

# Mixed type surfaces with bounded Gaussian curvature in three-dimensional Lorentzian manifolds

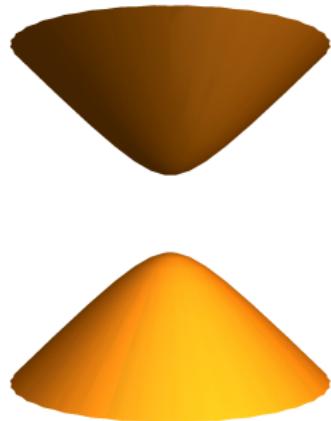
Atsufumi Honda (Yokohama)

Kentaro Saji (Kobe)

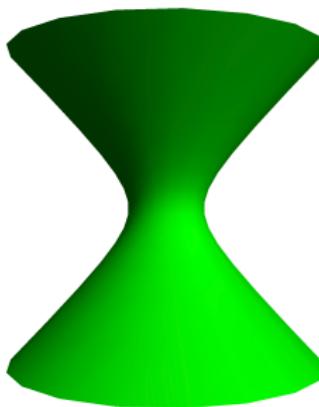
Keisuke Teramoto (Kobe)

March 23, 2019

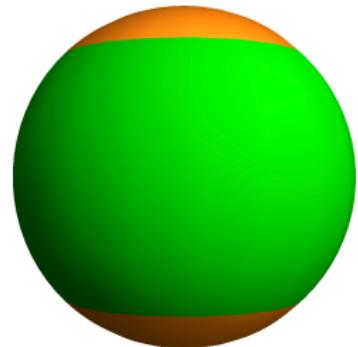
AMS Sectional Meeting AMS Special Session  
University of Hawaii at Manoa



Spacelike surface



Timelike surface



Mixed-type surface

- ▷ Today's talk :
  - **Lightlike points** ( $= T_p\Sigma$  is lightlike)  
 $\iff$  **Singular points** of the first fundamental form
  - Behavior of the **Gaussian curvature**  $K$  at lightlike points
- ▷ Introduce several invariants  $K_L$ ,  $K_N$  at lightlike points,  
which looks like '**'cuspidal edges'**'

# Contents

## (1) Introduction & Motivation

- What is mixed type surface?
- Mixed type surface with Zero Mean Curvature

## (2) Lightlike points of mixed type surfaces

- Lightlike points of the first kind, second kind,  $L_3$  points
- Lightlike singular curvature, lightlike normal curvature

## (3) Main result

Honda, Saji, Teramoto,

*Mixed type surfaces with bounded Gaussian curvature in three-dimensional Lorentzian manifolds* (arXiv:1811.11392).

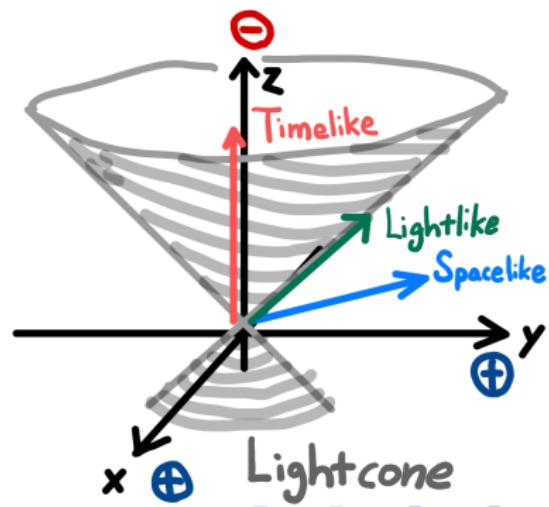
# (1) Introduction & Motivation

# Lorentz-Minkowski space $R_1^3$ (1/2)

- ▷ Lorentz-Minkowski 3-space :  $R_1^3 = (R^3, \langle \cdot, \cdot \rangle) (= L^3)$ .
- ▷ For  $v = (x, y, z) \in R_1^3$ ,

$$\langle v, v \rangle = x^2 + y^2 - z^2.$$

- ▷ Vector  $v \in R_1^3$  is called
  - spacelike  $\overset{\text{def}}{\iff} \langle v, v \rangle > 0$  or  $v = 0$
  - timelike  $\overset{\text{def}}{\iff} \langle v, v \rangle < 0$
  - lightlike  $\overset{\text{def}}{\iff} \langle v, v \rangle = 0$



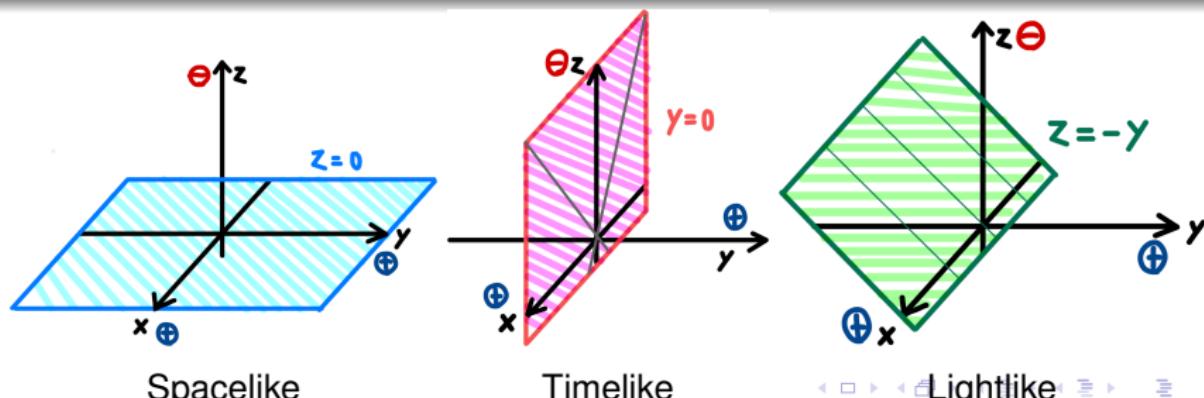
- ▷ Set of lightlike vectors :  
 $\{v \in R_1^3 ; \langle v, v \rangle = 0\}$  : **Lightcone**

