

## On change of polarization

Hisayosi Matumoto  
Graduate School of Mathematical Sciences  
University of Tokyo  
3-8-1 Komaba, Tokyo  
153-8914, JAPAN  
e-mail: hisayosi@ms.u-tokyo.ac.jp

We consider here the following setting.

Let  $G$  be a real reductive linear Lie group which is contained in the complexification  $G_{\mathbb{C}}$ . We fix a maximal compact subgroup  $K$  of  $G$  and let  $\theta$  be the corresponding Cartan involution. We denote by  $\mathfrak{g}_0$  (resp.  $\mathfrak{k}_0$ ) the Lie algebra of  $G$  (resp.  $K$ ) and denote by  $\mathfrak{g}$  (resp.  $\mathfrak{k}$ ) its complexification. We denote also by the same letter  $\theta$  the complexified Cartan involution on  $\mathfrak{g}$ . We denote by  $\sigma$  the complex conjugation on  $\mathfrak{g}$  with respect to  $\mathfrak{g}_0$ .

**Definition 1.** Assume that a parabolic subalgebra  $\mathfrak{q}$  has a Levi decomposition  $\mathfrak{q} = \mathfrak{l} + \mathfrak{u}$  such that  $\mathfrak{l}$  is stable under  $\theta$  and  $\sigma$ . Such a Levi decomposition is called an orderly Levi decomposition.

A  $\theta$ -stable or  $\sigma$ -stable parabolic subalgebra has a unique orderly Levi decomposition. In fact, if  $\mathfrak{q}$  is  $\theta$  (resp.  $\sigma$ )-stable, then  $\mathfrak{l} = \mathfrak{q} \cap \sigma(\mathfrak{q})$  (resp.  $\mathfrak{l} = \mathfrak{q} \cap \theta(\mathfrak{q})$ ).

Let  $\mathfrak{q}$  be a parabolic subalgebra of  $\mathfrak{g}$  with an orderly Levi decomposition  $\mathfrak{q} = \mathfrak{l} + \mathfrak{u}$ . We fix a  $\theta$  and  $\sigma$ -stable Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{l}$  and a Weyl group invariant non-degenerate bilinear form  $\langle \cdot, \cdot \rangle$ . Let  $L$  be the corresponding Levi subgroup in  $G$  to  $\mathfrak{l}$ .

We denote by  ${}^u\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K}$  the right adjoint functor of the forgetful functor of the category of  $(\mathfrak{g}, K)$ -modules to the category of  $(\mathfrak{q}, L \cap K)$ -modules. Introducing trivial  $\mathfrak{u}$ -action, we regard an  $(\mathfrak{l}, L \cap K)$ -module as a  $(\mathfrak{q}, L \cap K)$ -module. So, we also regard  ${}^u\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K}$  as a functor of the category of  $(\mathfrak{l}, L \cap K)$ -modules to the category of  $(\mathfrak{g}, K)$ -modules. We denote by  $({}^u\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^i$  the  $i$ -th right derived functor. (See [Knapp-Vogan] p671)

Let  $V$  be a finite dimensional semisimple  $\mathfrak{l}$ -module. We denote by  $\delta(V)$  a one-dimensional representation of  $\mathfrak{l}$  defined by  $\delta(V)(X) = \frac{1}{2}\text{tr}(X|_V)$ .

We consider two extreme cases. Let  $Z$  be a Harish-Chandra  $(\mathfrak{l}, L \cap K)$ -module with the infinitesimal character  $\lambda + \delta(\mathfrak{n})$ .

(1) (*Hyperbolic case*) If  $\mathfrak{q}$  is stable under the complex conjugation of  $\mathfrak{g}$  with respect to  $G$ , there is a parabolic subgroup  $Q = LU$  whose complexified Lie algebra is  $\mathfrak{q}$  and whose nilradical is  $U$ . In this case, we have  $({}^u\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^i(Z) = 0$  for all  $i > 0$ . In fact,  $({}^u\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^0(Z)$  is nothing but the unnormalized parabolic induction  ${}^u\text{Ind}_Q^G(Z)$ .

