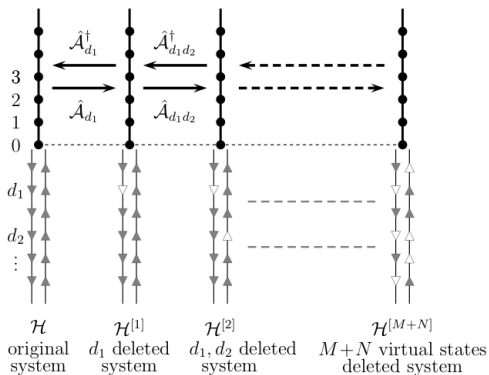
Multi-Indexed Orthogonal Polynomials 1

- Pöschl-Teller potential has **virtual state solutions**, type I and II, **generated by** the **discrete symmetry** of the potential:
 $g \rightarrow 1 - g$, and/or $h \rightarrow 1 - h$
- **negative energy** and **non-square integrable**

$$\mathcal{H}\tilde{\phi}_v(x) = \tilde{\mathcal{E}}_v \tilde{\phi}_v(x), \quad \tilde{\mathcal{E}}_v < 0 \quad (\tilde{\phi}_v, \tilde{\phi}_v) = (1/\tilde{\phi}_v, 1/\tilde{\phi}_v) = \infty$$

- they have **no zeros** in $x \in (0, \pi/2)$
- **delete virtual states à la Adler** $\mathcal{D} \stackrel{\text{def}}{=} \{d_1^I, \dots, d_M^I, d_1^{II}, \dots, d_N^{II}\}$,
 $d_j^{I,II} \geq 1$

Schematic Picture of Virtual States Deletion



Eigenfunctions etc after Virtual States Deletion

$$\mathcal{H}^{[M]} \phi_n^{[M]}(x) = \mathcal{E}_n \phi_n^{[M]}(x) \quad (n \in \mathbb{Z}_{\geq 0}),$$

$$\mathcal{H}^{[M]} \tilde{\phi}_v^{[M]}(x) = \tilde{\mathcal{E}}_v \tilde{\phi}_v^{[M]}(x) \quad (v \in \mathcal{V} \setminus \mathcal{D}),$$

$$\phi_n^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}, \phi_n](x)}{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}](x)},$$

$$(\phi_m^{[M]}, \phi_n^{[M]}) = \prod_{j=1}^M (\mathcal{E}_n - \tilde{\mathcal{E}}_{d_j}) \cdot h_n \delta_{mn},$$

$$\tilde{\phi}_v^{[M]}(x) \stackrel{\text{def}}{=} \frac{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}, \tilde{\phi}_v](x)}{W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}](x)},$$

$$U^{[M]}(x) \stackrel{\text{def}}{=} U(x) - 2\partial_x^2 \log |W[\tilde{\phi}_{d_1}, \tilde{\phi}_{d_2}, \dots, \tilde{\phi}_{d_M}](x)|.$$

shape inv. **exactly solvable** \Rightarrow shape inv. **exactly solvable**

Multi-Indexed Orthogonal Polynomials 2

- explicit forms of type I virtual states

$$\begin{aligned}\tilde{\phi}_v^I(x) &\stackrel{\text{def}}{=} (\sin x)^g (\cos x)^{1-h} \xi_v^I(\eta(x); g, h), \\ \xi_v^I(\eta; g, h) &\stackrel{\text{def}}{=} P_v(\eta; g, 1-h), \quad v = 0, 1, \dots, [h - \frac{1}{2}]', \\ \tilde{\mathcal{E}}_v^I &\stackrel{\text{def}}{=} -4(g + v + \frac{1}{2})(h - v - \frac{1}{2}), \quad \tilde{\delta}^I \stackrel{\text{def}}{=} (-1, 1)\end{aligned}$$