# Lorentz-Minkowski space $R_1^3$ (2/2)

2-dim subspace of  $R_1^3$  is written as

$$V = \left\{ \mathbf{v} \in R_1^3 ; \langle \mathbf{v}, \mathbf{n} \rangle = 0 \right\} \quad (\text{where } \mathbf{n} \in R_1^3 \setminus \{\mathbf{0}\})$$

- $V$  : spacelike  $\overset{\text{def}}{\iff} \mathbf{n}$  : timelike  $\iff \langle \cdot, \cdot \rangle|_V$  : positive definite
- $V$  : timelike  $\overset{\text{def}}{\iff} \mathbf{n}$  : spacelike  $\iff \langle \cdot, \cdot \rangle|_V$  : indefinite
- $V$  : lightlike  $\overset{\text{def}}{\iff} \mathbf{n}$  : lightlike  $\iff \langle \cdot, \cdot \rangle|_V$  : degenerate



# Mixed type surface (1/2)

Immersion  $f : \Sigma \rightarrow \mathbf{R}^3_1$  ( $\Sigma$  : connected 2-mfd) ... **REGULAR surf**

- ▷  $V_p = df(T_p\Sigma)$  : 2-dim subspace of  $\mathbf{R}^3_1$ .
- ▷  $ds^2 = f^* \langle \cdot, \cdot \rangle$  : the first fundamental form of  $f$ .

A point  $p \in \Sigma$  is

- **spacelike pt**  $\stackrel{\text{def}}{\iff} V_p$  : spacelike  $\iff (ds^2)_p$  : pos. definite
- **timelike pt**  $\stackrel{\text{def}}{\iff} V_p$  : timelike  $\iff (ds^2)_p$  : indefinite
- **lightlike pt**  $\stackrel{\text{def}}{\iff} V_p$  : lightlike  $\iff (ds^2)_p$  : degenerate

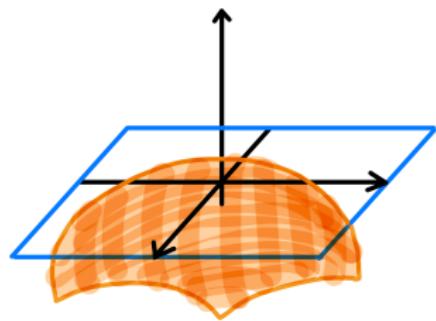
- ▷  $\Sigma_+$  ( $\subset \Sigma$ ) : set of spacelike points
- ▷  $\Sigma_-$  ( $\subset \Sigma$ ) : set of timelike points
- ▷  $LD$  ( $\subset \Sigma$ ) : set of lightlike points

# Mixed type surface (2/2)

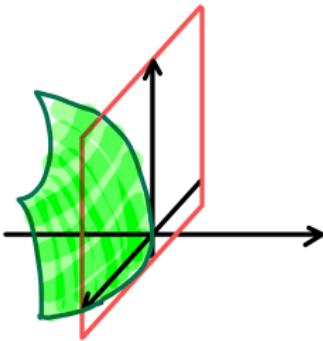
Immersion  $f : \Sigma \rightarrow \mathbf{R}^3_1$  ( $\Sigma$  : connected 2-mfd) ... **REGULAR** surf

- $\Sigma_+$  : spacelike point set /  $\Sigma_-$  : timelike point set

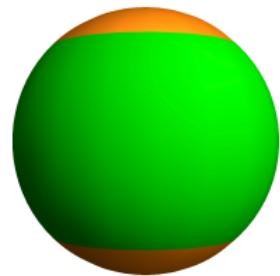
- ▷  $f$  : spacelike surface  $\iff \Sigma = \Sigma_+$
- ▷  $f$  : timelike surface  $\iff \Sigma = \Sigma_-$
- ▷  $f$  : **mixed type surface**  $\stackrel{\text{def}}{\iff} \Sigma_+ \neq \emptyset, \Sigma_- \neq \emptyset.$



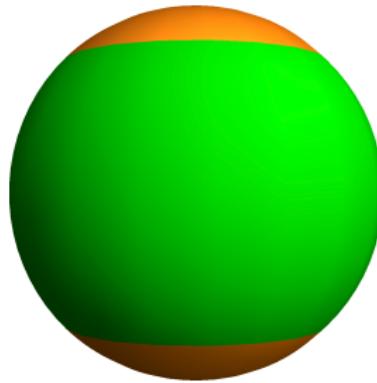
spacelike surface



timelike surface



mixed type surface



## Example (Unit sphere)

Regard  $S^2 := \{(x, y, z) ; x^2 + y^2 + z^2 = 1\}$  as a surface in  $\mathbf{R}_1^3$

- $\Sigma_+ = \{(x, y, z) \in S^2 ; |z| > \frac{1}{\sqrt{2}}\}$
- $\Sigma_- = \{(x, y, z) \in S^2 ; |z| < \frac{1}{\sqrt{2}}\}$
- $LD = \{(x, y, z) \in S^2 ; |z| = \frac{1}{\sqrt{2}}\}$

Since  $\Sigma_+ \neq \emptyset, \Sigma_- \neq \emptyset \implies S^2$  is a mixed type surface

- ▷ Gaussian curvature  $K$ , mean curvature  $H$  is defined on  $\Sigma_+$ ,  $\Sigma_-$
- ▷ In general,  $K$ ,  $H$  is unbounded near  $LD$

