

# GLOBAL MCKAY CORRESPONDENCE FOR QUOTIENT SURFACE SINGULARITIES (THE FIRST DRAFT)

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ABSTRACT. Let  $G$  be a finite subgroup of  $\mathrm{GL}(2, \mathbf{C})$  acting on  $\mathbf{C}^2 \setminus \{0\}$  freely. Then we prove a global isomorphism between certain coherent sheaves over  $G\text{-Hilb}(\mathbf{C}^2)$  which generalizes the McKay correspondence for a finite subgroup of  $\mathrm{SL}(2, \mathbf{C})$ . This somewhat sharpens the correspondence in [15] and [14].

## 1. INTRODUCTION.

This is the first draft of a paper we are preparing, which is intended to be a continuation of [15], [14] and [20]. For an algebraically closed field  $k$ , let  $G$  be any finite small subgroup of  $\mathrm{GL}(2, k)$ , that is, a finite subgroup of  $\mathrm{GL}(2, k)$  acting on  $\mathbf{A}_k^2$  with the origin the unique fixed point of it. Throughout this article we assume that the characteristic of  $k$  is prime to the order  $|G|$  of  $G$ . The  $G$ -orbit Hilbert scheme  $G\text{-Hilb}(\mathbf{A}_k^2)$  is the scheme parameterizing all the  $G$ -invariant zero-dimensional subschemes of  $\mathbf{A}_k^2$  of length  $|G|$ , each with structure sheaf isomorphic to the group algebra  $k[G]$  of  $G$  as  $G$ -module. It is by [14] the minimal resolution of the quotient  $\mathbf{A}_k^2/G$ .

Any point  $y$  of  $G\text{-Hilb}(\mathbf{A}_k^2)$  represents a  $G$ -invariant cluster  $Z_y$  of  $\mathbf{A}_k^2$ , that is, a  $G$ -invariant zero-dimensional subscheme of  $\mathbf{A}_k^2$ , which is defined by a  $G$ -invariant ideal  $I_y$  of the polynomial ring of two variables. For any  $y$  in the exceptional set of the resolution, let  $\mathrm{Gen}(I_y)$  be the minimal  $G$ -invariant (sub)module generating  $I_y$ . Roughly speaking, [15] and [14] determined  $\mathrm{Gen}(I_y)$  for every individual  $y$ , and [20] determined in part the  $\rho$ -part of the union of all such  $\mathrm{Gen}(I_y)$  over the exceptional set for every nontrivial irreducible representation  $\rho$  of  $G$  when  $G$  is a subgroup of  $\mathrm{SL}(2, k)$ .

The purpose of this article is to *completely* determine the  $\rho$ -part of the union of all  $\mathrm{Gen}(I_y)$  over the exceptional set *for every*  $\rho$ . If  $G$  is a finite subgroup of  $\mathrm{SL}(2, k)$ , this recovers the extended Dynkin diagram of the singularity, while [20] recovers only the Dynkin diagram. See Section 6.

**Theorem 1.1.** *Let  $G$  be a finite small subgroup of  $\mathrm{GL}(2, k)$ , and  $E$  the exceptional set of the minimal resolution  $G\text{-Hilb}(\mathbf{A}_k^2)$  of  $\mathbf{A}_k^2/G$ . Then the*

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*Date:* April 18, 2016.

Research was supported in part by the Grants-in-aid (15K04819, S-23224001) for Scientific Research, JSPS.

2000 *Mathematics Subject Classification.* Primary 14J10, 14J17; Secondary 14D20.

*Key words and phrases.* Moduli, Hilbert scheme, McKay correspondence.

union of all  $\text{Gen}(I_y)$  over  $E$  is an  $O_F$ -module  $G$ -isomorphic to

$$\left( \bigoplus_{\rho \neq \rho_0} O_{E(\rho)}(-1) \otimes \rho \right) \bigoplus O_F(-F) \otimes \rho_0$$

where  $\rho$  ranges over the set of all non-trivial irreducible representations of  $G$ , special in the sense of Definition 3.4.3, and  $E(\rho)$  is an irreducible component of  $E$  associated to  $\rho$  and  $F$  is the fundamental divisor of  $E$ .

See Theorem 5.5 and Corollary 5.6. Our main ingredient for the proof is the derived category method adopted by [3] and [14]. See also [16].

Here is an outline of the article. In Section 2, we recall reflexive modules and full modules. In Section 3, we review the local McKay correspondence for two-dimensional quotient singularities in [14]. In Section 4, we discuss the connection between tensor with the natural representation, extensions of the structure sheaf  $O_{Z_y}$ , and cup products of Ext groups. In Section 5 we formulate and prove the global McKay correspondence (the above theorem). In Section 6, we explain the case of  $D_5$  in detail. In this section we discuss the connection of the coinvariant algebra of  $G$  with the extended Dynkin diagram when  $G$  is a subgroup of  $\text{SL}(2)$ .

We are very grateful to Professor John McKay for his incessant encouragement and interest in the subject.

## 2. REFLEXIVE MODULES AND TORSION FREE PULLBACKS

### 2.1. Derived category.

**Definition 2.1.1.** Let  $X$  be a scheme over a fixed field  $k$ . Let  $A := \text{Coh}(X)$  be the category of coherent  $O_X$ -modules and  $K(A)$  the category consisting of the bounded complexes of objects and morphisms in  $A$ .

We define a morphism  $f : P^\bullet \rightarrow Q^\bullet$  in  $K(A)$  to be a *quasi-isomorphism* iff  $f$  induces an isomorphism on cohomology.

The derived category  $D(A)$  is the localization of  $K(A)$  at  $\text{Qis}$  (the monoid of quasi-isomorphisms.), that is, it is the category  $K(A)$  modulo equivalence defined by  $\text{Qis}$ . See [11, Def., pp. 49–50]. Similarly we define  $A^c := \text{Coh}^c(X)$  to be the category of coherent  $O_X$ -modules with complete supports,  $K(A^c)$  the category consisting of the bounded complexes of objects and morphisms in  $A^c$ , and  $D^c(X)$  the derived category of  $K(A^c)$ .

**2.2. Reflexive  $O_X$ -modules and full  $O_Y$ -modules.** This subsection is taken from [1], [7], and [22]. Let  $Z$  be a scheme of finite type over  $k$ ,  $F$  a coherent  $O_Z$ -module on  $Z$ . Then  $\mathcal{F}$  is defined to be a *reflexive*  $O_Z$ -module iff  $\mathcal{F}^{\vee\vee} \simeq F$ , where  $\mathcal{F}^\vee = \text{Hom}_{O_Z}(\mathcal{F}, O_Z)$ .

**Lemma 2.2.1.** *Let  $Z$  be an irreducible surface,  $U = Z \setminus \text{Sing}(Z)$  and  $i : U \hookrightarrow Z$  the inclusion. The following is true.*

1. *any torsion free module over a discrete valuation ring is free, hence, any torsion free sheaf on a nonsingular curve is locally free.*
2. *if  $Z$  is a nonsingular surface, any reflexive  $O_Z$ -module is locally free,*

3. if  $Z$  is a normal surface, and if  $\mathcal{F}$  is a reflexive  $O_Z$ -module, then  $i_*(i^*\mathcal{F}) = \mathcal{F}$ , and it is uniquely determined by its restriction to  $U$ ,
4. if  $i_*(i^*\mathcal{F}) = \mathcal{F}$ , and  $\mathcal{F}$  is locally free on  $U$ , then  $\mathcal{F}$  is reflexive,
5.  $\mathcal{G}^\vee$  is reflexive for any finite  $O_Z$ -module  $\mathcal{G}$  if  $Z$  is normal quasi-projective.

*Proof.* See [13, Cor. 1.4] for the parts (1) and (2). See [13, Prop. 1.6] for the part (3). See [13, Cor. 1.2] for the part (5). The part (4) is proved as follows. By the assumption,  $\mathcal{F} \simeq i_*(i^*\mathcal{F}) \simeq i_*(i^*(\mathcal{F}^{\vee\vee}))$ . In particular,  $\mathcal{F}$  is torsion free, so  $\mathcal{F}$  is a subsheaf of  $\mathcal{F}^{\vee\vee}$ . Since  $\mathcal{F}^{\vee\vee}$  is a subsheaf of  $i_*(i^*(\mathcal{F}^{\vee\vee}))$  which contains  $\mathcal{F}$ , we have  $\mathcal{F} = \mathcal{F}^{\vee\vee}$ , hence  $\mathcal{F}$  is reflexive.  $\square$

**Lemma 2.2.2.** *Let  $X$  be an affine normal surface with rational singularities and  $f : Y \rightarrow X$  the minimal resolution of  $X$ . Let  $M$  be a reflexive  $O_X$ -module. Let  $\mathcal{M}$  be the torsion free pullback of  $M$  to  $Y$ , that is,  $\mathcal{M} = f^*M/O_Y$ -torsions. Then  $f_*(\mathcal{M}) = M$ , and*

- (i)  $\mathcal{M}$  is locally free,
- (ii)  $\mathcal{M}$  is generated by global sections,
- (iii)  $R^1f_*(\mathcal{M}^\vee \otimes \omega_Y) = 0$ .

*Conversely if  $\mathcal{M}$  satisfies (i) and (iii), then  $M := f_*(\mathcal{M})$  is reflexive.*

*Proof.* (i) and (ii) are due to [18]. (iii) is due to [6]. We prove the converse.

Assume (i), (iii). Let  $A = \mathcal{M} \otimes_{O_Y} \omega_Y^\vee$ ,  $B = O_{nF}$ , and  $C = R^2f_*(A^\vee(-nF))$ . Since  $f$  is proper and  $A^\vee(-nF)$  is coherent, so is  $C$ . Since any fiber of  $f$  is at most 1-dimensional, by [8, Th. 4.1.5, p. 125], we have  $C = 0$ .

Consider the exact sequence

$$0 \rightarrow A^\vee(-nF) \rightarrow A^\vee \rightarrow A^\vee \otimes_{O_Y} B \rightarrow 0.$$

Since  $H^2(Y, A^\vee(-nF)) = H^0(X, C) = 0$  and  $H^1(A^\vee) = 0$  by (iii), we have  $H^1(A^\vee \otimes_{O_Y} B) = 0$  for every  $n \geq 1$ . Hence  $\text{Ext}_{O_Y}^1(A, B) \simeq H^1(A^\vee \otimes_{O_Y} B) = 0$ . Since  $B$  is supported by  $F_{\text{red}}$ , by Serre duality on  $Y$  (by choosing a smooth compactification of  $Y$ ),

$$\text{Ext}_{O_Y}^1(O_{nF}, \mathcal{M}) = \text{Ext}_{O_Y}^1(B, A \otimes \omega_Y) \simeq \text{Ext}_{O_Y}^1(A, B)^\vee = 0.$$

By [9, Th. 2.8],  $H_F^1(Y, \mathcal{M}) \simeq \text{ind lim Ext}_{O_Y}^1(O_{nF}, \mathcal{M}) = 0$ . Let  $U = Y \setminus F$ . From the exact sequence

$$0 \rightarrow H_F^0(Y, \mathcal{M}) = 0 \rightarrow H^0(Y, \mathcal{M}) \rightarrow H^0(U, \mathcal{M}) \rightarrow H_F^1(Y, \mathcal{M}),$$

it follows  $H^0(X, M) \simeq H^0(Y, \mathcal{M}) \simeq H^0(U, \mathcal{M}_U) \simeq H^0(U, M_U)$ . So  $M$  is reflexive by Lemma 2.2.1 (4).  $\square$

**Definition 2.2.3.** We call an  $O_Y$ -module  $\mathcal{M}$  a *full  $O_Y$ -module* (or simply a full sheaf following [6]) if the conditions (i)–(iii) are satisfied.