- explicit forms of type II virtual states

$$\begin{aligned}\tilde{\phi}_v^{II}(x) &\stackrel{\text{def}}{=} (\sin x)^{1-g} (\cos x)^h \xi_v^{II}(\eta(x); g, h), \\ \xi_v^{II}(\eta; g, h) &\stackrel{\text{def}}{=} P_v(\eta; 1-g, h), \quad v = 0, 1, \dots, [g - \frac{1}{2}]', \\ \tilde{\mathcal{E}}_v^{II} &\stackrel{\text{def}}{=} -4(g - v - \frac{1}{2})(h + v + \frac{1}{2}), \quad \tilde{\delta}^{II} \stackrel{\text{def}}{=} (1, -1)\end{aligned}$$

Multi-Indexed Orthogonal Polynomials 3

- Multi-Indexed Orthogonal Polynomials $P_{\mathcal{D},n}(\eta)$:

$$\phi_n^{[M,N]}(x) \equiv \phi_{\mathcal{D},n}(x; \boldsymbol{\lambda}) = (-4)^{M+N} \psi_{\mathcal{D}}(x; \boldsymbol{\lambda}) P_{\mathcal{D},n}(\eta(x); \boldsymbol{\lambda}),$$

$$\psi_{\mathcal{D}}(x; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} \frac{\phi_0(x; \boldsymbol{\lambda}^{[M,N]})}{\Xi_{\mathcal{D}}(\eta(x); \boldsymbol{\lambda})},$$

- $\boldsymbol{\lambda}^{[M,N]} = (g + M - N, h - M + N)$,
 $\Xi_{\mathcal{D}}(\eta)$ has **no node** in $-1 < \eta < 1$;

- orthogonality

$$\int_{-1}^1 d\eta \frac{W(\eta; \boldsymbol{\lambda}^{[M,N]})}{\Xi_{\mathcal{D}}(\eta; \boldsymbol{\lambda})^2} P_{\mathcal{D},m}(\eta; \boldsymbol{\lambda}) P_{\mathcal{D},n}(\eta; \boldsymbol{\lambda}) = h_{\mathcal{D},n} \delta_{nm}$$

- Pearson's equation satisfied

Multi-Indexed Orthogonal Polynomials 4

- Explicit Forms

$$P_{\mathcal{D},n}(\eta; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} W[\mu_1, \dots, \mu_M, \nu_1, \dots, \nu_N, P_n](\eta) \\
\times \left(\frac{1-\eta}{2}\right)^{(M+g+\frac{1}{2})N} \left(\frac{1+\eta}{2}\right)^{(N+h+\frac{1}{2})M}$$

$$\Xi_{\mathcal{D}}(\eta; \boldsymbol{\lambda}) \stackrel{\text{def}}{=} W[\mu_1, \dots, \mu_M, \nu_1, \dots, \nu_N](\eta) \\
\times \left(\frac{1-\eta}{2}\right)^{(M+g-\frac{1}{2})N} \left(\frac{1+\eta}{2}\right)^{(N+h-\frac{1}{2})M}$$

$$\mu_j = \left(\frac{1+\eta}{2}\right)^{\frac{1}{2}-h} \xi_{d_j^I}^I(\eta; g, h), \quad \nu_j = \left(\frac{1-\eta}{2}\right)^{\frac{1}{2}-g} \xi_{d_j^{II}}^{II}(\eta; g, h)$$

- $P_{\mathcal{D},n}(\eta)$ degree $\ell + n$, $\Xi_{\mathcal{D}}(\eta)$ degree ℓ ;

$$\ell = \sum_{j=1}^M d_j^I + \sum_{j=1}^N d_j^{II} - \frac{1}{2}M(M-1) - \frac{1}{2}N(N-1) + MN \geq 1$$

How it started: X_1 Jacobi polynomials

- X_1 Jacobi Hamiltonian (Gomez-Ullate et al, Quesne et al, '08)

$$\mathcal{H} = -\frac{d^2}{dx^2} + \frac{g(g+1)}{\sin^2 x} + \frac{h(h+1)}{\cos^2 x} - (2+g+h)^2$$

$$+ \frac{8(g+h+1)}{1+g+h+(g-h)\cos 2x} - \frac{8(2g+1)(2h+1)}{(1+g+h+(g-h)\cos 2x)^2}$$

$$\phi_0(x) = (\sin x)^{g+1} (\cos x)^{h+1} \frac{3+g+h+(g-h)\cos 2x}{1+g+h+(g-h)\cos 2x}$$

$$\frac{P_1^{(g+2-3/2, -h-2-1/2)}(\cos 2x)}{P_1^{(g+1-3/2, -h-1-1/2)}(\cos 2x)}$$