## Example (graph)

$z = \varphi(x, y) : \text{graph}$  (where  $\Sigma \subset \mathbf{R}^2$  : a domain on  $xy$ -plane)

$$\Sigma_+ = \{(x, y) \in \Sigma ; 1 - \varphi_x^2 - \varphi_y^2 > 0\}$$

$$\Sigma_- = \{(x, y) \in \Sigma ; 1 - \varphi_x^2 - \varphi_y^2 < 0\}$$

$$LD = \{(x, y) \in \Sigma ; 1 - \varphi_x^2 - \varphi_y^2 = 0\}$$

- ▷ On  $\Sigma_+ \cup \Sigma_-$ ,

$$K = \frac{\varphi_{xy}^2 - \varphi_{xx}\varphi_{yy}}{(1 - \varphi_x^2 - \varphi_y^2)^2}, \quad H = \frac{(1 - \varphi_y^2)\varphi_{xx} + 2\varphi_x\varphi_y\varphi_{xy} + (1 - \varphi_x^2)\varphi_{yy}}{|1 - \varphi_x^2 - \varphi_y^2|^{3/2}}$$

- ▷  $z = \varphi(x, y) : \text{Zero Mean Curvature graph}$  (ZMC graph)

$$\overset{\text{def}}{\iff} (1 - \varphi_y^2)\varphi_{xx} + 2\varphi_x\varphi_y\varphi_{xy} + (1 - \varphi_x^2)\varphi_{yy} = 0$$

# Zero Mean Curvature graphs (1/3)

▷  $z = \varphi(x, y)$  : **Zero Mean Curvature graph** (ZMC graph)

$$\iff \stackrel{\text{def}}{(1 - \varphi_y^2)\varphi_{xx} + 2\varphi_x\varphi_y\varphi_{xy} + (1 - \varphi_x^2)\varphi_{yy} = 0}$$

## The Calabi-Bernstein theorem

Any **entire spacelike ZMC graph** must be a plane.

- ▷ A spacelike ZMC graph  $\iff$  **maximal graph**.
- ▷  $\exists$  **Counter example** without **spacelike** condition :

## Fact ([O. Kobayashi, Tokyo J. Math., 1983])

$\exists z = \varphi(x, y)$  : **entire mixed type ZMC graph** s.t.

- **non-planar**, but **real analytic**.

$$z = \log(\cosh x / \cosh x), \quad z = x \tanh y$$

## Zero Mean Curvature graphs (2/3)

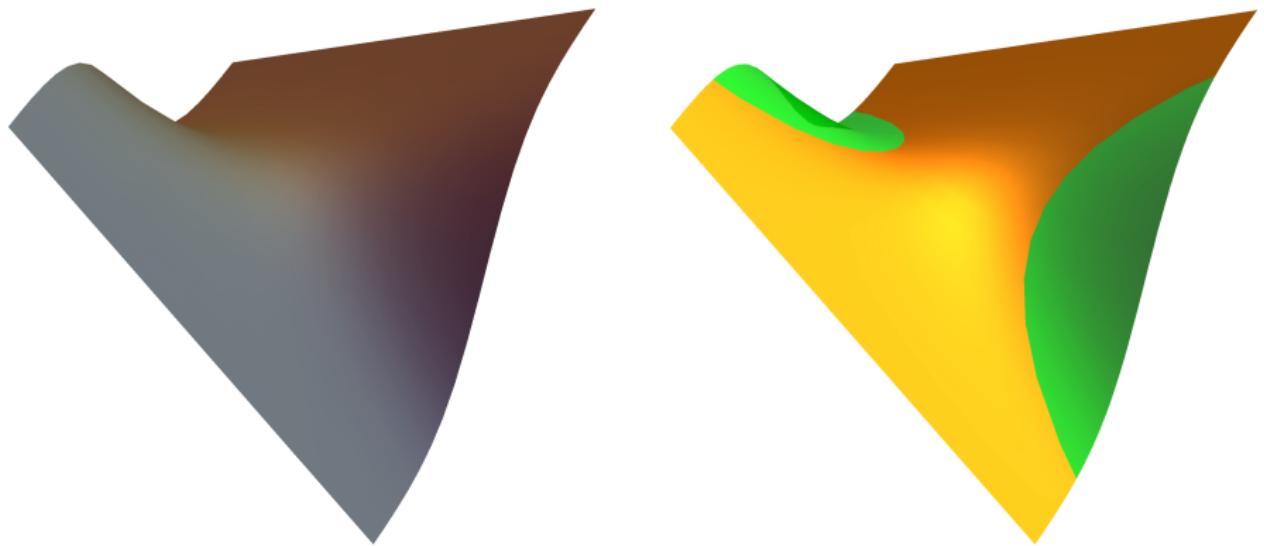


Figure: An entire ZMC graph  $z = x \tanh y$  [O. Kobayashi, 1983]

# Zero Mean Curvature graphs (3/3)

- ▷ Such non-trivial entire ZMC graphs of mixed type were not known other than **Kobayashi's examples**
- ▷ [Fujimori-Kawakami-Kokubu-Rossmann-Umehara-Yamada, 2016] : such examples were constructed  
(**Kobayashi surface of order  $n$** ;  $(4n - 7)$ -parameter family)
- ▷ [Akamine, 2017] : an entire example foliated by parabolas was given, independently (Kobayashi surface of order 2)
- ▷ On the other hand, [H-Koiso-Kokubu-Umehara-Yamada, 2017] : **no mixed type surfaces of non-zero constant mean curvature**

## Question

Behavior of **the Gaussian curvature  $K$**  at lightlike points?

