

Hesse cubics and GIT stability

Iku Nakamura

(Hokkaido University)

2014 Feb. 17, Lakeside Lecture

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Aim

- To compactify the moduli space of abelian var. by $SQ_{g,K}$

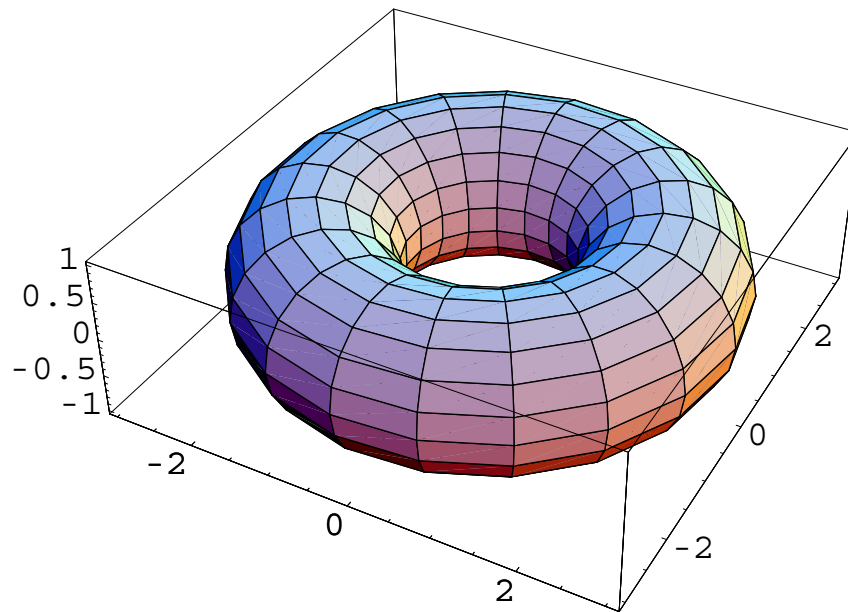
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1 Hesse cubic curves

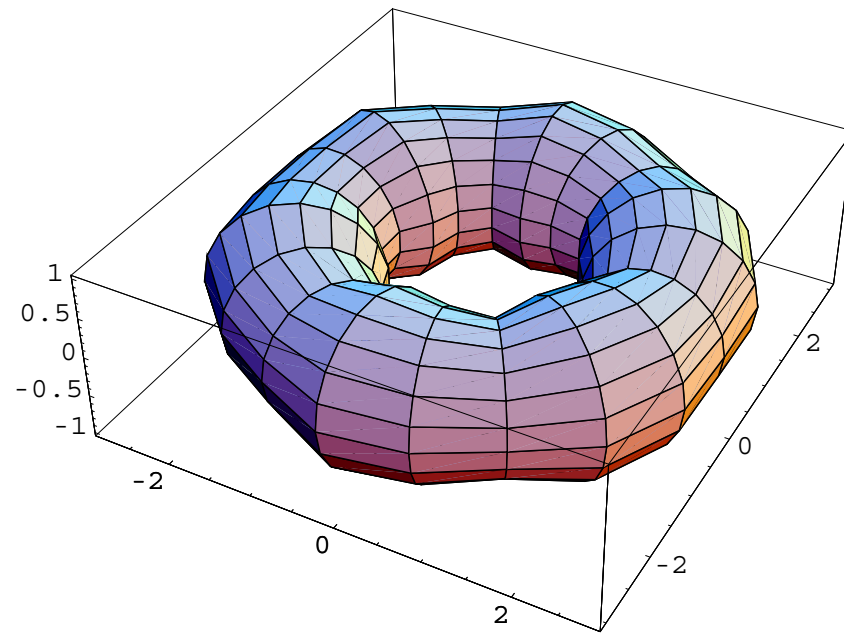
$$C(\mu) : x_0^3 + x_1^3 + x_2^3 - 3\mu x_0 x_1 x_2 = 0$$