- generalisation

$$w_\ell(x; \lambda) = (g + \ell) \log \sin x + (h + \ell) \log \cos x + \log \frac{\xi_\ell(\eta; \lambda + \delta)}{\xi_\ell(\eta; \lambda)}$$

$$\xi_\ell(\eta; \lambda) \stackrel{\text{def}}{=} P_\ell^{(g+\ell-3/2, -h-\ell-1/2)}(\eta), \quad \eta = \cos 2x$$

X_ℓ Jacobi Polynomials

- **shape invariance** can be verified directly

$$(\partial_x w_\ell(x; \lambda))^2 - \partial_x^2 w_\ell(x; \lambda) = (\partial_x w_\ell(x; \lambda + \delta))^2 + \partial_x^2 w_\ell(x; \lambda + \delta) + 4(g + h + 2\ell + 1)$$

- **eigenvalues** $\mathcal{E}_{\ell,n}(g, h) = \mathcal{E}_n(g + \ell, h + \ell) = 4n(n + g + h + 2\ell)$
- **eigenfunctions** $\phi_{\ell,n}(x; \lambda) = \psi_\ell(x; \lambda) P_{\ell,n}(\eta; \lambda)$

$$\psi_\ell(x; \lambda) \stackrel{\text{def}}{=} \frac{e^{w_0(x; \lambda + \ell\delta)}}{\xi_\ell(\eta; \lambda)}, \quad c_n \stackrel{\text{def}}{=} n + h + 1/2$$

$$P_{\ell,n}(\eta; \lambda) \stackrel{\text{def}}{=} c_n^{-1} \left((h + \frac{1}{2}) \xi_\ell(\eta; \lambda + \delta) P_n^{(g+\ell-3/2, h+\ell+1/2)}(\eta) + (1 + \eta) \xi_\ell(\eta; \lambda) \partial_\eta P_n^{(g+\ell-3/2, h+\ell+1/2)}(\eta) \right)$$

degree $\ell + n$ polynomial

X_ℓ Jacobi Polynomials 2: Fuchsian differential equation

- lowest degree $P_{\ell,0}(\eta; \lambda) = \xi_\ell(\eta; \lambda + \delta)$
- orthogonality

$$\int_0^{\pi/2} \psi_\ell(x; \lambda)^2 P_{\ell,n}(\cos 2x; \lambda) P_{\ell,m}(\cos 2x; \lambda) dx = h_{\ell,n}(\lambda) \delta_{nm}$$

- Fuchsian differential eq.

$$\begin{aligned} & (1 - \eta^2) \partial_\eta^2 P_{\ell,n}(\eta; \lambda) \\ & + \left(h - g - (g + h + 2\ell + 1)\eta - 2 \frac{(1 - \eta^2) \partial_\eta \xi_\ell(\eta; \lambda)}{\xi_\ell(\eta; \lambda)} \right) \partial_\eta P_{\ell,n}(\eta; \lambda) \\ & + \left(- \frac{2(h + \frac{1}{2})(1 - \eta) \partial_\eta \xi_\ell(\eta; \lambda + \delta)}{\xi_\ell(\eta; \lambda)} + \ell(\ell + g - h - 1) \right. \\ & \quad \left. + n(n + g + h + 2\ell) \right) P_{\ell,n}(\eta; \lambda) = 0 \end{aligned}$$

X_ℓ Jacobi Polynomials 3: Fuchsian differential equation 2

- regular singularities at ℓ roots of $\xi_\ell(\eta; \lambda)$, $\eta = \eta_j$:

$$\xi_\ell(\eta_j; \lambda) = 0, \quad j = 1, 2, \dots, \ell$$

- in the neighbourhood of $\eta = \eta_j$:

$$(1 - \eta_j^2)y'' - 2\frac{(1 - \eta_j^2)}{\eta - \eta_j}y' - 2(h + 1/2)(1 - \eta_j)\frac{\beta}{\eta - \eta_j}y + \text{regular terms} = 0$$

- characteristic eq.: same exponents everywhere

$$\rho(\rho - 1) - 2\rho = 0 \quad \Rightarrow \quad \rho = 0, 3$$

$\rho = 0$ corresponds to the polynomial solution

Other New Orthogonal Polynomial Solutions?