We clarify the definition of the parabolic induction.  ${}^u\text{Ind}_Q^G(Z)$  (or we also write  ${}^u\text{Ind}(Q \uparrow G; Z)$ ) is the  $K$ -finite part of

$$\{f \in C^\infty(G) \otimes H \mid f(g\ell n) = \pi(\ell^{-1})f(g) \quad (g \in G, \ell \in L, n \in U)\}.$$

Here,  $(\pi, H)$  is any Hilbert globalization of  $Z$ . If  $Z$  is unitarizable, so is  ${}^u\text{Ind}(Q \uparrow G; Z \otimes \mathbb{C}_{\delta(\mathfrak{n})})$  (unitary induction).

(2) (*Elliptic case*) Assume  $\mathfrak{q}$  is  $\theta$ -stable and put  $S = \dim(\mathfrak{u} \cap \mathfrak{k})$ . In this case,  $({}^u\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^S(Z)$  is essentially “a usual cohomological induction”. We stress that usually the cohomological induction in the elliptic case is normalized by the  $2\delta(\mathfrak{u})$ -shift of the parameter (cf. [Vogan(green)], [Knapp-Vogan] Chapter V).

We call  $Z$  weakly good (or  $\lambda$  is in the weakly good range), if  $\text{Re}\langle \lambda, \alpha \rangle \geq 0$  holds for each root  $\alpha$  of  $\mathfrak{h}$  in  $\mathfrak{u}$ . We call  $Z$  integrally good (resp. weakly integrally good), if  $\langle \lambda, \alpha \rangle > 0$  (resp.  $\langle \lambda, \alpha \rangle \geq 0$ ) holds for each root  $\alpha$  of  $\mathfrak{h}$  in  $\mathfrak{u}$  such that  $2\frac{\langle \lambda, \alpha \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}$ .

**Theorem 2.** ([Vogan 1988] Theorem 2.6)

- (1) If  $Z$  is weakly integrally good, then  $({}^n\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^i = 0$  for  $i \neq S$ .
- (2) If  $Z$  is irreducible and weakly integrally good,  $({}^n\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^S(Z)$  is irreducible or zero.
- (3) If  $Z$  is irreducible and integrally good,  $({}^n\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^S(Z)$  is irreducible.
- (4) , If  $Z$  is unitarizable and weakly good,  $({}^n\mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^S(Z)$  is unitarizable.

**Definition 3.** A pair  $(\mathfrak{p}, \mathfrak{q})$  is called a  $\sigma\theta$  pair of parabolic subalgebras, if it satisfies the following conditions (S1-2)

- (S1)  $\mathfrak{q}$  (resp.  $\mathfrak{p}$ ) is a  $\theta$ -stable (resp.  $\sigma$ -stable) parabolic subalgebra of  $\mathfrak{g}$ .
- (S2) There exists a  $\theta$  and  $\sigma$ -stable Cartan subalgebra  $\mathfrak{h}$  of  $\mathfrak{g}$  such that  $\mathfrak{h} \subseteq \mathfrak{p} \cap \mathfrak{q}$ .

Hereafter, we fix a  $\sigma\theta$  pair  $(\mathfrak{p}, \mathfrak{q})$ . Let  $\mathfrak{h}$  be any  $\theta$  and  $\sigma$ -stable Cartan subalgebra of  $\mathfrak{g}$  contained in  $\mathfrak{p} \cap \mathfrak{q}$ . For  $\alpha \in \Delta(\mathfrak{g}, \mathfrak{h})$ , we denote by  $\mathfrak{g}_\alpha$  (resp.  $\mathfrak{s}_\alpha$ ) the root space (resp. the

reflection) corresponding to  $\alpha$ . Since  $\mathfrak{h}$  is  $\theta$ -stable,  $\theta$  and  $\sigma$  induce actions on  $\Delta(\mathfrak{g}, \mathfrak{h})$ . We easily see  $\theta\alpha = -\sigma\alpha$  for any  $\alpha \in \Delta(\mathfrak{g}, \mathfrak{h})$ .