**Corollary 2.2.4.** *Under the same notation as in Lemma 2.2.2, there is a bijective correspondence between the following sets*

- (i) the set of indecomposable reflexive  $O_X$ -modules  $M$ ,
- (ii) the set of indecomposable full  $O_Y$ -modules  $\mathcal{M}$ .

**Corollary 2.2.5.** *Under the same notation as in Lemma 2.2.2, every full  $O_Y$ -module  $\mathcal{M}$  is determined by its restriction to  $Y \setminus f^{-1}(\text{Sing}(X))$ .*

*Proof.* Clear from Lemma 2.2.1 (3) and Corollary 2.2.4.  $\square$

**2.3. The minimal resolution  $Y$  of the quotient  $X = U/G$ .** Let  $k$  be any algebraically closed field of any characteristic, and  $G$  a finite small subgroup of  $\text{GL}(2, k)$  so that we have a natural action of  $G$  on  $\mathbf{A}_k^2$ . We assume throughout this article that the order  $|G|$  of  $G$  and the characteristic of  $k$  are coprime. Let  $U = \mathbf{A}_k^2$ ,  $X = U/G$  and let  $\pi : U \rightarrow X$  be the natural morphism. The surface  $X$  has a unique singular point  $0$ , which is a rational singularity [21]. Let  $f : Y \rightarrow X$  be the minimal resolution of  $X = U/G$ . Thus we have a commutative diagram:

$$(2.3.1) \quad \begin{array}{ccc} Y \times_k U & \xrightarrow{\pi_U} & U \\ \pi_Y \downarrow & & \downarrow \pi \\ Y & \xrightarrow{f} & X = U/G \end{array}$$

Let  $F$  be the fundamental divisor of the singularity  $(X, 0)$ . That is, the minimum of effective divisors  $D$  of  $Y$  such that  $D \neq 0$ ,  $\text{Supp}(D) \subset f^{-1}(0)$ , and  $DE' \leq 0$  for any irreducible component  $E'$  of  $f^{-1}(0)$ .

The following is due to [22].

**Theorem 2.4.** *Let  $G$  be a finite small subgroup of  $\text{GL}(2, k)$  and  $X = U/G$ . Under the same notation as in Subsec. 2.3,*

1. *there is a bijective correspondence between the following sets*
  - (i) *the set of irreducible components  $E_i$  of  $E := f^{-1}(0)$ ,*
  - (ii) *the set of indecomposable full  $O_Y$ -modules  $\mathcal{M}_i$ , special in the sense that  $H^1(Y, \mathcal{M}_i^\vee) = 0$ ,*

*The correspondence  $\mathcal{M}_i \mapsto E_i$  is given by*

$$c_1(\mathcal{M}_i)E_j = \delta_{ij},$$

2. *the rank of  $\mathcal{M}_i$  is equal to  $c_1(\mathcal{M}_i)F$ , the multiplicity of  $E_i$  in  $F$ .*

### 3. THE LOCAL MCKAY CORRESPONDENCE

The following is due to [14].

**Theorem 3.1.** *Let  $U = \mathbf{A}_k^2$ ,  $G$  a finite subgroup of  $\text{GL}(2, k)$  such that the order  $|G|$  of  $G$  is prime to the characteristic of  $k$ . Then  $Y$  is connected and it is a minimal resolution of  $X := U/G$ .*

**Definition 3.2.** Let  $\mathcal{Z}$  be the universal cluster over  $Y = G\text{-Hilb}(U)$ . Consider the commutative diagram:

$$(3.2.1) \quad \begin{array}{ccc} \mathcal{Z} & \xrightarrow{q} & U \\ p \downarrow & & \downarrow \pi \\ Y & \xrightarrow{f} & X = U/G \end{array}$$

where  $q := (\pi_U)_Z$  and  $p := (\pi_Y)_Z$ . Note that

$$\mathcal{Z} \times_Y (Y \setminus F) \simeq (U \times_X (X \setminus \{O\})).$$

**Definition 3.3.** We define two functors

$$\Psi : D_c^G(U) \rightarrow D_c(Y), \quad \Phi : D_c(Y) \rightarrow D_c^G(U)$$

by

$$\begin{aligned} \Psi(A) &= [p_* \mathbf{L}q^*(A)]^G = \mathbf{R}(\pi_Y)_*(O_Z \otimes_{O_{Y \times_k U}}^{\mathbf{L}} \pi_U^*(A))^G, \\ \Phi(B) &= \mathbf{R}(\pi_U)_*(O_Z^\vee \otimes_{O_{Y \times_k U}}^{\mathbf{L}} \pi_Y^*(B \otimes_k \rho_0) \otimes_{O_{Y \times_k U}}^{\mathbf{L}} \pi_U^* K_U)[2]. \end{aligned}$$

where  $O_Z^\vee$  is the derived dual of  $O_Z$ , that is,  $\mathbf{R}\mathcal{H}om_{O_{Y \times_k U}}(O_Z, O_{Y \times_k U})$ .

**Theorem 3.4.**  $\Phi$  is fully faithful and  $\Psi$  is a left adjoint of  $\Phi$ .

See [14, Sec. 6].

**Lemma 3.4.1.** If  $\rho$  is an irreducible representation of  $G$ , then

$$\mathcal{M}_\rho := [p_* q^*(O_U \otimes_k \rho^*)]^G = [p_*(O_Z \otimes_k \rho^*)]^G$$

is a full  $O_Y$ -module, whose corresponding reflexive  $O_X$ -module is given by

$$M_\rho := f_*(\mathcal{M}_\rho) = [\pi_*(O_U) \otimes_k \rho^*]^G.$$

*Proof.* The first half of the lemma is given by [14, Cor. 3.2]. The second half of the lemma follows from Corollaries 2.2.4 and 2.2.5.  $\square$

**Remark 3.4.2.** Let  $\mathcal{F} = \pi_*(O_U)$ . Let  $W = X \setminus \{0\}$  and  $i : W \hookrightarrow X$ . Then

$$\Gamma(X, i_* \mathcal{F}) = \Gamma(W, \mathcal{F}_W) = \Gamma(U \setminus \{0\}, O_U) = \Gamma(U, O_U) = \Gamma(X, \mathcal{F}).$$

Since  $X$  is affine, this shows  $i_*(\mathcal{F}) = \mathcal{F}$ . By Lemma 2.2.1 (4),  $\pi_*(O_U) = \mathcal{F}$  is reflexive. Moreover

$$p_*(O_Z) = \bigoplus_{\rho} \mathcal{M}_\rho \otimes \rho, \quad \pi_*(O_U) = \bigoplus_{\rho} M_\rho \otimes_k \rho.$$

By Lemma 3.4.1.  $p_*(O_Z)$  is the torsion free pullback of  $\pi_*(O_U)$  by  $f$ .

**Definition 3.4.3.** An irreducible representation  $\rho$  of  $G$  is said to be *special* if its corresponding full  $O_Y$ -module  $\mathcal{M} := \mathcal{M}(\rho) := [p_* q^*(O_U \otimes_k \rho^*)]^G$  is special, that is,  $H^1(Y, \mathcal{M}^\vee) = 0$ . We denote  $\mathcal{M}(\rho_i)$  by  $\mathcal{M}_i$ . We number all irreducible representations  $\rho$  in the following manner:  $\rho_0$  is trivial,  $\rho_i$  ( $1 \leq i \leq m$ ) is nontrivial special and  $\rho_j$  ( $j \geq m+1$ ) is nontrivial nonspecial.

**Lemma 3.4.4.** Let  $\rho_i$  be an irreducible special representation of  $G$ ,  $\mathcal{M}_i = \mathcal{M}(\rho_i)$ , and  $E_i$  the irreducible component of  $F$  with  $c_1(\mathcal{M}_i)E_j = \delta_{ij}$  as in Theorem 2.4. Then

$$\Psi(O_0 \otimes_k \rho_i^*) = \begin{cases} O_{E_i}(-1)[1] & \text{if } \rho_i \text{ is nontrivial special} \\ O_F & \text{if } i = 0, \text{ that is, } \rho_i \text{ is trivial,} \\ 0 & \text{if } \rho_i \text{ is nontrivial, nonspecial.} \end{cases}$$

See [14, Sec. 5] for the above lemma.

**Lemma 3.5.** *Under the same notation as above,*

$$\begin{aligned} G\text{-Ext}_{O_U}^k(O_{Z_y}, (O_0 \otimes_k \rho_i)) &:= \text{Hom}_{D_G^c(O_U)}^k(O_{Z_y}, (O_0 \otimes_k \rho_i)) \\ &= \begin{cases} \text{Ext}_{O_Y}^k(O_F, O_y) & (i = 0) \\ \text{Ext}_{O_Y}^{k-1}(O_{E_i}(-1), O_y) & (1 \leq i \leq m) \\ 0 & (i \geq m+1) \end{cases} \\ &= \begin{cases} O_y & (i = 0, k = 0, 1, y \in F), \\ O_y & (1 \leq i \leq m, k = 1, 2, y \in E_i), \\ 0 & (\text{otherwise}). \end{cases} \end{aligned}$$

See [14, Sec. 7] for the above lemma. See also Def. 3.4.3.

**Theorem 3.6.** *(The local McKay correspondence) Let  $\mathfrak{m}$  be the maximal ideal of  $O_U$  at the origin,  $y \in Y$  and  $Z_y$  the  $G$ -invariant cluster of  $U$  corresponding to  $y$  and  $I_{Z_y}$  the ideal of  $O_U$  defining  $Z_y$ . Then the  $G$ -module  $\text{Gen}(I_y) := I_{Z_y}/\mathfrak{m}I_{Z_y}$  is given by*

$$\begin{cases} \rho_i \oplus \rho_0 & \text{if } y \in E_i \setminus \cup_{j \neq i} E_j \\ \rho_i \oplus \rho_j \oplus \rho_0 & \text{if } y \in E_i \cap E_j, i \neq j. \end{cases}$$

*Proof.* For later use, we recall how the proof in [14, Th. 7.1] proceeds in the following steps.

1. Since  $\text{Gen}(I_{Z_y})$  is a nontrivial finite  $O_0[G]$ -module, it is a sum of irreducible  $O_0[G]$ -submodules,
2. if  $1 \leq i \leq m$  and  $y \in E_i$ , then by Lemma 3.5, there exists a nonzero element  $\theta \in G\text{-Ext}_{D_G^c(O_U)}^1(O_{Z_y}, O_0 \otimes_k \rho_i)$  and a nontrivial extension of  $O_U$ -modules with  $G$ -action corresponding to  $\theta$ :

$$(3.6.1) \quad 0 \rightarrow O_0 \otimes \rho_i \rightarrow \mathcal{F} \rightarrow O_{Z_y} \rightarrow 0,$$

which is uniquely determined independently of the choice of  $\theta$ ,

3. there exists an  $O_U$ -submodule  $J$  of  $I_y$  such that (3.6.1) is the same as

$$(3.6.2) \quad 0 \rightarrow J/\mathfrak{m}I_y \rightarrow O_U/J \rightarrow O_{Z_y} \rightarrow 0.$$

Since  $J = \text{Ann}_{O_U}(\mathcal{F})$ , the ideal  $J$  is uniquely determined by  $y$  and  $\rho_i$ ,

4. in particular, there are no two copies of the same  $\rho_i$  in  $\text{Gen}(I_{Z_y})$ ,
5. if  $O_0 \otimes_k \rho_i \subset \text{Gen}(I_{Z_y})$  for some  $i \neq 0$  and  $y \notin E_i$ , then Lemma 3.5 gives a  $G$ -invariant  $O_U$ -splitting  $\phi$  of (3.6.2). Since  $O_{Z_y} \simeq O_y[G]$  and  $i \neq 0$ , we have  $\phi(1) = 1$ , from which we derive a contradiction,
6. we can argue for  $\rho_0$  in the same manner.