$$(\mu \in \mathbb{P}_C^1)$$



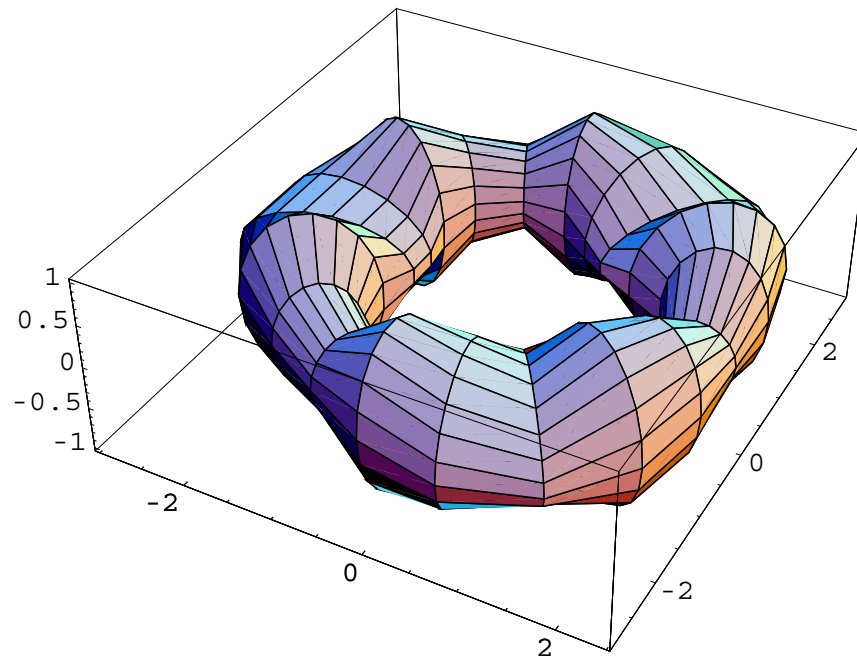
$$x_0^3 + x_1^3 + x_2^3 - 3\mu x_0 x_1 x_2 = 0$$

if μ gets closer to ∞



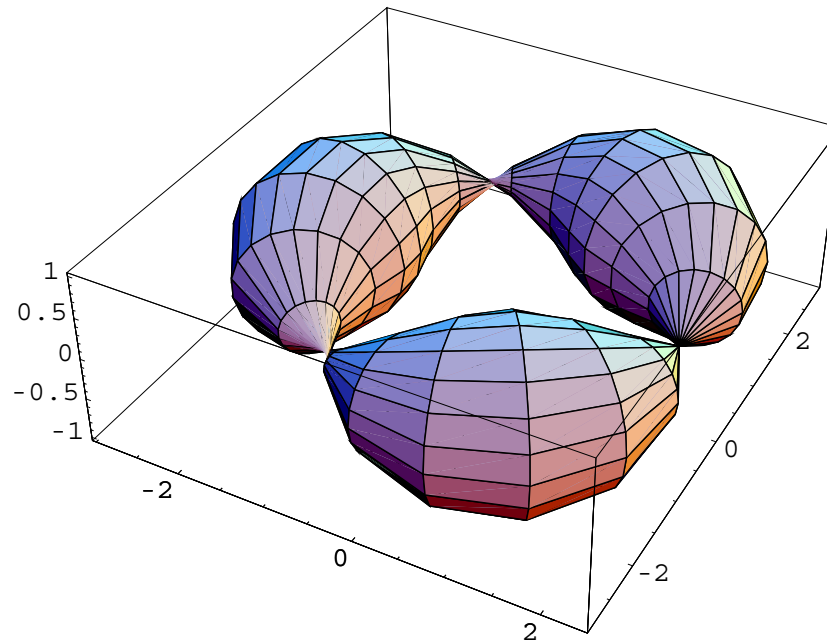
$$x_0^3 + x_1^3 + x_2^3 - 3\mu x_0 x_1 x_2 = 0 \quad (\mu \in \mathbb{C})$$

if μ gets much closer to ∞



$$x_0^3 + x_1^3 + x_2^3 - 3\mu x_0 x_1 x_2 = 0 \quad (\mu^3 = 1 \text{ or } \infty)$$

It degenerates into 3 copies of \mathbb{P}^1 ($= S^2$)



2 Moduli of cubic curves

Thm 1 (Hesse 1849)

- (1) Any nonsing. cubic curve is transformed into $C(\mu)$ under $SL(3)$, $(\mu^3 \neq 1, \infty)$
- (2) $C(\mu)$ has 9 flexes
 $[1 : -\beta : 0], [0 : 1 : -\beta], [-\beta : 0 : 1]$ ($\beta \in \{1, \zeta_3, \zeta_3^2\}$)
- (3) $C(\mu)$ and $C(\mu')$ are isomorphic with 9 points fixed if and only if $\mu = \mu'$