For a subspace  $U$  in  $\mathfrak{g}$ , we denote by  $\Delta(U)$  the set of roots in  $\Delta(\mathfrak{g}, \mathfrak{h})$  whose root space is contained in  $U$ . We put

$$\begin{aligned} \mathfrak{m} &= \mathfrak{h} + \sum_{\alpha \in \Delta(\mathfrak{p}) \cap (-\Delta(\mathfrak{p}))} \mathfrak{g}_\alpha, \quad \mathfrak{n} = \sum_{\alpha \in \Delta(\mathfrak{p}) - \Delta(\mathfrak{m})} \mathfrak{g}_\alpha, \quad \bar{\mathfrak{n}} = \sum_{\alpha \in \Delta(\mathfrak{n})} \mathfrak{g}_{-\alpha}, \\ \mathfrak{l} &= \mathfrak{h} + \sum_{\alpha \in \Delta(\mathfrak{q}) \cap (-\Delta(\mathfrak{q}))} \mathfrak{g}_\alpha, \quad \mathfrak{u} = \sum_{\alpha \in \Delta(\mathfrak{q}) - \Delta(\mathfrak{l})} \mathfrak{g}_\alpha, \quad \bar{\mathfrak{u}} = \sum_{\alpha \in \Delta(\mathfrak{u})} \mathfrak{g}_{-\alpha}. \end{aligned}$$

We immediately see  $\mathfrak{q} = \mathfrak{l} + \mathfrak{u}$  (resp.  $\mathfrak{p} = \mathfrak{m} + \mathfrak{n}$ ) is an orderly Levi decomposition of  $\mathfrak{q}$  (resp.  $\mathfrak{p}$ ) and the nilradical satisfies  $\sigma(\mathfrak{u}) = \bar{\mathfrak{u}}$  (resp.  $\theta(\mathfrak{n}) = \bar{\mathfrak{n}}$ ). Moreover,  $\bar{\mathfrak{u}}$  (resp.  $\bar{\mathfrak{n}}$ ) is the opposite nilradical to  $\mathfrak{u}$  (resp.  $\mathfrak{n}$ ).

We denote by  $L_{\mathbb{C}}$ ,  $P_{\mathbb{C}}$ , and  $M_{\mathbb{C}}$  the analytic subgroups of  $G_{\mathbb{C}}$  with respect to  $\mathfrak{l}$ ,  $\mathfrak{p}$ , and  $\mathfrak{m}$ , respectively. We put  $L = L_{\mathbb{C}} \cap G$ ,  $P = P_{\mathbb{C}} \cap G$ ,  $M = M_{\mathbb{C}} \cap G$ .

We easily have:

**Proposition 4.** *Under the above setting, we have the followings.*

- (S3)  $\mathfrak{l} \cap \mathfrak{p}$  is a parabolic subalgebra of  $\mathfrak{l}$  and  $L \cap P$  is a parabolic subgroup of  $L$ .
- (S4)  $\mathfrak{m} \cap \mathfrak{q}$  is a parabolic subalgebra of  $\mathfrak{m}$ .
- (S5)  $\mathfrak{l} \cap \mathfrak{m}$  is a  $\theta$  and  $\sigma$ -stable Levi subalgebra of the both  $\mathfrak{l} \cap \mathfrak{p}$  and  $\mathfrak{m} \cap \mathfrak{q}$ .

The followings is the main subject of my talk.

**Conjecture 5.** *Let  $Z$  be a Harish-Chandra  $(\mathfrak{l} \cap \mathfrak{m}, L \cap M \cap K)$ -module with an infinitesimal character  $\lambda \in \mathfrak{h}^*$ . assume  $\langle \lambda - \delta(\mathfrak{u} \cap \mathfrak{m}) - \delta(\mathfrak{n}), \alpha \rangle \geq 0$  for all  $\alpha \in \Delta(\mathfrak{u})$  such that  $2 \frac{\langle \lambda - \delta(\mathfrak{u} \cap \mathfrak{m}) - \delta(\mathfrak{n}), \alpha \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}$ .*

*Then, we have*

$$(*) \quad {}^u \text{Ind}_P^G (({}^u \mathcal{R}_{\mathfrak{q} \cap \mathfrak{m}, L \cap M \cap K}^{\mathfrak{m}, M \cap K})^{\dim \mathfrak{u} \cap \mathfrak{m} \cap \mathfrak{k}}(Z)) \cong ({}^u \mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^{\dim \mathfrak{u} \cap \mathfrak{k}} ({}^u \text{Ind}_{P \cap L}^L (Z \otimes \mathbb{C}_{2\delta(\mathfrak{u} \cap \bar{\mathfrak{n}})}))$$

The above conjecture can be regard as a generalization of the transfer theorem ([Knapp-Vogan 1995] Theorem 11.87, [Schmid 1988] p361) for standard modules.