- Factorised Hamiltonian
- Crum-Krein-Adler Prescriptions, or Multi-step Darboux Transformations
- Virtual State Solutions
 - known for most of the hypergeometric orthogonal polynomials of Askey-scheme, (q) -Racah, Wilson, Askey-Wilson polynomials, etc
- Discrete Quantum Mechanics with second order difference Schrödinger equation instead of differential eq.
- virtual state solutions 'known' since Exceptional (q) -Racah Wilson, Askey-Wilson, continuous Hahn, polynomials etc have been constructed (odake-Sasaki, '09 -'11)

General Setting of Discrete Quantum Mechanics

- Hamiltonian contains the exponentiated momentum operator
differential equation \implies **difference equation**

$$\begin{aligned} p &= -i\hbar\partial_x & e^{\pm\beta p} &= e^{\mp i\hbar\partial_x} \\ \hbar &= 1 & e^{\pm\beta p}\psi(x) &= \psi(x \mp i\beta) \end{aligned}$$

- β : real \implies **pure imaginary shifts**
 x : continuous $x \in (a, b); (0, \pi), (0, \infty), (-\infty, +\infty)$
- β : pure imaginary \implies **real shifts**
 $\beta = \sqrt{-1}\gamma$ x : lattice with integral spacing $|\gamma| = 1$,
finite $(0, 1, \dots, N)$ or infinite $(0, 1, 2, \dots, \dots)$
- provides quantum mechanical treatments of various
orthogonal polynomials
- developed mostly with **Satoru Odake** in about 30 papers

Real Shifts Discrete Quantum Mechanics

Eigenvalue Problem of
 Finite or Infinite **Tri-Diagonal Symmetric** (Jacobi) Matrix

$$\mathcal{H} = \begin{pmatrix} B(0) & -\sqrt{B(0)D(1)} & 0 & \dots & \dots & 0 \\ -\sqrt{B(0)D(1)} & B(1) + D(1) & -\sqrt{B(1)D(2)} & 0 & \dots & \vdots \\ 0 & -\sqrt{B(1)D(2)} & B(2) + D(2) & -\sqrt{B(2)D(3)} & \dots & \vdots \\ \vdots & \dots & \dots & \dots & \dots & \vdots \\ \vdots & \dots & \dots & \dots & \dots & 0 \\ 0 & \dots & \dots & -\sqrt{B(8)D(9)} & B(9) + D(9) & -\sqrt{B(9)D(10)} \\ 0 & \dots & \dots & 0 & -\sqrt{B(9)D(10)} & D(10) \end{pmatrix}$$

$N = 10$ Example

$\mathcal{H} = \mathcal{A}^\dagger \mathcal{A}$: \mathcal{A} : diagonal and super-diagonal
 \mathcal{A}^\dagger : diagonal and sub-diagonal

- tri-diagonal \Rightarrow **Three term recurrence relation**
 for the **dual orthogonal polynomials**

Explicit Form of \mathcal{A}^\dagger and \mathcal{A}

$$\mathcal{A}^\dagger = \begin{pmatrix} \sqrt{B(0)} & 0 & 0 & \cdots & \cdots & 0 \\ -\sqrt{D(1)} & \sqrt{B(1)} & 0 & 0 & \cdots & \vdots \\ 0 & -\sqrt{D(2)} & \sqrt{B(2)} & 0 & \cdots & \vdots \\ \vdots & \cdots & \cdots & \cdots & \cdots & \vdots \\ \vdots & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & \cdots & \cdots & -\sqrt{D(9)} & \sqrt{B(9)} & 0 \\ 0 & \cdots & \cdots & 0 & -\sqrt{D(10)} & 0 \end{pmatrix},$$

$$\mathcal{A} = \begin{pmatrix} \sqrt{B(0)} & -\sqrt{D(1)} & 0 & \cdots & \cdots & 0 \\ 0 & \sqrt{B(1)} & -\sqrt{D(2)} & 0 & \cdots & \vdots \\ 0 & 0 & \sqrt{B(2)} & -\sqrt{D(3)} & \cdots & \vdots \\ \vdots & \cdots & \cdots & \cdots & \cdots & \vdots \\ \vdots & \cdots & \cdots & \cdots & \cdots & 0 \\ 0 & \cdots & \cdots & 0 & \sqrt{B(9)} & -\sqrt{D(10)} \\ 0 & \cdots & \cdots & 0 & 0 & 0 \end{pmatrix},$$