Thm 2 (classical form) (Hesse 1849)

$$A_{1,3} := \{\text{nonsing. cubics with 9 flexes}\} / \text{isom.}$$

$$= \{C(\mu); \mu^3 \neq 1, \infty\} \simeq \mathbb{C} \setminus \{1, \zeta_3, \zeta_3^2\}$$

$$SQ_{1,3} := \overline{A_{1,3}}$$

$$= \{\text{stable cubics with 9 flexes}\} / \text{isom.}$$

$$= \{\text{Hesse cubics } C(\mu)\} / \text{isom=id}$$

$$= A_{1,3} \cup \{C(\mu); \mu^3 = 1 \text{ or } \infty\} \simeq \mathbb{P}^1$$

$$= \{\text{moduli of compact objects}\}$$

We wish to extend this to arbitrary dimension

1. over $\mathbb{Z}[\zeta_N, 1/N]$, ζ_N : N -th root of 1
2. to construct a projective fine moduli $SQ_{g,K}$
of compact objects PSQASes,
3. **GIT stable objects = PSQASes**:

Projectively **S**tably **Q**uasi **A**belian **S**cheme

3 Tate curve and PSQAS

The Tate curve over CDVR R (e.g. $k[[q]]$, \mathbb{Z}_p)

$$X : y^2 = x^3 - x^2 + q$$

The fibre $X_0 : y^2 = x^2(x - 1)$ for $q = 0$

$$X_0 \setminus \{\text{sing. pt}\} = \mathbb{C}^*$$

Hesse cubics over CDVR R

$$Y_q : q(x_0^3 + x_1^3 + x_2^3) = x_0x_1x_2$$

The fibre $Y_0 : x_0x_1x_2 = 0$ for $q = 0$,

$$Y_0 \setminus \{\text{sing. pts}\} = \mathbb{C}^* \times (\mathbb{Z}/3\mathbb{Z})$$

R :CDVR, $L = \text{Frac}(R) = R[1/q]$, q uniformizer.

(e.g. $R = k[[q]]$, $L = k((q))$)

Tate curve : $G_m(L)/w \mapsto qw$

Hesse cubics at ∞ : $G_m(L)/w \mapsto q^3w$

Rewrite Tate curve as $G_m(L)/w^n \mapsto q^{mn}w^n$ ($n \in \mathbb{Z}$)

Hesse cubics at ∞ : $G_m(L)/w^n \mapsto q^{3mn}w^n$ ($n \in \mathbb{Z}$)

The general case : B pos. def. symmetric

$$G_m(L)^g/w^x \mapsto q^{B(x,y)}b(x,y)w^x,$$

$$b(x,y) \in L^\times \quad (x \in X = \mathbb{Z}^g, y \in Y = \mathbb{Z}^g)$$

The general case : B pos. def. symmetric

The generic fibre:

$$\mathbf{G}_m(L)^g / w^x \mapsto q^{B(x,y)} b(x,y) w^x,$$

$$b(x,y) \in L^\times \quad (x \in X = \mathbf{Z}^g, y \in Y = \mathbf{Z}^g)$$

PSQAS is the closed fibre of it

Projectively Stable Quasi Abelian Scheme

This is a generalization of Hesse cubics.

What do they look like ?

4 The shape of PSQASes — Delaunay decompositions

”Limits of theta functions are described by
Delaunay decomp of B .”

PSQAS is a geometric limit of thetas

PSQAS is a generalization of 3-gons.

The general case : B pos. def. symmetric

$$G_m(\mathbb{R})^g / w^x \mapsto q^{B(x,y)} b(x,y) w^x,$$

$$b(x,y) \in \mathbb{R}^\times \quad (x \in X = \mathbb{Z}^g, y \in Y = \mathbb{Z}^g)$$

PSQAS is the closed fiber of it.

Let $X = \mathbb{Z}^g$, B a positive symmetric on $X \times X$.

$$\|x\| = \sqrt{B(x, x)} : \text{a distance of } X \otimes \mathbb{R} \text{ (fixed)}$$

Def 3 Let $\alpha \in X_{\mathbb{R}}$.

$D(\alpha)$: a Delaunay cell :=

the convex closure of points of X closest to α .