For a Harish-Chandra  $(\mathfrak{g}, K)$ -module  $V$ , we denote by  $[V]$  the distribution character of  $V$ . In [Matumoto 2002], we proved the following weaker version.

**Theorem 6.** Let  $Z$  be a Harish-Chandra  $(\mathfrak{l} \cap \mathfrak{m}, L \cap M \cap K)$ -module with an infinitesimal character  $\lambda \in \mathfrak{h}^*$ . Assume  $\langle \lambda - \delta(\mathfrak{u} \cap \mathfrak{m}) - \delta(\mathfrak{n}), \alpha \rangle \geq 0$  for all  $\alpha \in \Delta(\mathfrak{u})$  such that  $2 \frac{\langle \lambda - \delta(\mathfrak{u} \cap \mathfrak{m}) - \delta(\mathfrak{n}), \alpha \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}$ . Then, we have

$$(**) \quad [{}^u \text{Ind}_P^G({}^u \mathcal{R}_{\mathfrak{q} \cap \mathfrak{m}, L \cap M \cap K}^{\mathfrak{m}, M \cap K})^{\dim \mathfrak{u} \cap \mathfrak{m} \cap \mathfrak{k}}(Z))] = [({}^u \mathcal{R}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K})^{\dim \mathfrak{u} \cap \mathfrak{k}}({}^u \text{Ind}_{P \cap L}^L(Z \otimes \mathbb{C}_{2\delta(\mathfrak{u} \cap \mathfrak{n})}))].$$

Another weaker version of the conjecture is:

**Theorem 7.** Let  $Z$  be a Harish-Chandra  $(\mathfrak{l} \cap \mathfrak{m}, L \cap M \cap K)$ -module with an infinitesimal character  $\lambda \in \mathfrak{h}^*$  which is *pure* cohomologically induced from a finite-dimensional representation. Assume  $\langle \lambda - \delta(\mathfrak{u} \cap \mathfrak{m}) - \delta(\mathfrak{n}), \alpha \rangle \geq 0$  for all  $\alpha \in \Delta(\mathfrak{u})$  such that  $2 \frac{\langle \lambda - \delta(\mathfrak{u} \cap \mathfrak{m}) - \delta(\mathfrak{n}), \alpha \rangle}{\langle \alpha, \alpha \rangle} \in \mathbb{Z}$ . Then Conjecture 5 holds for  $Z$ .

The main ingredients of the proof of Theorem 7 are:

- (1) The resolution of finite dimensional irreducible representation by standard module. ([Johnson 1984])
- (2) The dimension of the space of intertwining operators between “adjacent standard representations” is at most one.

## References

[Knapp-Vogan 1995] A. W. Knap, “Cohomological Induction and Unitary Representations” Princeton Mathematical series **45**, Princeton University Press, Lawrenceville, New Jersey, 1995.

[Johnson 1984] Joseph, F. Johnson, Lie algebra cohomology and the resolution of certain Harish-Chandra modules, *Math. Ann.* **267** (1984), 377-393.

[Matumoto 2002] Hisayosi Matumoto, On the representations of  $Sp(p, q)$  and  $SO^*(2n)$  unitarily induced from derived functor modules, preprint, UTMS 2002-12, arXiv: math.RT/0203107.

[Schmid 1988] W. Schmid, Geometric constructions of representations, *Adv. Stud. in Pure Math.* vol. 14, Kinokuniya Book Store, 349-368, 1988.

[Vogan (green)] D. A. Vogan Jr., “Representations of Real reductive Lie Groups”, *Progress in Mathematics*, Birkhäuser, 1982.

[Vogan 1988] D. A. Vogan Jr., Irreducibilities of discrete series representations for semisimple symmetric spaces, *Adv. Stud. in Pure Math.* vol. 14, Kinokuniya Book Store, 1988, 381-417.