

# ON STABILITY OF ONE-DIMENSIONAL DYNAMICS

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HOKKAIDO, JULY 2013

In this talk, we shall discuss stability of one-dimensional dynamical systems, from both topological and measure-theoretical point of view.

A time-discrete dynamical system is represented by a self map  $f : M \rightarrow M$ . For  $n \geq 0$ , let  $f^n$  denote the  $n$ -th iterate of  $f$ . For each  $x \in M$ , the orbit of  $x$  is defined to be the sequence  $\text{orb}(x) = \{f^n(x)\}_{n=0}^{\infty}$ . The theory of dynamical system studies the orbit structure. Stability, roughly speaking, means that the global dynamical property remains unchanged under a small perturbation of the system. It is generally believed that “most” dynamical systems have certain kind of *hyperbolicity* which in turn implies stability in a suitable sense.

**Structural stability.** Let  $M$  denote a compact manifold (possibly with boundary). For each  $r = 1, 2, \dots$ , let  $C^r(M)$  denote the collection of  $C^r$  maps from  $M$  to itself, endowed with the  $C^r$  topology. A map  $f \in C^r(M)$  is  *$C^r$ -structurally stable* if there is a neighborhood  $\mathcal{U}$  of  $f$  in  $C^r(M)$  such that each  $g \in \mathcal{U}$  is topologically conjugate to  $f$ , i.e. there is a homeomorphism  $h = h_g : M \rightarrow M$  such that  $h \circ f = g \circ h$ .

**Conjecture.** (Smale [12]) *If a map  $f \in C^r(M)$  is  $C^r$  structurally stable, then it satisfies Axiom A.*

When  $\dim(M) \geq 2$ , the conjecture was proved in the case  $r = 1$  (for diffeomorphisms and flows). In the case  $\dim(M) = 1$ , it is completely proved for all  $r = 1, 2, \dots$  by Kozlovski, Shen and van Strien ([7, 8]). Indeed, when  $r \geq 2$ , Axiom A maps are dense in  $C^r([0, 1])$  and  $C^r(S^1)$ . It should be noted that this result was proved by considering iteration of real polynomials on the complex plane. Recall that a one-dimensional map  $f : M \rightarrow M$  satisfies Axiom A if  $f$  is uniformly expanding outside the attracting basin of periodic attractors.

The complex one-dimensional case (the *Fatou conjecture*) is still open.

**Stochastic stability.** Given a map  $f : M \rightarrow M$ , a probability Borel measure  $\mu$  on  $M$  is called *invariant* if for any Borel subset  $B \subset M$ , we have  $\mu(f^{-1}(B)) = \mu(B)$ . The basin of  $\mu$ , denote by  $B(\mu)$ , is defined as the set of all  $x \in M$  for which

$$\frac{1}{n} \sum_{i=0}^{n-1} \delta_{f^i(x)} \rightarrow \mu \text{ as } n \rightarrow \infty,$$

in the weak star topology, where  $\delta_a$  denote the Dirac measure at the point  $a$ . An invariant probability measure  $\mu$  is called *physical* if  $B(\mu)$  has positive Lebesgue measure.

Let  $M = [0, 1]$  or  $S^1$ . We say that  $f \in C^r(M)$  is *weakly stochastic*, if there exist finitely many physical measures  $\mu_i$ ,  $i = 1, 2, \dots, m$ , such that

$$\text{Leb} \left( M \setminus \left( \bigcup_{i=1}^m B(\mu_i) \right) \right) = 0.$$

Furthermore, if for each  $i$ ,  $\mu_i$  is either supported on a periodic attractor or absolutely continuous, then we say that  $f$  is *strongly stochastic*. It was known (Jakobson [6]) that strongly stochastic but non-Axiom A maps are abundant in the measure-theoretical sense.

**Conjecture.**(Palis [10]) *“Most” dynamical systems are strongly stochastic and stochastically stable in a suitable sense.*

This conjecture was verified for the family of quadratic polynomials (and for more general unimodal maps with non-degenerate critical points) by Lyubich [9] and Avila-Moreira [3]. Recent works of Avila-Lyubich-Shen [2] and Avila-Lyubich [1] enable us to extend the result to unimodal maps with higher order criticality.

For multimodal interval maps, the Palis conjecture is still open. Nevertheless, it was shown by Bruin, Rivera-Letelier, Shen and van Strien [4] that a very weak *large derivatives* condition implies the strongly stochastic property and by Shen [11] that a summability condition implies strongly stochastic stability. In [5], a strengthened version of the Jakobson’s theorem was proved.

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