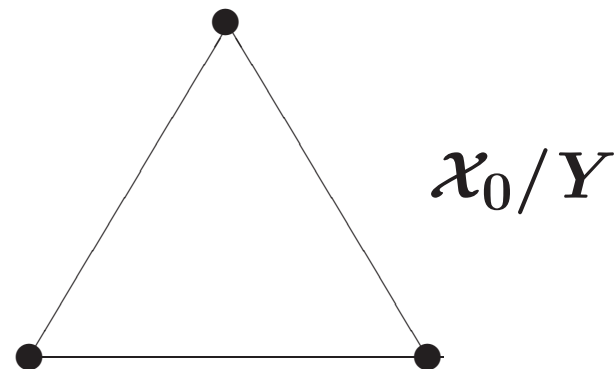
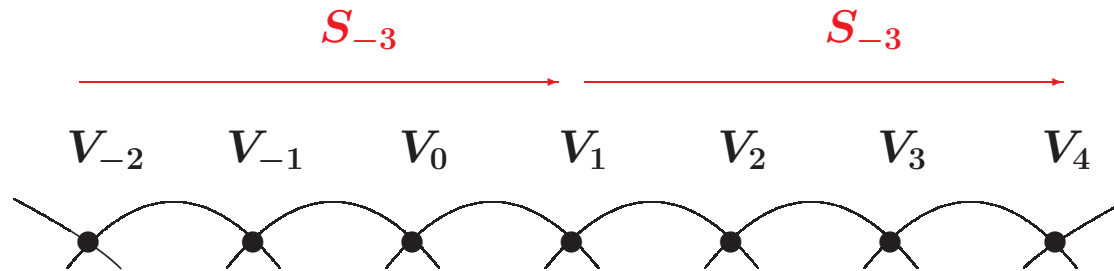
Exam 4 1-dim. $B(x, y) = 2xy$, $X = \mathbb{Z}$, $Y = n\mathbb{Z}$,

then PSQAS Z_0 is an n -gon of \mathbb{P}^1



Exam 5 $g = 1, X = \mathbb{Z}, Y = 3\mathbb{Z}.$

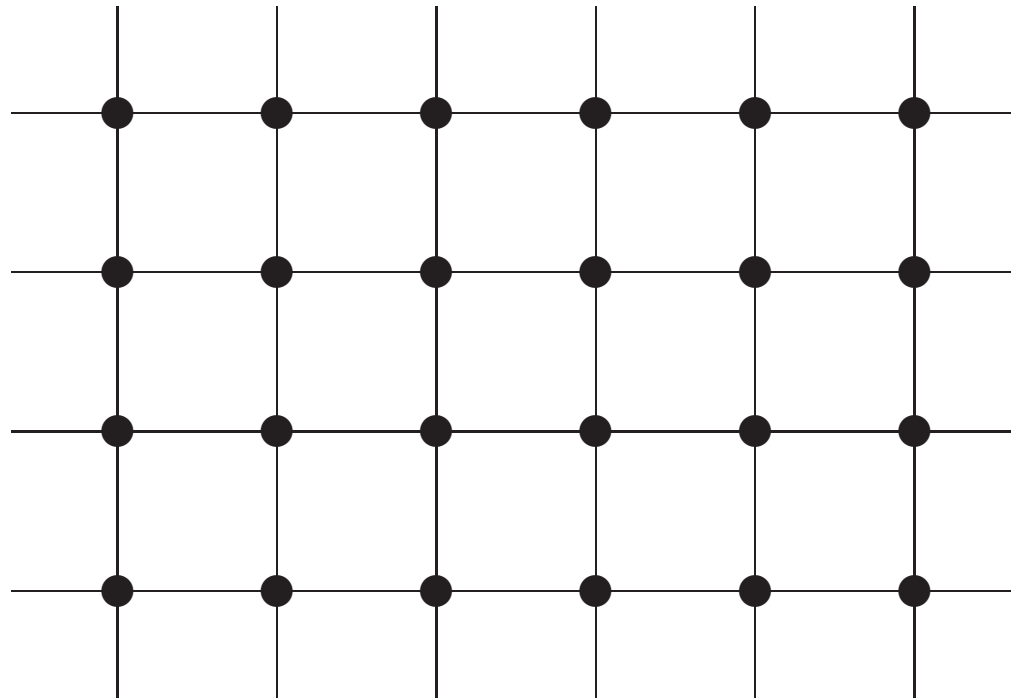
$$\mathcal{X} = \text{Proj}(\tilde{R}), \quad a(x) = q^{x^2}, \quad (x \in X)$$



- Each PSQAS (its scheme structure) and its decomposition into torus orbits (its stratification) are described by Delaunay decomp.
- Each pos. symm. B defines a Delaunay decomp.
- Different B can yield the same Delaunay decomp. and the same PSQAS.