- ▷ Regarding **lightlike point = singular points** of the first fundamental forms, **we apply the technics of surfaces with singular points (wave fronts)**

## (2) Lightlike points of mixed type surfaces

# Non-degenerate lightlike points

- ▷ A mixed type surface  $f : \Sigma \rightarrow \mathbf{R}^3_1$
- ▷ On coordinate neighborhood  $(U; u, v)$  of  $\Sigma$ ,

$$ds^2 = E du^2 + 2F du dv + G dv^2$$

where

$$E := \langle f_u, f_u \rangle, \quad F := \langle f_u, f_v \rangle, \quad G := \langle f_v, f_v \rangle$$

- ▷ Set  $\lambda := EG - F^2$ .
  - $p \in \Sigma$  : **spacelike point**  $\iff \lambda(p) > 0$ .
  - $p \in \Sigma$  : **timelike point**  $\iff \lambda(p) < 0$ .
  - $p \in \Sigma$  : **lightlike point**  $\iff \lambda(p) = 0$ .

## Definition

A lightlike point  $p \in U$  is called **non-degenerate**  $\stackrel{\text{def}}{\iff} d\lambda(p) \neq 0$ .

# Lightlike points of the first & second kind

- ▷  $p \in LD$  : **non-degenerate** lightlike point
  - By the implicit function thm,  $\exists \gamma(t)$  ( $|t| < \varepsilon$ ) : a regular curve on  $\Sigma$   
s.t.  $\gamma(0) = p$  &  $\text{Im}\gamma = LD$  near  $p$ .
  - $\exists \eta(t)$  : a vector field along  $\gamma(t)$   
s.t.  $L(t) := df(\eta(t))$  : a lightlike vector field of  $\mathbb{R}^3_1$   
(namely,  $\eta(t)$  is in the kernel of  $ds^2_{\gamma(t)}$ )
- ▷ We call  $\gamma(t)$  **characteristic curve**,  $\eta(t)$  **null vector field**.

## Definition

A non-degenerate lightlike point  $p \in \Sigma$  is called

- **first kind**  $\stackrel{\text{def}}{\iff} \gamma'(0), \eta(0)$  : linearly independent
- **second kind**  $\stackrel{\text{def}}{\iff} \gamma'(0), \eta(0)$  : linearly dependent

cf. Criteria for **cuspidal edges** and **swallowtails**  
(Kokubu-Rossman-Saji-Umebara-Yamada)

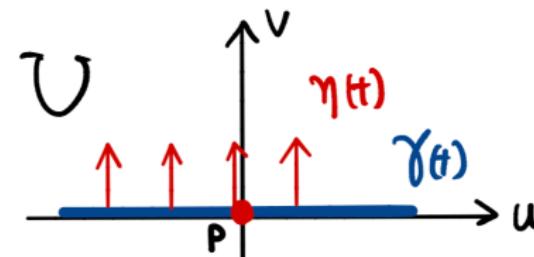
# $L_3$ points

A non-degenerate lightlike point  $p \in \Sigma$  is called

- **first kind**  $\stackrel{\text{def}}{\iff} \gamma'(0), \eta(0)$  : linearly independent
- **second kind**  $\stackrel{\text{def}}{\iff} \gamma'(0), \eta(0)$  : linearly dependent

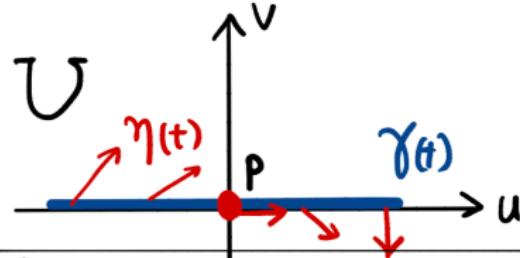
Setting  $\delta(t) := \det(\gamma'(t), \eta(t))$ ,

- ▷  $p = \gamma(0)$  : the first kind  $\iff \delta(0) \neq 0$
- ▷  $p = \gamma(0)$  :  **$L_3$  point**  $\stackrel{\text{def}}{\iff} \delta(0) = 0, \delta'(0) \neq 0$



1st kind

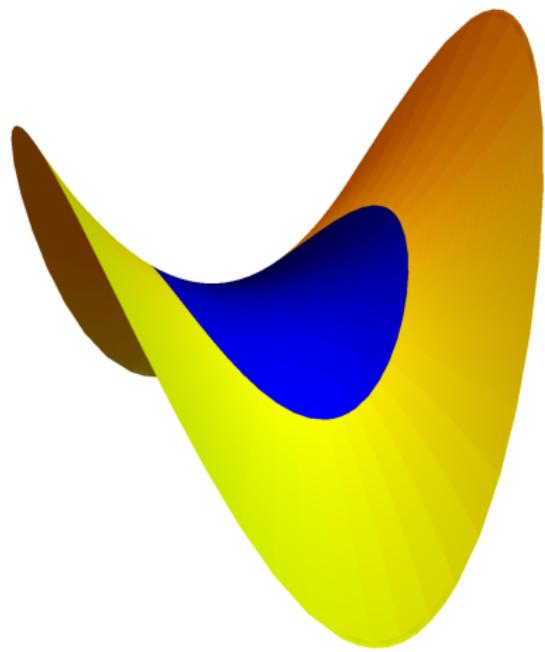
Lightlike  
point



2nd kind  
( $L_3$  point)

Lightlike  
point

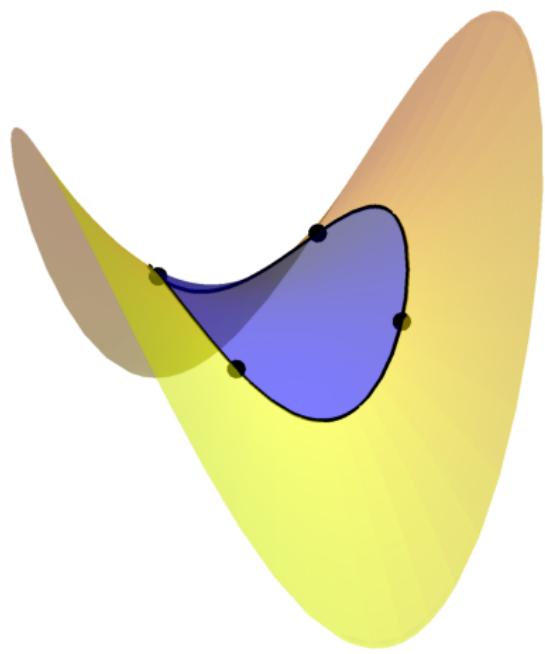
# Ex: hyperbolic paraboloid $z = \frac{1}{2}(x^2 - y^2)$