□

## 4. EXTENSIONS OF THE SOCLE AND THE CUP PRODUCTS

## 4.1. The basic construction of extensions.

**Definition 4.1.1.** Let  $R$  be a commutative ring. For two extensions of  $R$ -modules

$$(s_1) : 0 \longrightarrow A_1 \longrightarrow C_1 \xrightarrow{\phi_1} F \longrightarrow 0,$$

$$(s_2) : 0 \longrightarrow A_2 \longrightarrow C_2 \xrightarrow{\phi_2} F \longrightarrow 0,$$

we define the Bare sum of  $(s_1)$  and  $(s_2)$  to be an exact sequence

$$0 \longrightarrow A_1 \oplus A_2 \longrightarrow C \xrightarrow{\phi} F \longrightarrow 0.$$

where  $C := C_1 \times_F C_2 = \{(c_1, c_2) \in C_1 \times C_2; \phi_1(c_1) = \phi_2(c_2)\}$  and  $\phi(c_1, c_2) = \phi_1(c_1) = \phi_2(c_2)$ . See [5, p. 290].

**Definition 4.1.2.** Let

$$(s) : 0 \longrightarrow A \xrightarrow{\phi} C \xrightarrow{\eta} F \longrightarrow 0.$$

be an exact sequence of  $R$ -modules. For any  $R$ -homomorphism  $\psi : A \rightarrow B$ , we define the *pushforward*  $\psi_*(s)$  of  $(s)$  by  $\psi$  to be an exact sequence:

$$\psi_*(s) : 0 \longrightarrow B \xrightarrow{(\text{id}_B, 0)} C_\psi \xrightarrow{\eta \circ p_2} F \longrightarrow 0.$$

where  $C_\psi := B \times C / (\psi, \phi)(A)$ .

**Definition 4.1.3.** For any  $R$ -homomorphism  $\gamma : E \rightarrow F$ , we define the *pullback*  $\gamma^*(s)$  of  $(s)$  by  $\gamma$  to be an exact sequence:

$$\gamma^*(s) : 0 \longrightarrow A \xrightarrow{(0, \phi)} C^\gamma \xrightarrow{\eta \circ p_2} E \longrightarrow 0$$

where  $C^\gamma := E \times_F C := \{(e, c) \in E \times C; \gamma(e) = \eta(c)\}$ .

**Definition 4.2.** Let  $G$  be a finite group, and  $R$  a commutative ring with  $G$ -action  $\rho$ . Let  $M$  be an  $R$ -module with  $G$ -action, that is,

$$g \cdot (rm) = \rho(g)(r)(g \cdot m) \quad (\forall g \in G, r \in R, m \in M).$$

We define the socle  $\text{Soc}(M)$  of  $M$  to be the sum of all  $G$ -invariant  $R$ -submodules of  $M$  which are irreducible  $G$ -modules.

For a cluster  $Z_y$  with  $y \in F$ ,  $O_{Z_y} = O_U / I_y$  is an  $O_U$ -module supported by the origin of  $U$ . Let  $\mathfrak{m}$  be the maximal ideal of  $O_U$  defining  $O$ . Then

$$\text{Soc}(O_{Z_y}) = [I_y : \mathfrak{m}] / I_y.$$

**Theorem 4.3.** [14] For  $y \in F \subset Y = G\text{-Hilb}(U)$ ,  $\text{Soc}(O_{Z_y})$  is given by

$$[I_y : \mathfrak{m}] / I_y = \begin{cases} \rho_i \otimes \det(\rho_{\text{nat}}) & y \in E_i, y \notin E_j (\forall j \neq i) \\ (\rho_i + \rho_j) \otimes \det(\rho_{\text{nat}}) & y \in E_i \cap E_j \end{cases}$$

*Proof.* By Serre duality

$$G\text{-Ext}_{O_U}^k(O_{Z_y}, O_0 \otimes_k \rho_i)^\vee \simeq G\text{-Ext}_{O_U}^{2-k}(O_0 \otimes_k \rho_i \otimes_k \det \rho_{\text{nat}}, O_{Z_y}),$$

where  $\Omega_U^2 = O_U \otimes \det \rho_{\text{nat}}^\vee$ . Then Theorem follows from Lemma 3.5.  $\square$

4.4. **The structure of the extension  $O_U/\mathfrak{m}I_y$ .** The purpose of this subsection is to study the exact sequence in Theorem 3.6 for  $y \in F$ , to be more precise, its structure under multiplication by linear polynomials in  $x$  and  $y$ :

$$(t_1) : \quad 0 \longrightarrow I_y/\mathfrak{m}I_y \longrightarrow O_U/\mathfrak{m}I_y \xrightarrow{\phi} O_{Z_y} \longrightarrow 0$$

$$\qquad \qquad \qquad \parallel \qquad \qquad \qquad \cup \qquad \qquad \qquad \cup$$

$$(t_2) : \quad 0 \longrightarrow I_y/\mathfrak{m}I_y \longrightarrow [I_y : \mathfrak{m}]/\mathfrak{m}I_y \longrightarrow [I_y : \mathfrak{m}]/I_y \longrightarrow 0.$$

Let  $\text{Gen}(I_y) = I_y/\mathfrak{m}I_y = \bigoplus_{\rho \in \Lambda_y} W(\rho)$  for some  $G$ -invariant  $G$ -irreducible  $O_U$ -submodule  $W(\rho) \neq 0$  by Theorem 3.6.

By Lemma 3.5 and by the proof of Theorem 3.6, for every  $\rho \in \Lambda_y$ , there exist a unique ideal  $J_\rho$  of  $O_U$  with  $\mathfrak{m}I_y \subset J_\rho \subset I_y$  and a nontrivial extension

$$(\text{ext}_\rho) : \quad 0 \longrightarrow W(\rho) \longrightarrow O_U/J_\rho \longrightarrow O_{Z_y} \longrightarrow 0$$

where  $I_y/J_\rho \simeq W(\rho)$  and  $\mathfrak{m}I_y = \bigcap_{\rho \in \Lambda_y} J_\rho$  because  $\text{Gen}(I_y) = \bigoplus_{\rho \in \Lambda_y} W(\rho)$ .

We choose and fix  $0 \neq V(\xi) \subset \text{Soc}(O_{Z_y})$ . Since  $V(\xi)$  is an  $O_U$ -submodule of  $O_{Z_y}$ , there exists an  $O_U$ -submodule  $B(\xi)$  of  $[I_y : \mathfrak{m}]$  such that  $B(\xi)/I_y = V(\xi)$ . Then we have a commutative diagram of exact sequences

$$(u_1) : \quad 0 \longrightarrow \bigoplus_{\rho \in \Lambda_y} W(\rho) \longrightarrow O_U/\mathfrak{m}I_y \xrightarrow{\phi} O_{Z_y} \longrightarrow 0,$$

$$\qquad \qquad \qquad \parallel \qquad \qquad \qquad \cup \qquad \qquad \qquad \cup$$

$$(u_2) : \quad 0 \longrightarrow \bigoplus_{\rho \in \Lambda_y} W(\rho) \longrightarrow B(\xi)/\mathfrak{m}I_y \longrightarrow B(\xi)/I_y \longrightarrow 0.$$

$$\qquad \qquad \qquad \downarrow \qquad \qquad \qquad \downarrow \qquad \qquad \qquad \parallel$$

$$(u_3) : \quad 0 \longrightarrow W(\rho) \longrightarrow B(\xi)/J_\rho \longrightarrow B(\xi)/I_y \longrightarrow 0.$$

Here we note the following:

- ( $\alpha$ ) the exact sequence  $(u_1)$  is the same as  $(t_1)$ ,
- ( $\beta$ ) the exact sequence  $(u_1)$  is the Bare sum of all  $(\text{ext}_\rho)$ ,
- ( $\gamma$ ) the exact sequence  $(u_2)$  is the pullback of  $(u_1)$  by the inclusion  $V(\xi) = B(\xi)/I_y \xrightarrow{j} O_{Z_y}$ ,
- ( $\delta$ ) each  $(\text{ext}_\rho)$  is the pushforward of  $(u_1)$  by the projection from  $\text{Gen}(I_y)$  to  $W(\rho)$  where  $O_U/J_\rho \simeq (W(\rho) \oplus (O_U/\mathfrak{m}I_y)) / \text{Gen}(I_y)$ ,
- ( $\varepsilon$ ) the exact sequence  $(u_3)$  is the pullback of  $(\text{ext}_\rho)$  by  $B(\xi)/I_y \xrightarrow{j} O_{Z_y}$ ,
- ( $\zeta$ ) the exact sequence  $(u_3)$  is also the pushforward of  $(u_2)$  by the projection from  $\text{Gen}(I_y)$  to  $W(\rho)$ .

**Lemma 4.4.1.** *Let  $y \in F$ . Let  $V^\natural(\xi)$  be any  $k[G]$ -submodule (not necessarily an  $O_U$ -submodule) of  $B(\xi)/\mathfrak{m}I_y$  lifting  $V(\xi)$ . Then*

1. *the  $k$ -module  $V^\natural(\xi) + \text{Gen}(I_y)$  is uniquely determined by  $V(\xi)$ ,*
2.  *$S_1 \cdot V^\natural(\xi)$  is a  $k$ -submodule of  $I_y/\mathfrak{m}I_y$  determined uniquely by  $V(\xi)$ , which we denote by  $S_1 \cdot V(\xi)$ ,*

*where  $S_1 := \mathfrak{m}/\mathfrak{m}^2$  is identified with the space of linear polynomials on  $\mathbf{A}_k^2$ .*



*Proof.* We note first that since  $V(\xi) \subset [I_y : \mathfrak{m}]/I_y = \text{Soc}(I_y)$ , we can choose  $V^\natural(\xi) \subset [I_y : \mathfrak{m}]/\mathfrak{m}I_y$ . Let  $V_1^\natural(\xi)$  be another lifting of  $V(\xi)$ . Then  $V_1^\natural(\xi) + I_y/\mathfrak{m}I_y = V^\natural(\xi) + I_y/\mathfrak{m}I_y$ . The first assertion is thus clear.