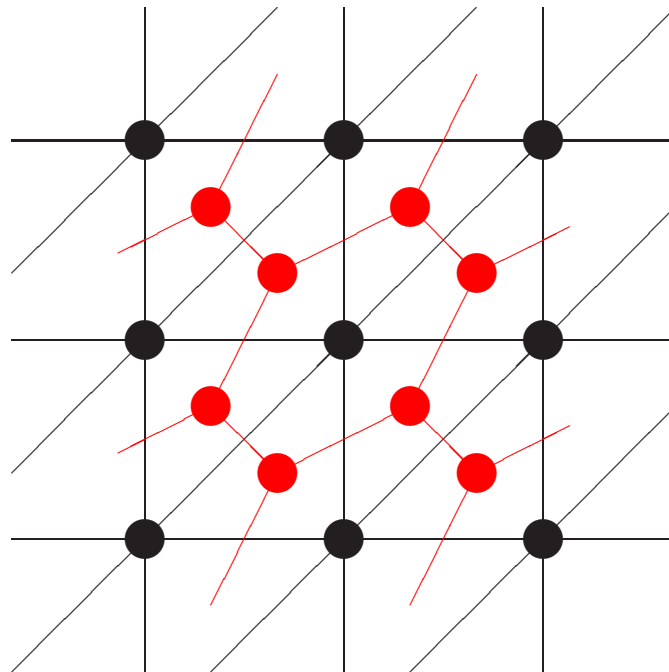
Exam 6 $B = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$

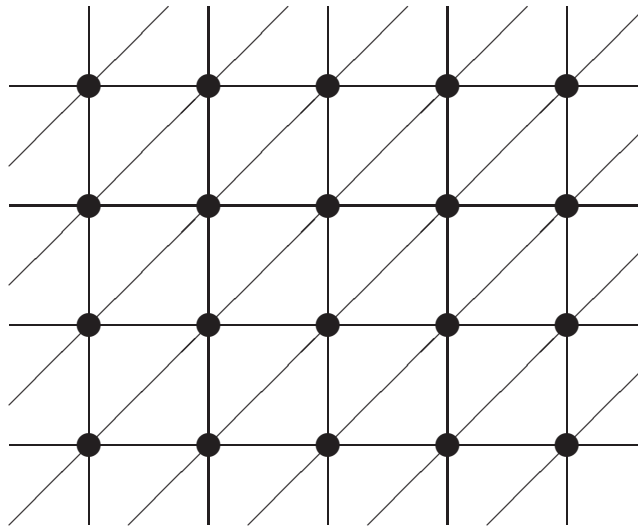
$Z_0 := \mathcal{X}_0/Y$ is a union of $\mathbb{P}^1 \times \mathbb{P}^1$



Exam 7

$$B = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$$





- This (mod Y) is a PSQAS.

It is a union of \mathbb{P}^2 , each triangle stands for \mathbb{P}^2 ,

- each line segment is a \mathbb{P}^1 , two \mathbb{P}^2 intersect along \mathbb{P}^1
- six \mathbb{P}^2 meet at a point,

locally $k[x_1, \dots, x_6]/(x_i x_j, |i - j| \geq 2)$

We re-start with

Thm 8 Over $\mathbb{Z}[\zeta_3, 1/3]$

$A_{1,3} := \{\text{nonsing. cubics with 9 inflection pts}\} / \text{isom.}$

$\overline{A_{1,3}} := \{\text{stable cubics with 9 inflection pts}\} / \text{isom.}$

$= \{\text{Hesse cubics}\} / \text{isom=id}$

$= A_{1,3} \cup \left\{ C(\mu); \mu^3 = 1 \text{ or } \infty \right\} \simeq \mathbb{P}_{\mathbb{Z}[\zeta_3, 1/3]}^1.$