$N = 10$ Example

Factorised Hamiltonians 1: obviously self-adjoint

real shifts: discrete x

$$\begin{aligned} \mathcal{H} &\stackrel{\text{def}}{=} -\sqrt{B(x)} e^{\partial} \sqrt{D(x)} - \sqrt{D(x)} e^{-\partial} \sqrt{B(x)} + B(x) + D(x), \\ &= \mathcal{A}^\dagger \mathcal{A}, \quad \mathcal{A} = \sqrt{B(x)} - e^{\partial} \sqrt{D(x)}, \quad \mathcal{A}^\dagger = \sqrt{B(x)} - \sqrt{D(x)} e^{-\partial}, \\ B(x) &> 0, \quad D(x) > 0, \quad D(0) = 0; \quad B(N) = 0 \text{ finite case,} \\ \mathcal{H}\phi_n(x) &= \mathcal{E}_n \phi_n(x), \quad n = 0, 1, 2, \dots, \end{aligned}$$

groundstate eigenfunction ϕ_0 is annihilated by \mathcal{A} :

$$\mathcal{A}\phi_0(x) = 0 \Rightarrow \mathcal{H}\phi_0(x) = 0, \quad \phi_0^2(x) = \prod_{y=0}^{x-1} B(y)/D(y+1), \quad x = 1, 2, \dots$$

excitedstate eigenfunction $\phi_n(x) = \phi_0(x)P_n(\eta(x))$,

($\eta(x)$: sinusoidal coordinate) satisfy simple difference equation

$$\tilde{\mathcal{H}} \stackrel{\text{def}}{=} \phi_0(x)^{-1} \circ \mathcal{H} \circ \phi_0(x) = \left(B(x)(1 - e^{\partial}) + D(x)(1 - e^{-\partial}) \right),$$

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Factorised Hamiltonians 2: General Structure

real shifts: discrete x

- difference equation for the polynomials:

$$B(x) (P_n(\eta(x)) - P_n(\eta(x+1))) + D(x) (P_n(\eta(x)) - P_n(\eta(x-1))) \\ = \mathcal{E}_n P_n(\eta(x))$$

- orthogonality measures are trivially given by the **groundstate vector** $\phi_0(x)^2$

$$(\phi_n, \phi_m) = \sum_{x=0}^N \phi_0^2(x) P_n(\eta(x)) P_m(\eta(x)) = \frac{1}{d_n^2} \delta_{n,m}$$

- **duality** $P_n(\eta(x)) = Q_x(\mathcal{E}(n))$, three term recurrence of $Q_x(\mathcal{E})$:

$$B(x) (Q_x(\mathcal{E}) - Q_{x+1}(\mathcal{E})) + D(x) (Q_x(\mathcal{E}) - Q_{x-1}(\mathcal{E})) \\ = \mathcal{E} Q_x(\mathcal{E})$$

Explicit Examples, real shifts

real shifts: discrete x

- Hahn: $(0, 1, \dots, N)$, $\eta(x) = x$,

$$B(x) = (x + a)(N - x), \quad D(x) = x(b + N - x), \quad a > 0, b > 0,$$

$$\mathcal{E}_n = n(n + a + b - 1), \quad \phi_0(x)^2 = \frac{N!}{x!(N-x)!} \frac{(a)_x (b)_{N-x}}{(b)_N},$$

$$P_n(\eta(x)) = {}_3F_2\left(\begin{matrix} -n, n + a + b - 1, -x \\ a, -N \end{matrix} \middle| 1\right).$$