We prove the second. Since  $V^\natural(\xi) \subset [I_y : \mathfrak{m}]/\mathfrak{m}I_y$ , we have  $\mathfrak{m}V^\natural(\xi) \subset I_y/\mathfrak{m}I_y$ , hence  $\mathfrak{m}^2V^\natural(\xi) = 0$ . It follows

$$S_1 \cdot V_1^\natural(\xi) \subset S_1 \cdot (V^\natural(\xi) + I_y/\mathfrak{m}I_y) = S_1 \cdot V^\natural(\xi) \subset I_y/\mathfrak{m}I_y.$$

Therefore  $S_1 \cdot V_1^\natural(\xi) = S_1 \cdot V^\natural(\xi)$ .  $\square$

**Lemma 4.4.2.** *Let  $y \in F$ . Let  $V_\rho^\natural(\xi)$  be any  $k[G]$ -submodule (not necessarily an  $O_U$ -submodule) of  $B(\xi)/J_\rho$  lifting  $V(\xi)$ . Then*

1. *the  $k$ -module  $V_\rho^\natural(\xi) + I_y/J_\rho$  is uniquely determined by  $V(\xi)$ ,*
2.  *$S_1 \cdot V_\rho^\natural(\xi)$  is a  $k$ -submodule of  $I_y/J_\rho$ , uniquely determined by  $V(\xi)$ ,*
3.  *$S_1 \cdot V_\rho^\natural(\xi) = S_1 \cdot V^\natural(\xi) + J_\rho/J_\rho$ ,*

where  $I_y/J_\rho \simeq W(\rho)$ .

*Proof.* The first two assertions are proved in the same manner as before. We prove the third. By our choice of  $V^\natural(\xi)$  in Lemma 4.4.1,  $\phi$  maps  $V^\natural(\xi)$  isomorphically onto  $V(\xi)$ , hence  $V^\natural(\xi) + J_\rho/J_\rho \simeq V(\xi)$ . Hence we can choose  $V^\natural(\xi) + J_\rho/J_\rho$  as  $V_\rho^\natural(\xi)$ . By the second assertion we have

$$S_1 \cdot V_\rho^\natural(\xi) = S_1 \cdot V^\natural(\xi) + J_\rho/J_\rho.$$

This proves Lemma.  $\square$

**Corollary 4.4.3.** *Under the same notation, the following are equivalent:*

1. *the exact sequence  $(u_3)$ , which is by  $(\varepsilon)$  the pullback of  $(\text{ext}_\rho)$  via the inclusion  $V(\xi) \hookrightarrow O_{Z_y}$ , is a trivial extension,*
2. *there exists a  $G$ -invariant  $O_U$ -module  $V_\rho^\natural(\xi)$  of  $B(\xi)/J_\rho$  lifting  $V(\xi)$ ,*
3. *every lifting  $V_\rho^\natural(\xi)$  of  $V(\xi)$  to  $B(\xi)/J_\rho$  is a  $G$ -invariant  $O_U$ -module,*
4.  *$S_1 \cdot V_\rho^\natural(\xi) = \{0\}$ ,*
5.  *$S_1 \cdot V(\xi) \cap W(\rho) = \{0\}$ .*

**Corollary 4.4.4.** *Under the same notation, the following are equivalent:*

1. *the exact sequence  $(u_3)$  does not split,*
2. *no lifting  $V_\rho^\natural(\xi)$  of  $V(\xi)$  is a  $G$ -invariant  $O_U$ -module,*
3.  *$S_1 \cdot V_\rho^\natural(\xi) = W(\rho)$ ,*
4.  *$S_1 \cdot V(\xi) \supset W(\rho)$ .*

**4.5. Translation of the splitting.** We choose and fix irreducible representations  $\rho$  and  $\chi$  of  $G$  such that  $W(\rho) \subset \text{Gen}(I_y)$  and  $V(\xi) \subset \text{Soc}(O_{Z_y})$ .

The extension  $(\text{ext}_\rho)$  is uniquely determined by  $\rho$  in view of the proof (Step 3) of Theorem 3.6. Since every irreducible submodule  $V(\xi)$  of  $O_{Z_y}$  is unique, the extension (4.5.1) is, in view of  $(\varepsilon)$ , isomorphic to the pullback  $(u_3)$  of  $(\text{ext}_\rho)$  via the inclusion  $j : V(\xi) \hookrightarrow O_{Z_y}$ :

$$(4.5.1) \quad 0 \longrightarrow W(\rho) \longrightarrow B(\xi)/J_\rho \longrightarrow V(\xi) = B(\xi)/I_y \longrightarrow 0.$$

Associated to (4.5.1), we have a natural cup product

$$(4.5.2) \quad \begin{aligned} \mathrm{Hom}_{D_c^G(U)}^0(O_0 \otimes_k \xi, O_{Z_y}) \times \mathrm{Hom}_{D_c^G(U)}^1(O_{Z_y}, O_0 \otimes_k \rho) \\ \rightarrow \mathrm{Hom}_{D_c^G(U)}^1(O_0 \otimes_k \xi, O_0 \otimes_k \rho). \end{aligned}$$

By Corollary 4.4.3, the following are equivalent:

- (a) the cup product (4.5.2) is zero,
- (b) the extension (4.5.1) is trivial,
- (c)  $S_1 \cdot V(\xi) \cap W(\rho) = \{0\}$ .

Similarly by Corollary 4.4.4, the following are equivalent:

- (i) the cup product (4.5.2) is nonzero,
- (ii) the extension (4.5.1) is nontrivial,
- (iii)  $S_1 \cdot V(\xi) \supset W(\rho)$ .

**4.6. Translation of the cup product.** Let  $\rho, \xi \in \mathrm{Irr}(G)$ ,  $\Omega_U^2 = O_U \otimes_k \det \rho_{\mathrm{nat}}^\vee$  and  $\alpha = \xi \otimes \det \rho_{\mathrm{nat}}^\vee$ . Suppose  $\alpha$  is nontrivial and special. We recall Serre duality for a nonsingular surface  $Z$ :

$$(4.6.1) \quad \mathrm{Ext}_{D_c(Z)}^p(B^\bullet, A^\bullet \otimes_{O_Z} \omega_Z) \simeq \mathrm{Ext}_{D_c(Z)}^{2-p}(A^\bullet, B^\bullet)^\vee.$$

We apply it to  $Z = U$  and  $Y$  to obtain the following for  $\alpha \neq \rho_0$ ,

$$\begin{aligned} \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \xi, O_{Z_y}) &\simeq \mathrm{Hom}_{D_c^G(U)}^{2-k}(O_{Z_y}, O_0 \otimes_k \xi \otimes_k \Omega_U^2)^\vee \quad \text{by (4.6.1)} \\ &= \mathrm{Hom}_{D_c^G(U)}^{2-k}(O_{Z_y}, O_0 \otimes_k \alpha)^\vee \\ &\simeq \mathrm{Ext}_{O_Y}^{1-k}(O_{E(\alpha)}(-1), O_y)^\vee \quad (\text{Lemma 3.5}) \\ &\simeq \mathrm{Ext}_{O_Y}^{1-k}(O_{E(\alpha)}(-1), O_y \otimes_{O_Y} \omega_Y)^\vee \\ &\simeq \mathrm{Ext}_{O_Y}^{1+k}(O_y, O_{E(\alpha)}(-1)) \quad \text{by (4.6.1)}. \end{aligned}$$

If  $\rho$  is nontrivial and special, then by Lemma 3.5

$$\mathrm{Hom}_{D_c^G(U)}^k(O_{Z_y}, O_0 \otimes_k \rho) \simeq \mathrm{Ext}_{O_Y}^{k-1}(O_{E(\rho)}(-1), O_y).$$

We also see

$$\begin{aligned} \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \rho_0 \otimes_k \det(\rho_{\mathrm{nat}}), O_{Z_y}) &\simeq \mathrm{Ext}_{O_Y}^{2-k}(O_F, O_y)^\vee \simeq \mathrm{Ext}_{O_Y}^k(O_y, O_F), \\ \mathrm{Hom}_{D_c^G(U)}^k(O_{Z_y}, O_0 \otimes_k \rho_0) &\simeq \mathrm{Ext}_{O_Y}^k(O_F, O_y). \end{aligned}$$

We see by  $O_0 = \omega_0 = O_0^\vee \otimes_{O_Y} \omega_U[2]$ ,

$$\begin{aligned} \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \xi, O_0 \otimes_k \rho) &\simeq \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \xi, (O_0^\vee \otimes_k \rho) \otimes_{O_Y} \omega_U[2]) \\ &\simeq \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \rho^*, (O_0 \otimes_k \xi)^\vee \otimes_{O_Y} \omega_U[2]) \\ &\simeq \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \rho^*, O_0^\vee \otimes_k \xi^* \otimes_{O_Y} \omega_U[2]) \\ &\simeq \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \rho^*, O_0 \otimes_k \xi^*), \end{aligned}$$

which is further translated in Subsec. 4.7.

**4.7. The case where  $G \subset \mathrm{SL}(2)$ .** In this subsection, we assume  $G \subset \mathrm{SL}(2)$ . Since  $G \subset \mathrm{SL}(2)$ , both  $\Psi$  and  $\Phi$  are equivalences of the categories such that  $\Psi\Phi = \mathrm{id}_{D_c(Y)}$  and  $\Phi\Psi = \mathrm{id}_{D_c^G(U)}$ . This is proved by the same argument as in [3] and [14, Th. 6.2].

In what follows we consider a pair  $\xi$  and  $\rho$  such that  $\xi \subset \mathrm{Soc}(O_{Z_y})$  and  $\rho \subset \mathrm{Gen}(I_y)$ . Since  $G \subset \mathrm{SL}(2)$ ,  $\det(\rho_{\mathrm{nat}}) = \rho_0$  and  $\xi = \alpha$ .

First we assume  $\alpha \neq \rho_0$ . If neither  $\alpha$  nor  $\rho$  are trivial, then

$$\begin{aligned} \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \alpha, O_0 \otimes_k \rho) &\simeq \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \rho^*, O_0 \otimes_k \alpha^*) \\ &\simeq \mathrm{Hom}_{D_c(Y)}^k(\Psi(O_0 \otimes_k \rho^*), \Psi(O_0 \otimes_k \alpha^*)) \\ &= \mathrm{Ext}_{O_Y}^k(O_{E(\rho)}(-1), O_{E(\alpha)}(-1)). \end{aligned}$$

If  $\rho = \rho_0$  but  $\alpha \neq \rho_0$ , then

$$\begin{aligned} \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \alpha, O_0 \otimes_k \rho) &\simeq \mathrm{Hom}_{D_c^G(U)}^k(O_0 \otimes_k \rho^*, O_0 \otimes_k \alpha^*) \\ &\simeq \mathrm{Hom}_{D_c^G(U)}^k(\Psi(O_0 \otimes_k \rho_0^*), \Psi(O_0 \otimes_k \alpha^*)) \\ &= \mathrm{Ext}_{O_Y}^k(O_F, O_{E(\alpha)}(-1)[1]) \\ &= \mathrm{Ext}_{O_Y}^{k+1}(O_F, O_{E(\alpha)}(-1)) \\ &= \mathrm{Ext}_{O_Y}^{1-k}(O_{E(\alpha)}(-1), O_F)^\vee. \end{aligned}$$