To construct moduli, consider $G(3)$ -equiv. theory

$G(3)$: Heisenberg group of level 3

5 Heisenberg group $G(3)$

$G(3) = \langle \sigma, \tau \rangle$ acts on V , order $|G(3)| = 27$,

$$V = Rx_0 + Rx_1 + Rx_2,$$

$$\sigma(x_i) = \zeta_3^i x_i, \quad \tau(x_i) = x_{i+1} \quad (i \in \mathbb{Z}/3\mathbb{Z})$$

ζ_3 is a primitive cube root of 1, $R \ni \zeta_3, 1/3$

Fact

- $x_0^3 + x_1^3 + x_2^3, x_0x_1x_2 \in S^3V$ only are $G(3)$ -invariant
- $G(3)$ determines x_i "uniquely" ($\because V:G(3)$ -irred,)
- x_i are **classical theta** over $R = \mathbb{C}$

6 (Classical) Theta functions

$E(\tau)$: an elliptic curve $/\mathbb{C}$

$$E(\tau) = \mathbb{C}/(\mathbb{Z} + \mathbb{Z}\tau)$$

This is the same as

$$E(\tau) = \mathbb{C}^*/w \mapsto wq^6,$$

$$(\text{set } q = e^{2\pi i\tau/6}, \quad w = e^{2\pi iz})$$

6 (Classical) Theta functions

$E(\tau)$: an elliptic curve $/\mathbb{C}$

$$E(\tau) = \mathbb{C}^* / w \mapsto wq^6, \quad w = e^{2\pi iz}, \quad q = e^{2\pi i\tau/6}$$

Def 9 Theta functions ($k = 0, 1, 2$)

$$\theta_k(\tau, z) = \sum_{m \in \mathbb{Z}} q^{(k+3m)^2} w^{k+3m}.$$

The following Θ embeds $E(\tau)$ into \mathbb{P}^2 .

$$\Theta : E(\tau) \ni z \mapsto [x_0, x_1, x_2] = [\theta_0, \theta_1, \theta_2] \in \mathbb{P}^2$$

where $[\theta_0, \theta_1, \theta_2]$ is the ratio of θ_k .

Recall again $w = e^{2\pi iz}$, $q = e^{2\pi i\tau/6}$

$$\theta_k(\tau, z + \frac{1}{3}) = \zeta_3^k \theta_k(\tau, z),$$

$$\theta_k(\tau, z + \frac{\tau}{3}) = (qw)^{-1} \theta_{k+1}(\tau, z),$$

$$[\theta_0, \theta_1, \theta_2](\tau, z + \frac{\tau}{3}) = [\theta_1, \theta_2, \theta_0](\tau, z)$$

σ, τ are their liftings to $GL(3)$,

$z \mapsto z + \frac{1}{3}$ is lifted to $\sigma(\theta_k) = \zeta_3^k \theta_k$

$z \mapsto z + \frac{\tau}{3}$ is lifted to $\tau(\theta_k) = \theta_{k+1}$

$G(3) :=$ the group $\langle \sigma, \tau \rangle$

$$\begin{aligned}
[\sigma, \tau] &= \sigma\tau\sigma^{-1}\tau^{-1} \\
&= \begin{pmatrix} 1 & 0 & 0 \\ 0 & \zeta_3 & 0 \\ 0 & 0 & \zeta_3^2 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ 1 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \zeta_3^2 & 0 \\ 0 & 0 & \zeta_3 \end{pmatrix} \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \\
&= \begin{pmatrix} \zeta_3 & 0 & 0 \\ 0 & \zeta_3 & 0 \\ 0 & 0 & \zeta_3 \end{pmatrix}.
\end{aligned}$$

$G(3) = \langle \sigma, \tau \rangle$ is not commutative.

7 The space of closed orbits

Often in algebraic geometry,

$$\text{moduli} = X/G$$

where X a scheme, $G = \text{PGL}(V)$

To be more precise

X	the set (scheme) of geometric objects
G	the group of isomorphisms
x, x' are isom.	G -orbits are the same $O(x) = O(x')$
X_s	the set of stable objects
X_{ss}	the set of semistable objects
$X_{ss} // G$	"compact moduli"