$$f(x, y) = \left( x, y, \frac{1}{2}(x^2 - y^2) \right)$$

- $1 - \varphi_x^2 - \varphi_y^2 = 1 - x^2 - y^2$
- $LD = \{(x, y); x^2 + y^2 = 1\}$
- $\gamma(t) = (\cos t, \sin t)$
- $$\begin{pmatrix} E & F \\ F & G \end{pmatrix} \Big|_{LD} = \begin{pmatrix} \sin^2 t & \cos t \sin t \\ \cos t \sin t & \cos^2 t \end{pmatrix}$$
- $\eta(t) = -\cos t \frac{\partial}{\partial u} + \sin t \frac{\partial}{\partial v}$
- $\gamma'(t) = -\sin t \frac{\partial}{\partial u} + \cos t \frac{\partial}{\partial v}$
- $\delta(t) = |\gamma'(t), \eta(t)| = \cos 2t$
- $\gamma(t)$  is of the first kind  
 $\iff t \neq \pm \frac{\pi}{4}, \pm \frac{3\pi}{4}$

# Ex: hyperbolic paraboloid $z = \frac{1}{2}(x^2 - y^2)$

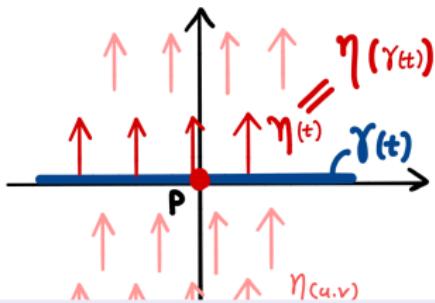


$$f(x, y) = \left( x, y, \frac{1}{2}(x^2 - y^2) \right)$$

- $LD = \{(x, y); x^2 + y^2 = 1\}$
- $\gamma(t) = (\cos t, \sin t)$
- $\eta(t) = -\cos t \frac{\partial}{\partial u} + \sin t \frac{\partial}{\partial v}$
- $\gamma'(t) = -\sin t \frac{\partial}{\partial u} + \cos t \frac{\partial}{\partial v}$
- $\delta(t) = |\gamma'(t), \eta(t)| = \cos 2t$
- $\gamma(t)$  is of the first kind  
 $\iff t \neq \pm\frac{\pi}{4}, \pm\frac{3\pi}{4}$
- $\gamma(t)$  is of the second kind  
 $\iff t = \pm\frac{\pi}{4}, \pm\frac{3\pi}{4}$  ( $L_3$  point)
- $\hat{\gamma}(t) := f \circ \gamma(t)$   
 $= (\cos t, \sin t, \frac{1}{2} \cos 2t)$

# Invariants of lightlike points of 1st kind (1/3)

- A vector field  $\eta = \eta(u, v)$  on  $U$  is **(extended) null vector field**  
 $\overset{\text{def}}{\iff} \eta(t) := \eta(\gamma(t))$  gives a null vector field along  $\gamma(t)$ .



$p \in LD$  is of the first kind  $\iff \eta_p \langle df(\eta), df(\eta) \rangle \neq 0$

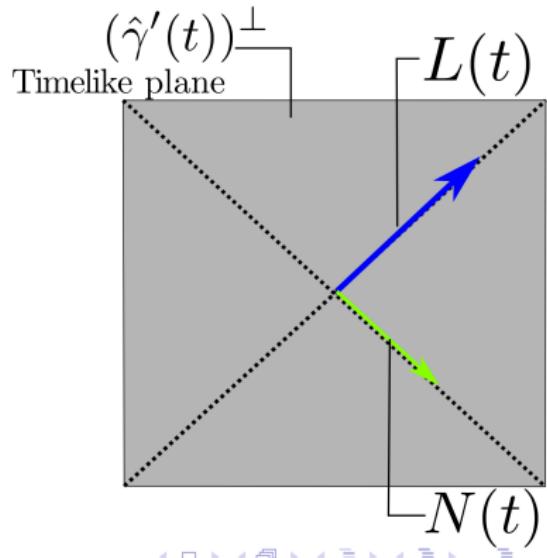
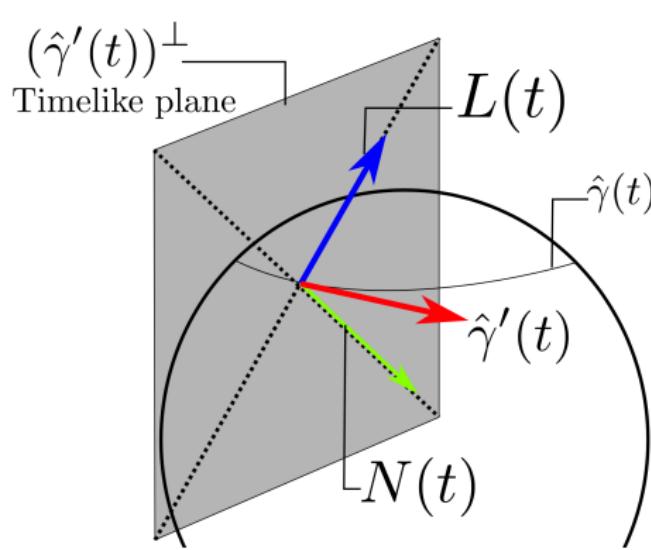
- Set  $\hat{\gamma}(t) := f \circ \gamma(t)$ : a regular curve in  $R_1^3$ .
  - $\hat{\gamma}'(0) \in V_p$  ( $= df(T_p\Sigma)$ ) is either spacelike or lightlike.