Hence the cup product in  $D_c^G(U)$

$$(4.7.1) \quad \begin{aligned} \mathrm{Hom}_{D_c^G(U)}^0(O_0 \otimes_k \alpha, O_{Z_y}) \times \mathrm{Hom}_{D_c^G(U)}^1(O_{Z_y}, O_0 \otimes_k \rho) \\ \rightarrow \mathrm{Hom}_{D_c^G(U)}^1(O_0 \otimes_k \alpha, O_0 \otimes_k \rho). \end{aligned}$$

is translated into the following cup product in  $D_c(Y)$

$$(4.7.2) \quad \begin{aligned} \mathrm{Ext}_{O_Y}^1(O_y, O_{E(\alpha)}(-1)) \times \mathrm{Hom}_{O_Y}(O_{E(\rho)}(-1), O_y) \\ \rightarrow \mathrm{Ext}_{O_Y}^1(O_{E(\rho)}(-1), O_{E(\alpha)}(-1)) \end{aligned}$$

if  $\rho \neq \rho_0$ ,  $\alpha \neq \rho_0$ . If  $\rho = \rho_0$  and  $\alpha \neq \rho_0$ , then (4.7.1) is translated into

$$(4.7.3) \quad \begin{aligned} \mathrm{Ext}_{O_Y}^1(O_y, O_{E(\alpha)}(-1)) \times \mathrm{Ext}_{O_Y}^1(O_F, O_y) \\ \rightarrow \mathrm{Ext}_{O_Y}^2(O_F, O_{E(\alpha)}(-1)). \end{aligned}$$

By Serre duality (4.6.1), this cup product is equivalent to

$$(4.7.4) \quad \begin{aligned} \mathrm{Ext}_{O_Y}^1(O_y, O_{E(\alpha)}(-1)) \times \mathrm{Hom}_{O_Y}(O_{E(\alpha)}(-1), O_F) \\ \rightarrow \mathrm{Ext}_{O_Y}^1(O_y, O_F). \end{aligned}$$

**Lemma 4.8.** *Suppose  $G \subset \mathrm{GL}(2)$ . Let  $F$  be the fundamental divisor, and  $C$  any irreducible component of  $F$ . Then we have*

$$\mathrm{Ext}_{O_Y}^q(O_y, O_C(-1)) \simeq \mathrm{Ext}_{O_Y}^{2-q}(O_C(-1), O_y)^\vee = \begin{cases} k & (q = 1, 2, y \in C) \\ 0 & (\text{otherwise}) \end{cases}$$

$$\mathrm{Ext}_{O_Y}^q(O_y, O_F) \simeq \mathrm{Ext}_{O_Y}^{2-q}(O_F, O_y)^\vee = \begin{cases} k & (q = 1, 2, y \in F) \\ 0 & (\text{otherwise}) \end{cases}$$

**Lemma 4.9.** *Suppose  $G \subset \mathrm{GL}(2)$ . Let  $F$  be the fundamental divisor, and  $E, C$  any irreducible component of  $F$  with  $E \neq C$ . Then we have*

$$\mathrm{Ext}_{O_Y}^q(O_C(-1), O_E(-1)) = \begin{cases} k & (q = 1, CE = 1) \\ 0 & (\text{otherwise}) \end{cases}$$

$$\mathrm{Ext}_{O_Y}^q(O_E(-1), O_E(-1)) = \begin{cases} k & (q = 0) \\ k^{\oplus(1+d)} & (q = 2, E^2 = -2 - d) \\ 0 & (\text{otherwise}) \end{cases}$$

$$\mathrm{Ext}_{O_Y}^q(O_F, O_E(-1)) = \begin{cases} k^{\oplus e} & (q = 2, FE = -e < 0) \\ 0 & (q = 2, FE = 0) \\ 0 & (\text{otherwise}) \end{cases}$$

where  $d = 0$  and  $e \leq 2$  if  $G \subset \mathrm{SL}(2)$ <sup>1</sup>.

The proof of the lemmas is easy, so we omit it.

**Lemma 4.10.** *Suppose  $G \subset \mathrm{SL}(2)$ . Then the following is true:*

1. *the cup product of (4.7.2) is*  $\begin{cases} \neq 0 & \text{if } \{y\} = E(\alpha) \cap E(\rho) \\ 0 & (\text{otherwise}) \end{cases}$
2. *the cup product of (4.7.3) is*  $\begin{cases} \neq 0 & \text{if } FE(\alpha) \neq 0, y \in E(\alpha) \\ 0 & (\text{otherwise}) \end{cases}$

*Proof.* First we prove (1). Let  $E = E(\alpha)$ ,  $C = E(\rho)$ ,  $A = O_E(-1)$  and  $B = O_C(-1)$ . Assume  $E \neq C$ . It suffices to consider the case  $\{y\} = E \cap C$  by Lemma 4.8. Hence  $EC = 1$ . Since  $y \in E$ , we have an exact sequence

$$(u) \quad : \quad 0 \rightarrow O_E(-1) \rightarrow O_E \rightarrow O_y \rightarrow 0$$

which is a nontrivial extension given by a nonzero element of  $\mathrm{Ext}_{O_Y}^1(O_y, A)$ . Let  $\gamma \in \mathrm{Hom}_{O_Y}(B, O_y)$  be a nonzero class. The cup product (4.7.2) is the pullback  $\gamma^*(u)$  of  $(u)$ , which is given explicitly by

$$0 \rightarrow O_E(-1) \rightarrow O_{E+C}(L) \rightarrow O_C(-1) \rightarrow 0$$

where  $L$  is the unique line bundle of  $E + C$  such that  $L_E = O_E$  and  $L_C = O_C(-1)$ . This is a nontrivial extension because the following is nontrivial:

$$0 \rightarrow O_E(-1) \rightarrow O_{E+C} \rightarrow O_C \rightarrow 0.$$

---

<sup>1</sup> $e = 2$  in the  $A_1$  case; otherwise  $e \leq 1$ . See Lemma 4.10, Step 3.

If  $E = C$ , then  $\gamma^*(u)$  is trivial because  $\text{Ext}_{O_Y}^1(A, A) = 0$  by Lemma 4.9.

Next we prove (2) in 3 steps. Let  $E = E(\alpha)$ . We note that  $FE \neq 0$  implies  $FE = -2$  in the case  $A_1$ , while  $FE = -1$  in the other cases.

*Step 1.* Suppose  $FE = -1$ . It suffices to consider the case  $y \in E$ . Let  $H = F - E$ . Let  $\theta \in \text{Hom}_{O_Y}(O_E(-1), O_F)$  be a nonzero element. From the exact sequence  $0 \rightarrow O_E(-1) \rightarrow O_F \rightarrow O_H \rightarrow 0$ , we obtain an injection  $\phi$

$$0 = \text{Hom}(O_y, O_H) \rightarrow \text{Ext}^1(O_y, O_E(-1)) \xrightarrow{\phi} \text{Ext}^1(O_y, O_F).$$

Since  $\text{Hom}_{O_Y}(O_E(-1), O_F)$  is one-dimensional by Lemma 4.9,  $\phi$  is the cup product (4.7.4). Thus (4.7.4) is nonzero.

*Step 2.* If  $FE = 0$ , then  $\text{Ext}_{O_Y}^2(O_F, O_E(-1)) = 0$  by Lemma 4.9, hence the cup product (4.7.3) is zero.

*Step 3.* If  $FE = -2$ , it is the  $A_1$  case, where  $F = E$ ,  $FE = E^2 = -2$  and  $\text{Ext}_{O_Y}^2(O_F, O_E(-1))$ , hence  $\text{Hom}_{O_Y}(O_E(-1), O_F)$  is 2-dimensional by Lemma 4.9. There exists a nonzero  $\theta \in \text{Hom}_{O_Y}(O_E(-1), O_F)$  such that

$$0 \rightarrow O_E(-1) \xrightarrow{\theta} O_F (= O_E) \rightarrow O_{y'} \rightarrow 0$$

is exact for some  $y' \neq y$ ,  $y' \in E$ . Then we have an injection  $\phi$

$$0 = \text{Hom}(O_y, O_{y'}) \rightarrow \text{Ext}^1(O_y, O_E(-1)) \xrightarrow{\phi} \text{Ext}^1(O_y, O_F)$$

By Lemma 4.8,  $\phi$  is an isomorphism and the cup product (4.7.4) is nonzero. This proves (2).  $\square$

Summarizing Subsections 4.4–4.7, we obtain the following.

**Theorem 4.11.** *Suppose  $G \subset \text{SL}(2)$ . Under the above notation especially with  $\xi = \alpha$ , let  $y \in F$ ,  $V(\alpha) \subset \text{Soc}(I_y)$ <sup>2</sup> and  $W(\rho) \subset \text{Gen}(I_y)$ . Then the following are equivalent:*

1.  $W(\rho) \subset S_1 \cdot V(\alpha)$  in  $\text{Gen}(I_y)$ ,
2. the extension (4.5.1) is nontrivial,
3. the cup product (4.5.2) is nonzero,
4. the cup product (4.7.1) is nonzero,
5. (4.7.2) is nonzero if  $\rho \neq \rho_0$ , while (4.7.3) is nonzero if  $\rho = \rho_0$ ,
6.  $E(\alpha)E(\rho) = 1$  if  $\rho \neq \rho_0$ , while  $E(\alpha)(-F) \neq 0$ <sup>3</sup> if  $\rho = \rho_0$ .

## 5. THE GLOBAL MCKAY CORRESPONDENCE

**5.1. The ideals  $n_Y$  and  $I_Y$ .** Let  $U = \mathbf{A}_k^2$ ,  $X = U/G$ ,  $Y = G\text{-Hilb}(U)$  and  $f : Y \rightarrow X$  the natural morphism, which is the minimal resolution of  $X$ . Let  $\pi_Y : Y \times_k U \rightarrow Y$  and  $\pi_U : Y \times_k U \rightarrow U$  be the first and the second projection. Since  $Y \times_X X \simeq Y$ ,  $Y$  is a closed subscheme of  $Y \times_k X$ . Let  $I_Y$  be the ideal of  $O_{Y \times_k X}$  defining  $Y$ , and  $n_Y = I_Y O_{Y \times_k U}$ .

<sup>2</sup>hence  $\alpha \neq \rho_0$  by Theorem 3.6

<sup>3</sup>this is equivalent to  $\alpha = \rho_{\text{nat}}$  if it is not the  $A_n$ -case

Let  $\mathcal{Z}$  be the universal subscheme of  $Y \times_k U$ , and  $\mathcal{I}$  the ideal sheaf of  $O_{Y \times_k U}$  defining  $\mathcal{Z}$ . We have a commutative diagram of structure sheaves:

$$\begin{array}{ccc} O_{\mathcal{Z}} & \xleftarrow{q^*} & O_U \\ p^* \uparrow & & \uparrow \pi^* \\ O_Y & \xleftarrow{f^*} & O_X. \end{array}$$

where

$$\begin{aligned} O_Y &\simeq O_Y \otimes_{O_X} O_X \simeq O_Y \otimes_k O_X / I_Y, \\ O_Y \otimes_k O_X &\xrightarrow{\text{id}_Y^* \otimes \pi^*} O_Y \otimes_k O_U \xrightarrow{p^* \otimes q^*} O_{\mathcal{Z}}, \\ O_Y \otimes_k O_X / I_Y &\simeq O_Y \xrightarrow{p^*} O_{\mathcal{Z}} = O_Y \otimes_k O_U / \mathcal{I}. \end{aligned}$$

**5.2. The fundamental divisor  $F$ .** Let  $F$  be the fundamental divisor of the singularity  $(X, 0)$  (see Subsec. 2.3). For a finite subgroup of  $\text{SL}(2, k)$  other than cyclic groups, that is, for every rational double singularity other than  $A_n$  singularities, there is a unique irreducible component  $E_{i_0}$  of  $f^{-1}(0)$  such that  $FE_{i_0} = -1$  and  $FE_j = 0$  ( $j \neq i_0$ ). Let  $C = F_{\text{red}}$ . Since

$$O_F(-F) \otimes O_{E_i} \simeq \begin{cases} O_{E_{i_0}}(1) & (i = i_0) \\ O_{E_j} & (i \neq i_0), \end{cases}$$

$O_E(-F)$  is a line bundle of degree one on  $E$ .