Explicit Examples, real shifts cont'd

- **Racah:** $(0, 1, \dots, N)$, $\eta(x) = x(x+d)$, $\tilde{d} \stackrel{\text{def}}{=} a+b+c-d-1$,

$$B(x) = -\frac{(x+a)(x+b)(x+c)(x+d)}{(2x+d)(2x+1+d)}, \quad \mathcal{E}_n = n(n+\tilde{d}),$$

$$D(x) = -\frac{(x+d-a)(x+d-b)(x+d-c)x}{(2x-1+d)(2x+d)},$$

$$a = -N, \quad 0 < d < a+b, \quad 0 < c < 1+d,$$

$$\phi_0(x)^2 = \frac{(a, b, c, d)_x}{(1+d-a, 1+d-b, 1+d-c, 1)_x} \frac{2x+d}{d},$$

$$P_n(\eta(x)) = {}_4F_3\left(\begin{matrix} -n, n+\tilde{d}, -x, x+d \\ a, b, c \end{matrix} \middle| 1\right)$$

Explicit Examples, real shifts cont'd 2

- q -Racah: $(0, 1, \dots, N)$, $\eta(x) = (q^{-x} - 1)(1 - dq^x)$

$$B(x) = -\frac{(1 - aq^x)(1 - bq^x)(1 - cq^x)(1 - dq^x)}{(1 - dq^{2x})(1 - dq^{2x+1})},$$

$$D(x) = -\tilde{d} \frac{(1 - a^{-1}dq^x)(1 - b^{-1}dq^x)(1 - c^{-1}dq^x)(1 - q^x)}{(1 - dq^{2x-1})(1 - dq^{2x})},$$

$$a = q^{-N}, \quad a = q^{-N}, \quad 0 < ab < d < 1, \quad qd < c < 1,$$

$$\tilde{d} < q^{-1}, \quad \tilde{d} \stackrel{\text{def}}{=} abcd^{-1}q^{-1}, \quad \mathcal{E}_n = (q^{-n} - 1)(1 - \tilde{d}q^n),$$

$$\phi_0(x)^2 = \frac{(a, b, c, d; q)_x}{(a^{-1}dq, b^{-1}dq, c^{-1}dq, q; q)_x} \frac{1 - dq^{2x}}{\tilde{d}^x (1 - d)},$$

$$P_n(\eta(x)) = {}_4\phi_3 \left(\begin{matrix} q^{-n}, \tilde{d}q^n, q^{-x}, dq^x \\ a, b, c \end{matrix} \middle| q; q \right).$$

Virtual state solutions: Type I

Racah case, t : twist operator, $\lambda = (a, b, c, d)$, $a = -N$

$$t(\lambda) \stackrel{\text{def}}{=} (d - a + 1, d - b + 1, c, d), \quad t^2 = \text{id},$$

$$B'(x; \lambda) \stackrel{\text{def}}{=} B(x; t(\lambda)), \quad D'(x; \lambda) \stackrel{\text{def}}{=} D(x; t(\lambda)),$$

$$B'(x; \lambda) = - \frac{(x + d - a + 1)(x + d - b + 1)(x + c)(x + d)}{(2x + d)(2x + 1 + d)},$$

$$D'(x; \lambda) = - \frac{(x + a - 1)(x + b - 1)(x + d - c)x}{(2x - 1 + d)(2x + d)},$$

$$\Rightarrow B(x)D(x + 1) = B'(x)D'(x + 1), \quad \alpha' \stackrel{\text{def}}{=} -c(a + b + c - d - 1) < 0,$$

$$B(x) + D(x) = B'(x) + D'(x) + \alpha',$$

$$B'(x) > 0 \quad (x = 0, 1, \dots, N + L - 1), \quad D'(x) > 0 \quad (x = 1, 2, \dots, N),$$

$$D'(0) = D'(N + 1) = 0,$$

Virtual state solutions: Type I, continued

$$\mathcal{H} = \mathcal{H}' + \alpha',$$

$$\begin{aligned} \mathcal{H}' &\stackrel{\text{def}}{=} -\sqrt{B'(x)} e^{\partial} \sqrt{D'(x)} - \sqrt{D'(x)} e^{-\partial} \sqrt{B'(x)} + B'(x) + D'(x) \\ &= \mathcal{A}'^{\dagger} \mathcal{A}', \quad \text{positive definite} \Rightarrow \text{no zero-mode} \end{aligned}$$