Exam 10 Action on \mathbb{C}^2 of $G = G_m (= \mathbb{C}^*)$,

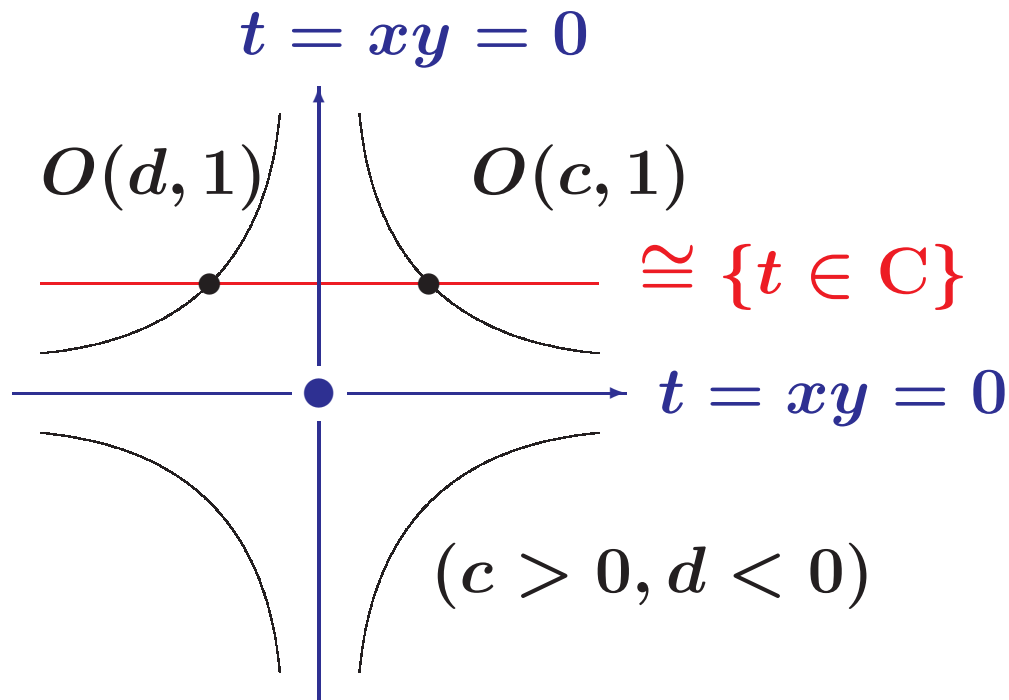
$$\mathbb{C}^2 \ni (x, y) \mapsto (\alpha x, \alpha^{-1}y) \quad (\alpha \in G_m)$$

What is the quotient of \mathbb{C}^2 by G ?

- Simple answer : the set of G -orbits (×)
- Answer : $\text{Spec}(\text{the ring of all } G\text{-invariant poly.})$ ()
- $t := xy$ is the unique G -inv. !

$$\mathbb{C}^2 // G := \text{Spec } \mathbb{C}[t] = \{t \in \mathbb{C}\}$$

But this is different from "the set of G -orbits".



- $t = 0$ is a point of $\mathbb{C} = \mathbb{C}^2 // G = \text{Spec } \mathbb{C}[t]$.
- But $\{xy = 0\}$ consists of three G -orbits

$$\mathbb{C}^* \times \{0\}, \quad \{0\} \times \mathbb{C}^*, \quad \{(0, 0)\}$$
- $\{(0, 0)\}$ is the only **closed orbit** in $\{xy = 0\}$

Thm 11 $\mathbb{C}^2 // G = \{t \in \mathbb{C}\}$ is

the set of all closed orbits.

Thm 12 (Seshadri, Mumford)

G : reductive, acting on a scheme X , (e.g. $G = G_m$).

Let X_{ss} = the set of semistable points. Then

$$\begin{aligned} X_{ss} // G &:= \text{Spec}(\text{all } G\text{-invariants}) \\ &= \text{the set of closed orbits.} \end{aligned}$$

Closed means that **the orbit is closed in X_{ss} .**

The most natural choice is objects with closed orbits.

Def 13 The same notation as before. Let $p \in X$.

- (1) **semistable** if $\exists G$ -inv. homog. poly. F , $F(p) \neq 0$,
- (2) **Kempf-stable** if the orbit $O(p)$ is closed in X_{ss} ,
- (3) **properly-stable** if (2) and $\text{Stab}(p)$ finite.

Rem stable \implies closed orbit \implies semistable

The general theory suggests us to **consider**
only those **objects with closed orbits**

We will see

- Abelian varieties have closed orbits (Kempf), and
- our PSQASes have closed orbits,

Conversely

- **Any degenerate abelian scheme with closed orbit**
is one of our PSQASes
- **This enables us to compactify**
the moduli of abelian varieties.