As is well known, the dual graph of  $E$  is identified with the Dynkin diagram  $\Gamma$  of the (corresponding) Lie algebra. The extended Dynkin diagram is obtained by adding to  $\Gamma$  as a vertex the highest root  $\alpha_0$  with  $(\alpha_0)^2 = 2$ . Then it is natural to identify  $-F$  with  $\alpha_0$  in the extended Dynkin diagram.

**Lemma 5.2.1.** *Let  $\mathfrak{m}$  be the (maximal) ideal of  $O_U$  defining the origin  $0$ , and  $I_F$  the ideal of  $O_Y$  defining the fundamental divisor  $F$ . Then*

1.  $O_{Y \times_X U} \simeq O_Y \otimes_k O_U / \mathfrak{n}_Y$ , that is,  $\mathfrak{n}_Y$  is the ideal of  $O_Y \otimes_k O_U$  defining the fiber product  $Y \times_X U$ .
2.  $\mathcal{Z} \subset Y \times_X U$ , that is,  $\mathfrak{n}_Y \subset \mathcal{I}$ ,
3.  $\pi_U^* \mathfrak{m} + \mathfrak{n}_Y = \pi_U^* \mathfrak{m} + I_F O_{Y \times_k U} = \pi_U^* \mathfrak{m} + \mathcal{I}$ ,
4.  $\mathfrak{m} \mathcal{I} + \mathfrak{n}_Y \mathcal{I} = \mathfrak{m} \mathcal{I} + \mathcal{I}^2 = \mathfrak{m} \mathcal{I} + I_F \mathcal{I}$ .

*Proof.* Let  $\mathfrak{m}_X$  be the (maximal) ideal of  $O_X$  defining the singular point  $0$ . The ideal  $I_Y$  of  $O_Y \otimes_k O_X$  is generated by  $(f^*a) \otimes 1 - 1 \otimes a$  for  $a \in \mathfrak{m}_X$ . Therefore  $\mathfrak{n}_Y$  is generated by  $(f^*a) \otimes 1 - 1 \otimes (\pi^*a)$  for  $a \in \mathfrak{m}_X$ . Hence  $O_Y \otimes_k O_U / \mathfrak{n}_Y$  is the structure sheaf of the fiber product  $Y \times_X U$ . Since  $\mathcal{Z} \subset Y \times_X U$ , we have  $I_Y \subset \mathcal{I}$ , so that  $\mathfrak{n}_Y \subset \mathcal{I}$ . This proves part (2).

The inclusion  $\pi_U^* \mathfrak{m} + \mathfrak{n}_Y \subset \pi_U^* \mathfrak{m} + \mathcal{I}$  is clear. We shall prove the converse. Since  $\mathfrak{n}_Y$  is generated by  $(f^*a) \otimes 1 - 1 \otimes (\pi^*a)$  for  $a \in \mathfrak{m}_X$ , we have  $(f^*a) \otimes 1 \in \pi_U^* \mathfrak{m} + \mathfrak{n}_Y$  because  $\pi^*a \in \mathfrak{m}$ . Thus  $\pi_U^* \mathfrak{m} + \mathfrak{n}_Y = \pi_U^* \mathfrak{m} + I_F O_{Y \times_k U}$ .

We see

$$\begin{aligned} O_{Y \times_k U} / (\pi_U^* \mathfrak{m} + I_F O_{Y \times_k U}) &\simeq (O_Y / I_F) \otimes_{O_{Y \times_k U}} (O_U / \mathfrak{m}) = O_F, \\ O_{Y \times_k U} / (\pi_U^* \mathfrak{m} + \mathcal{I}) &\simeq (O_{Y \times_k U} / \mathcal{I}) \otimes_{O_{Y \times_k U}} (O_U / \mathfrak{m}) \\ &\simeq O_{\mathcal{Z}} \otimes_{O_{Y \times_k U}} (O_U / \mathfrak{m}) = O_F \end{aligned}$$

by Lemma 3.4.4 (2). It follows that

$$\pi_U^* \mathfrak{m} + I_F O_{Y \times U} = \pi_U^* \mathfrak{m} + \mathcal{I}.$$

This proves Lemma.  $\square$

**Lemma 5.2.2.** *Let  $\mathfrak{n} := (\mathfrak{m} \cap k[x, y]^G) O_U$ . Then the following is true:*

1.  $I_{Z_y} \simeq \mathcal{I} / \mathfrak{m}_y \mathcal{I} \simeq (\mathcal{I} / \mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U))$ ,
2.  $\mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U) = \mathfrak{m}_y \mathcal{I}$ ,
3.  $\mathfrak{n}_Y + \mathfrak{m}_y \otimes_k O_U = O_Y \otimes_k \mathfrak{n} + \mathfrak{m}_y \otimes_k O_U$  if  $y \in F$ ,
4.  $(\mathfrak{n}_Y + \mathfrak{m}_y \mathcal{I}) / \mathfrak{m}_y \mathcal{I} \simeq \mathfrak{n}$  if  $y \in F$ .

*Proof.* Since  $O_{\mathcal{Z}}$  is  $O_Y$ -flat,

$$\begin{aligned} O_{Z_y} &\simeq O_{\mathcal{Z}} \otimes_{O_Y} O_y = (O_{Y \times_k U} / \mathcal{I}) \otimes_{O_Y} O_y \\ &\simeq (O_Y \times_k O_U) / (\mathcal{I} + \mathfrak{m}_y \otimes_k O_U) \\ &\simeq (O_y \times_k O_U) / (\mathcal{I} + \mathfrak{m}_y \otimes_k O_U) / (\mathfrak{m}_y \otimes_k O_U) \\ &\simeq (O_y \times_k O_U) / (\mathcal{I} / \mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U)), \end{aligned}$$

whence  $I_{Z_y} \simeq \mathcal{I} / \mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U)$ . This proves the part (1).

Since  $O_{\mathcal{Z}}$  is  $O_Y$ -flat again, the following is an exact sequence:

$$0 \rightarrow \mathcal{I} \otimes_{O_{Y \times_k U}} O_y \rightarrow O_y \otimes_k O_U (\simeq O_U) \rightarrow O_{Z_y} (\simeq O_{\mathcal{Z}} \otimes_{O_{Y \times_k U}} O_y) \rightarrow 0,$$

so that we have  $I_{Z_y} = \mathcal{I} / \mathfrak{m}_y \mathcal{I}$ . Hence we have

$$\mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U) = \mathfrak{m}_y \mathcal{I},$$

which proves the part (2). Since  $\mathfrak{n}_Y$  is generated by  $f^* a \otimes 1 - 1 \otimes \pi^* a$  for  $a \in \mathfrak{m}_X$  and  $\pi^* \mathfrak{m}_X = \mathfrak{n}$ , we have  $\mathfrak{n}_Y + \mathfrak{m}_y \otimes_k O_U = O_Y \otimes_k \mathfrak{n} + \mathfrak{m}_y \otimes_k O_U$  for  $y \in F$ , which is the part (3). It follows that

$$\begin{aligned} (\mathfrak{n}_Y + \mathfrak{m}_y \mathcal{I}) / \mathfrak{m}_y \mathcal{I} &= (\mathfrak{n}_Y + \mathfrak{m}_y \mathcal{I}) / \mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U) \\ &\simeq \mathfrak{n}_Y / \mathfrak{n}_Y \cap \mathcal{I} \cap (\mathfrak{m}_y \otimes_k O_U) \\ &= \mathfrak{n}_Y / \mathfrak{n}_Y \cap (\mathfrak{m}_y \otimes_k O_U) \quad \text{by Lemma 5.2.1 (2)} \\ &\simeq \mathfrak{n}_Y + \mathfrak{m}_y \otimes_k O_U / (\mathfrak{m}_y \otimes_k O_U) \\ &\simeq (O_Y \otimes_k \mathfrak{n} + \mathfrak{m}_y \otimes_k O_U) / (\mathfrak{m}_y \otimes_k O_U) \simeq \mathfrak{n}. \end{aligned}$$

This completes the proof.  $\square$

**Definition 5.2.3.** We define

$$\begin{aligned} \mathcal{V} &:= \mathcal{I} / (\mathfrak{m} \mathcal{I} + \mathfrak{n}_Y), \\ \mathcal{V}^\dagger &:= \mathcal{I} / (\mathfrak{m} \mathcal{I} + I_F \mathcal{I}) \simeq (\mathcal{I} / \mathfrak{m} \mathcal{I}) \otimes_{O_Y} O_F. \end{aligned}$$

**Lemma 5.2.4.** For  $y \in F$ ,

$$\mathcal{V} \otimes_{O_Y} O_y \simeq I_{Z_y}/(\mathfrak{m}I_{Z_y} + \mathfrak{n}); \quad \mathcal{V}^\dagger \otimes_{O_Y} O_y \simeq I_{Z_y}/\mathfrak{m}I_{Z_y}.$$

*Proof.* Let  $y \in Y$  and  $\mathfrak{m}_y$  the maximal ideal of  $O_{Y,y}$ . Hence  $O_y = O_{Y,y}/\mathfrak{m}_y = O_y$ . Since  $I_{Z_y} = \mathcal{I}/\mathfrak{m}_y\mathcal{I}$ , we have

$$\begin{aligned} \mathcal{V} \otimes_{O_Y} O_y &\simeq (\mathcal{I}/(\mathfrak{m}\mathcal{I} + \mathfrak{n}_Y)) \otimes_{O_Y} O_y \\ &= \mathcal{I}/(\mathfrak{m}\mathcal{I} + \mathfrak{n}_Y + \mathfrak{m}_y\mathcal{I}) \\ &\simeq (\mathcal{I}/\mathfrak{m}_y\mathcal{I})/(\mathfrak{m}(\mathcal{I}/\mathfrak{m}_y\mathcal{I}) + (\mathfrak{n}_Y + \mathfrak{m}_y\mathcal{I})/\mathfrak{m}_y\mathcal{I}) \\ &\simeq I_{Z_y}/(\mathfrak{m}I_{Z_y} + \mathfrak{n}), \\ \mathcal{V}^\dagger \otimes_{O_Y} O_y &= \mathcal{I}/(\mathfrak{m}\mathcal{I} + I_F\mathcal{I} + \mathfrak{m}_y\mathcal{I}) = \mathcal{I}/(\mathfrak{m}\mathcal{I} + \mathfrak{m}_y\mathcal{I}) \\ &\simeq (\mathcal{I}/\mathfrak{m}_y\mathcal{I})/(\mathfrak{m}\mathcal{I} + \mathfrak{m}_y\mathcal{I})/\mathfrak{m}_y\mathcal{I} \\ &\simeq (\mathcal{I}/\mathfrak{m}_y\mathcal{I})/m(\mathcal{I}/\mathfrak{m}_y\mathcal{I}) \simeq I_{Z_y}/\mathfrak{m}I_{Z_y}. \end{aligned}$$

□

**Definition 5.3.** For a coherent  $O_{Y \times_k U}$ -module  $J$ , we define a functor

$$\Psi_J : D_c^G(U) \rightarrow D_c(Y)$$

by

$$\Psi_J(A) = [p_*(\mathbf{L}\pi_U^*(A) \otimes_{O_{Y \times_k U}}^{\mathbf{L}} J)]^G = \mathbf{R}(\pi_Y)_*(\mathbf{L}\pi_U^*(A) \otimes_{O_{Y \times_k U}}^{\mathbf{L}} J)]^G$$

where  $A \in D_c^G(U)$ . Note that  $\Psi = \Psi_{O_Z}$ .