$p = \gamma(0)$  is of the first kind  $\iff \hat{\gamma}'(0)$  is spacelike

$\rightsquigarrow \hat{\gamma}(t)$  is a spacelike curve in  $R_1^3$  for sufficiently small  $|t|$

# Invariants of lightlike points of 1st kind (2/3)

- ▷ If  $p = \gamma(0)$  : **first kind**
  - $\hat{\gamma}(t) = f \circ \gamma(t)$  is a spacelike curve in  $R_1^3$
  - $\hat{\gamma}'(t)$  : **spacelike vector**  $\Rightarrow (\hat{\gamma}'(t))^\perp$  : timelike 2-dim subspace.
- ▷  $\eta(t)$  : null v.f.  $\overset{\text{def}}{\iff} L(t) := df(\eta(t))$  : **lightlike v.f.** in  $R_1^3$
- ▷  $\exists N(t)$  : **lightlike v.f.** s.t.  $\langle N(t), \hat{\gamma}'(t) \rangle = 0$ ,  $\langle N(t), L(t) \rangle = 1$ .



## Invariants of lightlike points of 1st kind (3/3)

- ▷ If  $p = \gamma(0)$  : **first kind**
    - ⇒  $\hat{\gamma}(t) = f \circ \gamma(t)$  is a spacelike curve in  $R_1^3$  (i.e.  $\langle \hat{\gamma}'(t), \hat{\gamma}'(t) \rangle > 0$ )
  - ▷ Take **arclength parameter  $t$**  (i.e.  $\langle \hat{\gamma}'(t), \hat{\gamma}'(t) \rangle = 1$ ).
    - ⇒ Since  $\hat{\gamma}''(t) \perp \hat{\gamma}'(t)$ ,

$$\hat{\gamma}''(t) = \langle \hat{\gamma}'', N \rangle L(t) + \langle \hat{\gamma}'', L \rangle N(t).$$

## Definition (HST)

- $\kappa_L(p) := \frac{1}{\sqrt[3]{\eta_p \langle df(\eta), df(\eta) \rangle}} \langle \hat{\gamma}''(0), L(0) \rangle$   
: lightlike singular curvature
  - $\kappa_N(p) := \sqrt[3]{\eta_p \langle df(\eta), df(\eta) \rangle} \langle \hat{\gamma}''(0), N(0) \rangle$   
: lightlike normal curvature

cf. Singular curvature  $\kappa_s$ , limiting normal curvature  $\kappa_v$   
 for cuspidal edges (Saji-Umehara-Yamada, Ann of Math, 2009)

# (3) Main result

# Mixed type surfaces in Lorentzian mfd $M^3$

- ▷  $M^3$  : oriented Lorentzian 3-manifold
- ▷ Similar arguments hold if we replace  $R_1^3$  with  $M^3$
- ▷ Mixed type surface

$$f : \Sigma \longrightarrow M^3$$

- $p \in LD$  : lightlike point of the first kind,
- $\gamma(t)$  : characteristic curve,  $\gamma(0) = p$ , parametrized by arclength
- ▷  $\nabla$  : the Levi-Civita connection of  $M^3$

## Definition

- $\kappa_L(p) := \frac{1}{\sqrt[3]{\eta_p \langle df(\eta), df(\eta) \rangle}} \langle \nabla_{d/dt} \hat{\gamma}'(0), L(0) \rangle$   
: **lightlike singular curvature**
- $\kappa_N(p) := \sqrt[3]{\eta_p \langle df(\eta), df(\eta) \rangle} \langle \nabla_{d/dt} \hat{\gamma}'(0), N(0) \rangle$   
: **lightlike normal curvature**

# Main result (1/6)

Let  $f : \Sigma \rightarrow M^3$  be a mixed type surface.

## Theorem A

- $p \in \Sigma$  : lightlike point of the second kind,
- $\exists \{p_n\}$  : sequence of lightlike point of the first kind s.t.

$$\lim_{n \rightarrow \infty} p_n = p.$$

Then, lightlike singular curvature  $\kappa_L$  diverges to  $-\infty$  along  $\{p_n\}$ :

$$\kappa_L(p_n) \longrightarrow -\infty \quad (n \rightarrow \infty).$$

Moreover, if  $p$  is not an  $L_3$  point,

⇒ lightlike normal curvature  $\kappa_N$  also diverges to  $-\infty$  along  $\{p_n\}$ :

$$\kappa_N(p_n) \longrightarrow -\infty \quad (n \rightarrow \infty).$$

▷ If  $p$  is an  $L_3$  point, then  $\kappa_N(p_n) \longrightarrow 0, \pm\infty$ .

# REF: invariants of Cuspidal Edges

$$\hat{\gamma}''(t) = \kappa_s(t)\mathbf{b}(t) + \kappa_v(t)\mathbf{v}(t)$$

$\kappa_s$  : singular curvature

- $-\infty$  (accumulating to 2nd kind) [SUY]
- ‘geodesic curvature’
- intrinsic invariants [SUY]
- Gauss-Bonnet type thm [SUY]
- affects the shape

$\kappa_v$  : limiting normal curvature

- continuous across 2nd kind [Martins-SUY]
- ‘normal curvature’
- extrinsic invariants [Naokawa-UY]
- Gaussian curvature  $K$  : bdd  $\iff \kappa_v \equiv 0$  [SUY]

# Main result (2/6)

$K$  : the Gauss curvature on  $\Sigma_+ \cup \Sigma_-$  ( $f : \Sigma \rightarrow M^3$  mixed type surface)

## Theorem B

Let  $p \in LD$  : non-degenerate lightlike point.