virtual solutions

$$\mathcal{H}' \tilde{\phi}_v(x) = \mathcal{E}'_v \tilde{\phi}_v(x) \quad (x = 0, 1, \dots, N-1), \quad \mathcal{H}' \tilde{\phi}_v(N) \neq \mathcal{E}'_v \tilde{\phi}_v(N),$$

$$\tilde{\phi}_v(x) = \tilde{\phi}_0(x) \check{\xi}_v(x), \quad \check{\xi}_v(x) \stackrel{\text{def}}{=} \xi_v(\eta(x)) \quad (x = 0, 1, \dots, N; v \in \mathcal{V}),$$

$$\mathcal{A}' \tilde{\phi}_0(x) = 0 \quad (x = 0, 1, \dots, N-1), \quad \mathcal{A}' \tilde{\phi}_0(N) \neq 0$$

$$\Rightarrow \tilde{\phi}_0(x) \stackrel{\text{def}}{=} \sqrt{\prod_{y=0}^{x-1} \frac{B'(y)}{D'(y+1)}} \quad (x = 0, 1, \dots, N),$$

$$B'(x)(\check{\xi}_v(x) - \check{\xi}_v(x+1)) + D'(x)(\check{\xi}_v(x) - \check{\xi}_v(x-1)) = \mathcal{E}'_v \check{\xi}_v(x).$$

Construction of Multi-Indexed (q)-Racah Polynomials

- discrete symmetries of (q)-Racah $a \leftrightarrow b \leftrightarrow c$
- virtual state solutions fail to satisfy the equation at one **boundary**

$$\text{type I : } \mathcal{H}\tilde{\phi}_v(x) = \tilde{E}_v\tilde{\phi}_v(x), \quad x = 0, 1, \dots, N-1$$

$$\mathcal{H}\tilde{\phi}_v(N) \neq \tilde{E}_v\tilde{\phi}_v(N),$$

$$\text{type II : } \mathcal{H}\tilde{\phi}_v(x) = \tilde{E}_v\tilde{\phi}_v(x), \quad x = 1, \dots, N$$

$$\mathcal{H}\tilde{\phi}_v(0) \neq \tilde{E}_v\tilde{\phi}_v(0),$$

- M -step Darboux tr. with virtual solns. \Rightarrow Multi-Indexed (q)-Racah will be published soon
- type I and II virtual solns **cannot coexist**
- $\mathcal{D} = \{\ell\} \Rightarrow$ exceptional (q)-Racah polynomials, Prog. Theor. Phys. **125** (2011) 851-870. arXiv:1102.0812[math-ph].

Curious Identities of Laguerre polynomials

- definition $h_n(x; \alpha) \stackrel{\text{def}}{=} L_n^{(\alpha+n)}(-x)$
- trivial expansion: $h_n(x; \alpha + 1) = \sum_{j=0}^n C_j h_{n-j}(x, \alpha)$
- C_j : independent of n and α
- C_j : all positive integer
- $C_j =$
 $\{1, 1, 2, 5, 14, 42, 132, 429, 1430, 4862, 16\,796, 58\,786, 208\,012, \dots\}$
- C_j : Catalan Numbers $C_j = \binom{2j}{j} / (j + 1)$
- verified by Mathematica to $n = 50$, 19 seconds, no proof yet
- not found in [On-line Encyclopedia of Integer Sequences](#)

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Summary and Outlook

- Infinitely many new orthogonal polynomials satisfying second order differential or difference equations are discovered recently.
Hopefully they will find many interesting applications. At least, they give infinitely many examples of **exactly solvable Birth and Death Processes**.
- Various concepts and methods of QM have much wider currency and utility in the theory of ordinary differential and difference equations than is usually regarded.
- Various properties of the Askey-scheme of hypergeometric orthogonal polynomials can be understood in a unified fashion, both of a continuous and a discrete variable.
- **Multi-variable Multi-Indexed Orthogonal polynomials** are the next challenge

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