**Lemma 5.4.** The following is true:

1.  $\Psi(O_0 \otimes_k \rho_i^*) = \begin{cases} O_F & (i = 0), \\ O_{E_i}(-1)[1] & (\rho_i : \text{nontrivial special}), \end{cases}$
2.  $\Psi_{O_{Y \times_k U}}(O_0 \otimes_k \rho_i^*) = \begin{cases} O_Y & (i = 0), \\ 0 & (i \neq 0), \end{cases}$
3.  $\Psi_{\mathcal{I}}(O_0 \otimes_k \rho_i^*) = \begin{cases} O_Y(-F) & (i = 0), \\ O_{E_i}(-1) & (i \neq 0), \\ 0 & (\text{otherwise}). \end{cases}$

*Proof.* The part (1) follows from Lemma 3.4.4. Since  $O_{Y \times_k U}$  is  $O_{Y \times_k U}$ -flat, by definition,

$$\begin{aligned} \Psi_{O_{Y \times_k U}}(O_0 \otimes_k \rho_i^*) &= [p_*(O_Y \otimes_k (O_0 \otimes_k \rho_i^*))]^G \\ &= [O_Y \otimes_k \rho_i^*]^G = \begin{cases} O_Y & (i = 0) \\ 0 & (i \neq 0) \end{cases} \end{aligned}$$



The part (3) follows from the parts (1) and (2) and the exact sequence:

$$\begin{aligned} &\longrightarrow \Psi_{\mathcal{I}}^{-2}(O_0 \otimes_k \rho_0^*) \longrightarrow \Psi_{O_{Y \times_k U}}^{-2}(O_0 \otimes_k \rho_0^*) \longrightarrow \Psi_{O_Z}^{-2}(O_0 \otimes_k \rho_0^*) \\ &\longrightarrow \Psi_{\mathcal{I}}^{-1}(O_0 \otimes_k \rho_0^*) \longrightarrow \Psi_{O_{Y \times_k U}}^{-1}(O_0 \otimes_k \rho_0^*) \longrightarrow \Psi_{O_Z}^{-1}(O_0 \otimes_k \rho_0^*) \\ &\longrightarrow \Psi_{\mathcal{I}}^0(O_0 \otimes_k \rho_0^*) \longrightarrow \Psi_{O_{Y \times_k U}}^0(O_0 \otimes_k \rho_0^*) \longrightarrow \Psi_{O_Z}^0(O_0 \otimes_k \rho_0^*) \longrightarrow 0. \end{aligned}$$

This proves the part (3).  $\square$

The goal of this section is to prove the following

**Theorem 5.5.** *(The global McKay correspondence)*

$$\begin{aligned} \mathcal{V} &\simeq \sum_{\rho_i \neq \rho_0} O_{E_i}(-1) \otimes_k \rho_i, \\ \mathcal{V}^\dagger &\simeq \mathcal{V} \oplus O_F(-F) \otimes_k \rho_0, \end{aligned}$$

where  $\rho_i$  ranges over all non-trivial special irreducible representations of  $G$ .

**Corollary 5.6.** *Let  $\mathfrak{n} = (\mathfrak{m} \cap k[x, y]^G)O_U$ . Then*

$$\begin{aligned} \mathcal{V} \otimes_{O_Y} O_y &= \begin{cases} \rho_i & (y \in E_i \setminus \cup_{j \neq i} E_j) \\ \rho_i \oplus \rho_j & (y \in E_i \cap E_j, i \neq j), \end{cases} \\ \mathcal{V}^\dagger \otimes_{O_Y} O_y &= \begin{cases} \rho_i \oplus \rho_0 & (y \in E_i \setminus \cup_{j \neq i} E_j) \\ \rho_i \oplus \rho_j \oplus \rho_0 & (y \in E_i \cap E_j, i \neq j). \end{cases} \end{aligned}$$

We note  $E_i = C(\rho_i)$  and  $\text{Gen}(I_{Z_y}) = I_{Z_y}/\mathfrak{m}I_{Z_y}$  in Theorem 1.1.

*Proof of Theorem 5.5 and Corollary 5.6.* By Lemma 5.4, we have

$$\begin{aligned} \mathcal{I}/\mathfrak{m}\mathcal{I} &= p_*(\mathbf{L}\pi_U^*(O_0) \otimes_{O_{Y \times_k U}}^{\mathbf{L}} \mathcal{I}) \simeq \sum_{\rho: \text{irred.}} \Psi_{\mathcal{I}}(O_0 \otimes_k \rho^*) \otimes \rho \\ &\simeq \bigoplus_{i=1}^n O_{E_i}(-1) \otimes_k \rho_i \bigoplus O_Y(-F) \otimes_k \rho_0, \end{aligned}$$

which is an isomorphism in  $D_c(Y)$ . However since the rhs is concentrated to degree zero only, it is an isomorphism of  $O_Y$ -modules. It follows

$$\begin{aligned} \mathcal{V}^\dagger &= \mathcal{I}/(\mathfrak{m}\mathcal{I} + I_F\mathcal{I}) \simeq (\mathcal{I}/\mathfrak{m}\mathcal{I}) \otimes_{O_Y} O_F \\ &\simeq \bigoplus_{i=1}^n O_{E_i}(-1) \otimes_k \rho_i \bigoplus O_F(-F) \otimes_k \rho_0. \end{aligned}$$

It remains to compute  $\mathcal{V}$ . By Lemma 5.2.4,

$$\begin{aligned} I_{Z_y}/\mathfrak{m}I_{Z_y} &= \bigoplus_{i=1}^n O_{E_i}(-1) \otimes_{O_Y} O_y \otimes_k \rho_i \bigoplus O_y(-F) \otimes_k \rho_0, \\ I_{Z_y}/(\mathfrak{m}I_{Z_y} + \mathfrak{n}) &\simeq \bigoplus_{i=1}^n O_{E_i}(-1) \otimes_{O_Y} O_y \otimes_k \rho_i \end{aligned}$$

where every generator of  $O_F(-F) \otimes_k \rho_0 \subset \mathcal{V}^\dagger$  is the image of a  $G$ -invariant polynomial in  $\mathcal{I}$ , which reduces to zero in  $\mathcal{V}$  because  $\mathfrak{m} \cap k[x, y]^G \subset \mathfrak{n}$ . Hence

$$\mathcal{V} = \bigoplus_{i=1}^n O_{E_i}(-1) \otimes_k \rho_i.$$

This proves Theorem 5.5 and Corollary 5.6.  $\square$

## 6. THE CASE $D_5$

In this section we discuss the case  $D_5$  in detail.

**6.1. The binary dihedral group  $\mathbf{D}_3$ .** Let  $S = k[x, y]$  and  $U = \mathbf{A}_k^2 = \text{Spec } S$ . The simple singularity  $D_5$  is the quotient singularity of  $U$  by the binary dihedral group  $G := \mathbf{D}_3$  of order 12, which is generated by  $\sigma$  and  $\tau$ :

$$\sigma = \begin{pmatrix} \epsilon & 0 \\ 0 & \epsilon^{-1} \end{pmatrix}, \quad \tau = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix},$$

where  $\epsilon := e^{2\pi i/6}$ . We have  $\sigma^6 = \tau^4 = 1$ ,  $\sigma^3 = \tau^2$  and  $\tau\sigma\tau^{-1} = \sigma^{-1}$ . The group  $G$  acts on  $U$  from the right by  $(x, y) \mapsto (x, y)g$  for  $g \in G$ , hence,  $\tau$  acts on the ring  $S$  is defined to be  $\tau(x) = -y$  and  $\tau(y) = x$ . The ring of  $G$ -invariants in  $S$  is generated by three elements

$$A_6 := x^6 + y^6, \quad A_8 := xy(x^6 - y^6), \quad A_4 := x^2y^2.$$

The quotient  $U/G$  is isomorphic to the hypersurface  $4A_4^4 + A_8^2 - A_4A_6^2 = 0$ . Let  $\mathfrak{n}$  be the ideal of  $S$  generated by  $A_4$ ,  $A_6$  and  $A_8$ .

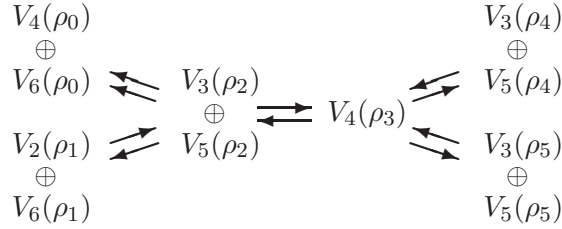
$\rho$	$\text{Tr } \rho$	1	$\sigma$	$\tau$
$\rho_0$	$\chi_0$	1	1	1
$\rho_1$	$\chi_1$	1	1	-1
$\rho_2$	$\chi_2$	2	1	0
$\rho_3$	$\chi_3$	2	-1	0
$\rho_4$	$\chi_4$	1	-1	$i$
$\rho_5$	$\chi_5$	1	-1	$-i$

TABLE 1. Character table of  $\mathbf{D}_3$  (of type  $D_5$ )

**6.2. The coinvariant algebra of  $D_5$ .** We consider the case of  $D_5$ . Let the coinvariant algebra of  $D_5$  be  $\text{Coinv}(D_5) := S/\mathfrak{n}$ . The irreducible decompositions of  $S$  and  $\text{Coinv}(D_5)$  are given in Table 2.

The algebra  $\text{Coinv}(D_5)$  admits a quiver structure induced from multiplication of the symmetric algebra, which is given by Figure 1. This gives the extended Dynkin diagram  $\tilde{D}_5$ . See Subsec. 6.4. It is clear that one has the Dynkin diagram (that is, the dual graph) of the exceptional set of the minimal resolution  $Y := \text{Hilb}^G(U)$  by removing the vertex at  $\rho_0$ .

$k$	$S_k$	$S_k(S/\mathfrak{n})$
0	$\rho_0$	$\rho_0$
1	$\rho_2$	$\rho_2$
2	$\rho_1 + \rho_3$	$\rho_1 + \rho_3$
3	$\rho_2 + \rho_4 + \rho_5$	$\rho_2 + \rho_4 + \rho_5$
4	$\rho_0 + 2\rho_3$	$2\rho_3$
5	$2\rho_2 + \rho_4 + \rho_5$	$\rho_2 + \rho_4 + \rho_5$
6	$\rho_0 + 2\rho_1 + 2\rho_3$	$\rho_1 + \rho_3$
7	$3\rho_2 + \rho_4 + \rho_5$	$\rho_2$

TABLE 2. Irreducible decompositions of  $S$  and  $\text{Coinv}(D_5)$ FIGURE 1. Quiver structure of  $D_5$ 

Contrary to the ordinary simply-laced diagrams, we draw the extended Dynkin diagram as in Figure 1. See also [20]. In the rest of this section we explain this extended Dynkin diagram of  $D_5$  by applying Subsection 4.4. In what follows, let  $E(\rho_i) = E_i$ , which is the same as  $C(\rho_i)$  in [15].