- ▷  $K$  is bounded on a nbd  $U$  of  $p \implies p$  is first kind lightlike pt.
- ▷ Assume  $p \in LD$  : first kind lightlike point. Then,  
 $K$  is bounded on a nbd  $U$  of  $p \iff$

$$\kappa_L = 0 \quad \text{and} \quad \kappa_N = \kappa_B \quad \text{on } LD.$$

Here,  $\kappa_B$  is an (intrinsic) invariant called the balancing curvature:

$$\kappa_B(p) = \frac{-1}{2E^2(G_v)^{\frac{5}{3}}} \left( G_v (EE_{vv} - 2EF_{uv} + E_u F_v) - \frac{1}{5} E_v (EG_{vv} - 2(F_v)^2) \right) \Big|_{(0,0)}$$

where  $(u, v)$  is a coordinate system s.t.  $\gamma(u) = (u, 0)$ ,  $\eta = \partial_v$

# Main result (3/6)

## Lemma

If  $p \in LD$  : **1st kind** lightlike pt,  $\exists(U; u, v)$  : coordinate nbd of  $p$  s.t.

$$\gamma(u) = (u, 0), \quad \eta = \partial_v, \quad E(u, 0) = 1, \quad \lambda_v(u, 0) = 1.$$

▷ Then,  $\hat{K} := \lambda^2 K$  is extended to  $U$ ;

$$\hat{K}(u, v) = C_0(u) + C_1(u)v + \frac{1}{2!}C_2(u, v)v^2,$$

where

$$C_0(u) = -\frac{1}{2}\kappa_L(u), \quad C_1(u) = \frac{1}{2}\{\kappa_N(u) - \kappa_B(u) + \kappa_L(u)\Phi(u)\}.$$

On the other hand, since  $\lambda(u, v) = v\hat{\lambda}(u, v)$  ( $\hat{\lambda} \neq 0$ ),  
we have (the latter part of) Thm B.

# Main result (4/6)

$$\hat{K}(u, v) = -\frac{1}{2}\kappa_L(u) + \frac{1}{2}\{\kappa_N(u) - \kappa_B(u) + \kappa_L(u)\Phi(u)\}v + \frac{1}{2!}C_2(u, v)v^2$$

▷ If  $\kappa_L(p) > 0$ ,  $\hat{K}(0, 0) < 0$ .

- Since  $\hat{K} = \lambda^2 K$ ,  $\text{sgn}(\hat{K}) = \text{sgn}(K)$ .
- If  $M^3 = R_1^3$ ,  $\text{sgn}(K) = -\text{sgn}(K_{\text{Euc}})$ .
- Namely,  $\kappa_L(p) > 0 \implies K_{\text{Euc}}(0, 0) > 0$ .

## Corollary

Let  $f : \Sigma \rightarrow R_1^3$  be a mixed type surface.

Assume  $p \in LD$  : 1st kind.

- If  $\kappa_L(p) > 0 \implies$  the image of  $f$  is **dome-like** near  $f(p)$ .
  - If  $\kappa_L(p) < 0 \implies$  the image of  $f$  is **saddle-shaped** near  $f(p)$ .
- ▷ If  $p \in LD$  : 2nd kind s.t. 1st kind lightlike pts accumulating to  $p$ ,  
 $\kappa_L < 0$  (Thm A)  $\implies f$  is **saddle-shaped** near such  $p \in LD$ .

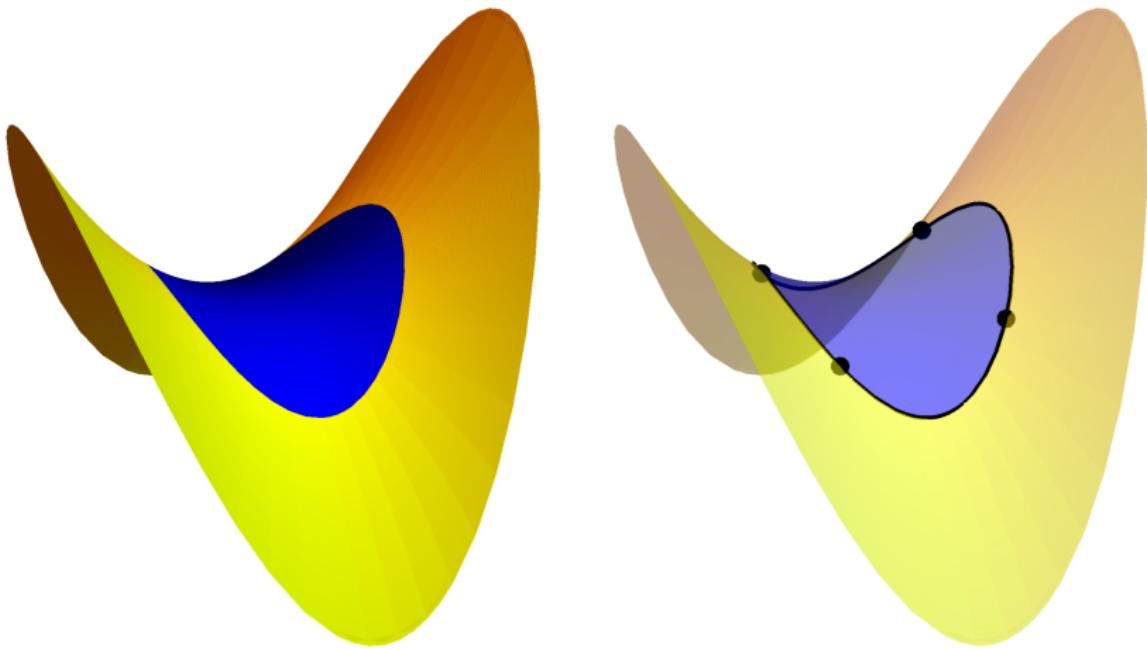


Figure: Hyperbolic paraboloid

Since  $\kappa_L < 0$  near  $t = \pm\pi/4, \pm3\pi/4$  (lightlike points of 2nd kind), the surface is saddle-shaped.