**6.3. The curves  $E(\rho_i)$  and the points  $P(\rho_i, \rho_j)$ .** Let

$$\begin{aligned}
V_2(\rho_1) &= \{xy\}, & V_6(\rho_1) &= \{x^6 - y^6\}, \\
V_3(\rho_2) &= \{x^2y, -xy^2\}, & V_5(\rho_2) &= \{y^5, -x^5\},
\end{aligned}$$

where  $\{a_1, a_2, \dots\}$  denotes the  $k$ -vector space spanned by  $a_1, a_2, \dots$ .

Following [15] we define for a nonzero  $G$ -submodule  $W$  of  $V_2(\rho_1) + V_6(\rho_1)$ ,

$$I_1(W) = W + \sum_{k=1}^5 S_k V_2(\rho_1) + \mathfrak{n}.$$

We see that

$$\begin{aligned}
E(\rho_1) &= \{I_1(W); \rho_1 \simeq W \subset V_2(\rho_1) \oplus V_6(\rho_1)\}, \\
P(\rho_1, \rho_2) &= \{I_1(V_6(\rho_1))\} = \{V_6(\rho_1)S + V_3(\rho_2)S + \mathfrak{n}\}.
\end{aligned}$$

Thus the subset  $E(\rho_1)$  is identified with  $\mathbf{P}(V_2(\rho_1) \oplus V_6(\rho_1))$ , a smooth rational curve consisting of all nontrivial  $G$ -submodules of  $V_2(\rho_1) \oplus V_6(\rho_1)$ .

Similarly we see

$$\begin{aligned} E(\rho_2) &= \{I_2(W); \rho_2 \simeq W \subset V_3(\rho_2) \oplus V_5(\rho_2)\}, \\ P(\rho_2, \rho_3) &= \{I_2(V_5(\rho_2))\} = \{V_5(\rho_2)S + S_1 \cdot V_3(\rho_2)S + \mathfrak{n}\}, \end{aligned}$$

where

$$I_2(W) = W + \sum_{k=1}^5 S_k V_3(\rho_2) + \sum_{k=1}^5 S_k V_5(\rho_2) + \mathfrak{n}.$$

**6.4. The extended Dynkin diagram.** The list of generators of  $\text{Gen}(I_y)$  ( $y \in E$ ) is given by

$$\begin{aligned} V_4(\rho_0) \oplus V_6(\rho_0) &= \{x^2 y^2\} \oplus \{x^6 + y^6\}, \\ V_2(\rho_1) \oplus V_6(\rho_1) &= \{xy\} \oplus \{x^6 - y^6\}, \\ V_3(\rho_2) \oplus V_5(\rho_2) &= \{x^2 y, -xy^2\} \oplus \{y^5, -x^5\}, \\ V_4(\rho_3) &= \{y^4, x^4\} \oplus \{x^3 y, -xy^3\}, \\ V_3(\rho_4) \oplus V_5(\rho_4) &= \{x^3 + iy^3\} \oplus \{xy(x^3 - iy^3)\}, \\ V_3(\rho_5) \oplus V_5(\rho_5) &= \{x^3 - iy^3\} \oplus \{xy(x^3 + iy^3)\}, \end{aligned}$$

where we set  $V_4'(\rho_3) = \{y^4, x^4\}$  and  $V_4''(\rho_3) = \{x^3 y, -xy^3\}$ .

Let  $A$  and  $B$  be one of the  $G$ -modules in the above list. Then we draw an arrow from  $A$  to  $B$  if and only if  $S_1 \cdot A$  contains  $B$  in  $\text{Gen}(I_y)$  for some  $y \in \text{Sing}(F_{\text{red}})$ . Thus we have Figure 1 with double *directed* arrows which describes the quiver structure.

Now we explain Figure 1 in detail. For instance, two arrows starting from  $V_3(\rho_2)$  in Figure 1 are induced from  $S_1 \cdot V_3(\rho_2) = V_4(\rho_0) \oplus V_4''(\rho_3)$ . Geometrically, this happens at the point  $y := P(\rho_2, \rho_3)$ , where we see

$$\begin{aligned} I_y &= V_5(\rho_2)S + S_1 \cdot V_3(\rho_2)S, \\ \mathcal{V}^\dagger \otimes_{O_Y} O_y = \text{Gen}(I_y) &= V_5(\rho_2) \oplus V_4''(\rho_3) \oplus V_4(\rho_0). \end{aligned}$$

This gives the arrows from  $V_3(\rho_2)$  to  $V_4(\rho_0)$  and  $V_4(\rho_3)$ .

The ideal  $I_y$  is also given by

$$I_y = V_4''(\rho_3)S + S_1 \cdot V_4'(\rho_3)S + V_4(\rho_0)S,$$

because

$$S_1 \cdot V_4'(\rho_3) = V_5(\rho_2) \oplus V_5(\rho_4) \oplus V_5(\rho_5).$$

Since  $S_1 \cdot V_4(\rho_0) = 0$  in  $\text{Gen}(I_y)$ , we draw the arrows from  $V_4(\rho_3)$  to  $V_5(\rho_j)$  ( $j = 2, 4, 5$ ). The other arrows from or to  $V_4(\rho_3)$  are also drawn by (the local structure near) the points  $P(\rho_3, \rho_4)$  and  $P(\rho_3, \rho_5)$ .

The point  $z = P(\rho_1, \rho_2)$  is given by the ideal  $I_z$  with  $\text{Gen}(I_z)$  as below:

$$\begin{aligned} I_z &= V_6(\rho_1)S + S_1 \cdot V_2(\rho_1)S, \\ \mathcal{V}^\dagger \otimes_{O_Y} O_z = \text{Gen}(I_z) &= V_6(\rho_1) \oplus V_3(\rho_2) \oplus V_6(\rho_0). \end{aligned}$$

Since  $S_1 \cdot V_2(\rho_1) = V_3(\rho_2)$ , we draw an arrow from  $V_2(\rho_1)$  to  $V_3(\rho_2)$ . Meanwhile the ideal  $I_z$  is also given by

$$I_z = V_3(\rho_2)S + S_1 \cdot V_5(\rho_2)S,$$

whence we draw the arrows from  $V_5(\rho_2)$  to  $V_6(\rho_1)$  and  $V_6(\rho_0)$ . Note that  $S_1 \cdot V_5(\rho_2) = V_6(\rho_0) \oplus V_6(\rho_1) \oplus V_6(\rho_3)$ , where  $V_6(\rho_3) = 0$  in  $\text{Gen}(I_z)$  because  $V_6(\rho_3) \subset V_3(\rho_2)S$ . Therefore we draw no arrows from  $V_5(\rho_2)$  to  $V_6(\rho_3)$  in Figure 1.

**Remark 6.5.** The similar quiver structure for the other rational double singularity is observed by using [15, Tables 7, 10, 13, 17] where we note that the invariant ring in the  $E_8$ -case is generated by polynomials of degree 12, 20 and 30 [15, p. 223]. See also [20].

**6.6. The cup product at  $P(\rho_2, \rho_3)$ .** Now we apply Subsection 4.4 to explain the above process, by taking up  $y = P(\rho_2, \rho_3)$  especially. We recall

$$\begin{aligned} \text{Soc}(O_{Z_y}) &= V_3(\rho_2) \oplus V_4'(\rho_3), \\ I_y &= V_5(\rho_2)S + S_1 \cdot V_3(\rho_2)S, \\ \text{Gen}(I_y) &= V_5(\rho_2) \oplus V_4''(\rho_3) \oplus V_4(\rho_0). \end{aligned}$$

At the point  $y = P(\rho_2, \rho_3)$ , we saw

$$S_1 \cdot V_3(\rho_2) = V_4''(\rho_3) \oplus V_4(\rho_0),$$

where  $\dim_k S_1 V_3(\rho_2) = 3$  in contrast with  $\dim(\rho_{\text{nat}} \otimes_k \rho_2) = 4$ . This explains the two arrows from  $V_3(\rho_2)$  to  $V_4(\rho_0)$  and  $V_4(\rho_3)$  in Figure 1, and the nonexistence of arrows from  $V_3(\rho_2)$  to  $V_5(\rho_2) \subset \text{Gen}(I_y)$ .

Now we shall explain this fact using Theorem 4.11. In what follows, for  $\rho \in \text{Irr}(G)$  we denote  $O_0 \otimes_k \rho \in D_c^G(U)$  simply by  $\rho$  if no confusion is possible. We also use the following notation:

$$\begin{aligned} \text{Ext}^k(A, B) &:= \text{Hom}_{D_c(Y)}^k(A, B), \\ \text{Ext}^k(C, D) &:= \text{Hom}_{D_c^G(U)}^k(C, D), \end{aligned}$$

for  $A, B \in D_c(Y)$  and  $C, D \in D_c^G(U)$ .

Following Subsec. 4.4, we consider the cup products

$$\begin{aligned} \theta_{2,2} &: \text{Ext}^0(\rho_2, O_{Z_y}) \times \text{Ext}^1(O_{Z_y}, \rho_2) \longrightarrow \text{Ext}^1(\rho_2, \rho_2) \\ \theta_{2,3} &: \text{Ext}^0(\rho_2, O_{Z_y}) \times \text{Ext}^1(O_{Z_y}, \rho_3) \longrightarrow \text{Ext}^1(\rho_2, \rho_3) \\ \theta_{2,0} &: \text{Ext}^0(\rho_2, O_{Z_y}) \times \text{Ext}^1(O_{Z_y}, \rho_0) \longrightarrow \text{Ext}^1(\rho_2, \rho_0) \end{aligned}$$

Let  $F_i := O_{E(\rho_i)}(-1)$ . These are translated into the cup product as in Subsec. 4.4:

$$\begin{aligned} \theta_{2,2} &: \text{Ext}^1(O_y, F_2) \times \text{Ext}^0(F_2, O_y) \longrightarrow \text{Ext}^1(F_2, F_2) \\ \theta_{2,3} &: \text{Ext}^1(O_y, F_2) \times \text{Ext}^0(F_3, O_y) \longrightarrow \text{Ext}^1(F_3, F_2) \\ \theta_{2,0} &: \text{Ext}^1(O_y, F_2) \times \text{Ext}^1(O_F, O_y) \longrightarrow \text{Ext}^2(O_F, F_2) \end{aligned}$$

Then by Lemmas 4.10 and 4.9, we see

$$\begin{aligned}\mathrm{Ext}^1(\rho_2, \rho_2) &\simeq \mathrm{Ext}^1(F_2, F_2) = 0, \\ \mathrm{Ext}^1(\rho_2, \rho_3) &\simeq \mathrm{Ext}^1(F_3, F_2) = k, \\ \mathrm{Ext}^1(\rho_2, \rho_0) &\simeq \mathrm{Ext}^2(O_F, F_2) = k,\end{aligned}$$

and

$$\theta_{2,2} = 0, \theta_{2,3} \neq 0, \theta_{2,0} \neq 0.$$

By Theorem 4.11, this is equivalent to the following relation in  $O_U/\mathfrak{m}I_y$ :

$$S_1 \cdot V_3(\rho_2) \cap V_5(\rho_2) = \{0\}, \quad S_1 \cdot V_3(\rho_2) = V_4''(\rho_3) \oplus V_4(\rho_0).$$

where  $V_4''(\rho_3) = \mathrm{Gen}(I_y)[\rho_3]$ , the  $\rho_3$ -part of  $\mathrm{Gen}(I_y)$ , which is disjoint from  $V_4'(\rho_3) = \mathrm{Soc}(O_{Z_y})[\rho_3]$ , the  $\rho_3$ -part of  $\mathrm{Soc}(O_{Z_y})$ .

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