# Main result (5/6)

Applying Thm B to the results by **Pelletier** and **Steller**, we obtain the Gauss-Bonnet type thm:

## Corollary

Let  $f : \Sigma \rightarrow M^3$  be a mixed type surface  
(where  $\Sigma$  : connected, compact, oriented 2-manifold).

Assume that

- ▷ every lightlike point of  $f$  is non-degenerate, and
- ▷  $f$  has bounded Gaussian curvature.

Then

$$\int_{\Sigma} K dA = 2\pi \chi(\Sigma).$$

# Intrinsic & Extrinsic invariants

**Definition** Let  $f : \Sigma \rightarrow M^3$  be mixed type surface.

- A function  $I : \Sigma \rightarrow \mathbf{R}$ , or  $I : LD \rightarrow \mathbf{R}$ , is called an **invariant**.  
(Namely,  $I$  does not depend on the choice of the coordinate system of  $\Sigma$  or  $LD$ ).
- ▷ An invariant  $I : \Sigma \rightarrow \mathbf{R}$ , or  $I : LD \rightarrow \mathbf{R}$ , is called **intrinsic**  $\overset{\text{def}}{\iff}$ 
  - $I$  is a function of  $E, F, G$ , and their derivatives,
  - where  $ds^2 = E du^2 + 2F du dv + G dv^2$ , and
  - $(u, v)$  is a coordinate system defined by  $ds^2$  only.
- ▷ An invariant  $I : \Sigma \rightarrow \mathbf{R}$ , or  $I : LD \rightarrow \mathbf{R}$ , is called **extrinsic**  $\overset{\text{def}}{\iff}$ 
  - $\exists$  another mixed type surface  $\tilde{f}$  s.t.
  - $\tilde{f}$  is isometric to  $f$  (namely,  $ds_f^2 = ds_{\tilde{f}}^2$ ), but  $I(f) \neq I(\tilde{f})$ .
- **Gaussian curvature  $K$**  : intrinsic invariant
- **Mean curvature  $H$**  : extrinsic invariant

## Natural Problem.

To determine a given invariant is **intrinsic** or **extrinsic**.

- ▷ **lightlike singular curvature**  $\kappa_L$  is intrinsic:

$$\kappa_L(u) = -\frac{E_v(u, 0)}{2E(u, 0)\sqrt[3]{G_v(u, 0)}}$$

where  $(u, v)$  is a coordinate system s.t.  $\gamma(u) = (u, 0)$ ,  $\eta = \partial_v$

- ▷ On the other hand, **lightlike normal curvature**  $\kappa_N$  is extrinsic:

## Theorem [H]

- $f : \Sigma \rightarrow \mathbf{R}^3_1$  : a mixed type surface,  $C^\omega$  (real analytic),
- $p \in \Sigma$  : lightlike point of 1st kind satisfying  $\kappa_L(p) \neq 0$ .

Then,  $\exists U$  : nbd of  $p$ ,  $\exists \tilde{f} : U \rightarrow \mathbf{R}^3_1$  :  $C^\omega$ -mixed type surface

s.t.  $\tilde{f}$  is isometric to  $f$ ,  $\kappa_N(p) \neq \tilde{\kappa}_N(p)$ .

- ▷ Apply the Cauchy-Kowalevski thm to Gauss-Codazzi type eq.

# Main result (6/6)

If  $\kappa_L \neq 0$   $\Rightarrow$  lightlike normal curvature  $\kappa_N$  is **extrinsic**

## Corollary

$p \in LD$  : lightlike pt of 1st kind.

If  $\kappa_L = 0$  on  $LD$   $\Rightarrow$  lightlike normal curvature  $\kappa_N$  is **intrinsic**

- ▷ On a coordinate system  $(U; u, v)$  s.t.

$$\gamma(u) = (u, 0), \quad \eta = \partial_v, \quad E(u, 0) = 1, \quad \lambda_v(u, 0) = 1,$$

$$\hat{K}(u, v) = -\frac{1}{2}\kappa_L(u) + \frac{1}{2}\{\kappa_N(u) - \kappa_B(u) + \kappa_L(u)\Phi(u)\}v + \frac{1}{2!}C_2(u, v)v^2$$

- ▷ If  $\kappa_L(u) \equiv 0$ ,

$$\kappa_N(u) = \kappa_B(u) + 2\hat{K}_v(u, 0)$$

holds, hence **intrinsic**.

# Summary

- ▷ We introduced **lightlike singular curvature  $\kappa_L$** , **lightlike normal curvature  $\kappa_N$**  at **lightlike points of 1st kind**.
  - $\kappa_L$  : invariant like ‘geodesic curvature’
  - $\kappa_N$  : invariant like ‘normal curvature’
- ▷ **Thm A.** Accumulating to a lightlike pt  $p$  of 2nd kind,
  - $\kappa_L \rightarrow -\infty$
  - $\kappa_N \rightarrow -\infty$  (if  $p$  is not  $L_3$  point)
- ▷ **Thm B.** For a non-degenerate lightlike point  $p$ ,
  - If Gauss curvature  $K$  is bounded  $\Rightarrow p$  must be **1st kind**.
  - Assume  $p$  : 1st kind.  $K$  is bounded  $\iff \kappa_L = 0, \kappa_N = \kappa_B$  on LD.
- ▷ **Cor.** Gauss-Bonnet type thm :  $\int_{\Sigma} K dA = 2\pi\chi(\Sigma)$
- ▷ While  $\kappa_L$  : **intrinsic invariant**,
  - $\kappa_N$  is **extrinsic** (if  $\kappa_L \neq 0$ )
  - $\kappa_N$  is **intrinsic** (if  $\kappa_L \equiv 0$ ).

# Than you very much for your attention!

Honda, Saji, Teramoto,

*Mixed type surfaces with bounded Gaussian curvature in three-dimensional Lorentzian manifolds* (arXiv:1811.11392).