8 Stable curves of Deligne-Mumford

Def 14 C is a stable curve of a genus g if

- (1) connected projective reduced with finite autom.,
- (2) the singularities of C are like $xy = 0$
- (3) $\dim H^1(O_C) = g$

Let \overline{M}_g : moduli of stable curves of genus g ,

M_g : moduli of nonsing. curves of genus g .

Thm 15 \overline{M}_g compactifies M_g

(Deligne-Mumford 1969)

Definition of stable curves is irrelevant to GIT stability

Nevertheless

Thm 16 The following are equivalent

- (1) C is a stable curve (moduli-stable)
- (2) any Hilbert point of $\Phi_{|mK|}(C)$ is GIT-stable
- (3) any Chow point of $\Phi_{|mK|}(C)$ is GIT-stable

(1) \Leftrightarrow (2) Gieseker 1982 (before Mumford 1977)

(1) \Leftrightarrow (3) Mumford 1977 (suggested by Gieseker 1982)

9 Stability of cubic curves

Cubic cuves	Stability	Stab gp.
smooth elliptic	stable	finite
3-gon	closed orbit	2-dim
a line + a conic (transv.)	semistable	1-dim
irred. with a node	semistable	finite
others	unstable	1-dim

Thm 17 For a cubic C , the following cond. are equiv.

- (1) C has a closed $\mathrm{SL}(3)$ -orbit in $(S^3V)_{ss}$
- (2) C is a Hesse cubic curve, that is, $G(3)$ -invariant
- (3) C is either smooth elliptic or a 3-gon

10 Stability in higher-dim.

Thm 18 (N.1999)

Assume (X, L) is a limit of abelian varieties A
with $\ker(\lambda(L)) = K$, $\lambda(L) : A \rightarrow A^t$ (dual)

Then the following are equivalent:

- (1) X has a closed $\mathrm{SL}(V)$ -orbit in Hilb_{ss} **(GIT-stable)**
- (2) X is invariant under $G(K)$ **($G(K)$ -stable)**
- (3) X is one of our PSQASes **(moduli-stable)**

Thm 19 For **cubics** the following are equiv:

- (1) it has a closed $SL(3)$ -orbit (**GIT-stable**)
- (2) it is a Hesse cubic, that is, $G(3)$ -inv. (**$G(3)$ -stable**)
- (3) it is smooth ell. or a 3-gon. (**moduli-stable**)

Thm 20 Let X be a **degenerate AV**.

The following are equiv. under natural assump.:

- (1) it has a closed $SL(V)$ -orbit (**GIT-stable**)
- (2) X is $G(K)$ -inv (**$G(K)$ -stable**)
- (3) it is a PSQAS (**moduli-stable**)

11 Moduli over $\mathbb{Z}[\zeta_N, 1/N]$

Thm 21 (a new version of the theorem of Hesse)

$$SQ_{1,3} = \mathbb{P}_{\mathbb{Z}[\zeta_3, 1/3]}^1,$$

the projective fine moduli

(1) The universal cubic curve

$$\mu_0(x_0^3 + x_1^3 + x_2^3) - 3\mu_1 x_0 x_1 x_2 = 0$$

where $(\mu_0, \mu_1) \in SQ_{1,3} = \mathbb{P}^1$.

(2) when k is alg. closed and char. $k \neq 3$

$$\begin{aligned}
SQ_{1,3}(k) &= \left\{ \begin{array}{l} \text{closed orbit cubics} \\ \text{with level 3-structure } /k \end{array} \right\} / \text{isom.} \\
&= \left\{ \begin{array}{l} \text{Hesse cubics} \\ \text{with level 3-str. } /k \end{array} \right\} / \text{isom.} = \text{id.} \\
A_{1,3}(k) &= \left\{ \begin{array}{l} \text{closed orbit nonsing. cubics} \\ \text{with level 3-str. } /k \end{array} \right\} / \text{isom.} \\
&= \left\{ \begin{array}{l} \text{nonsing. Hesse cubics} \\ \text{with level 3-structure } /k \end{array} \right\} / \text{isom.} = \text{id.}
\end{aligned}$$

Thm 22 (N. 1999) There exists **the fine moduli** $SQ_{g,K}$

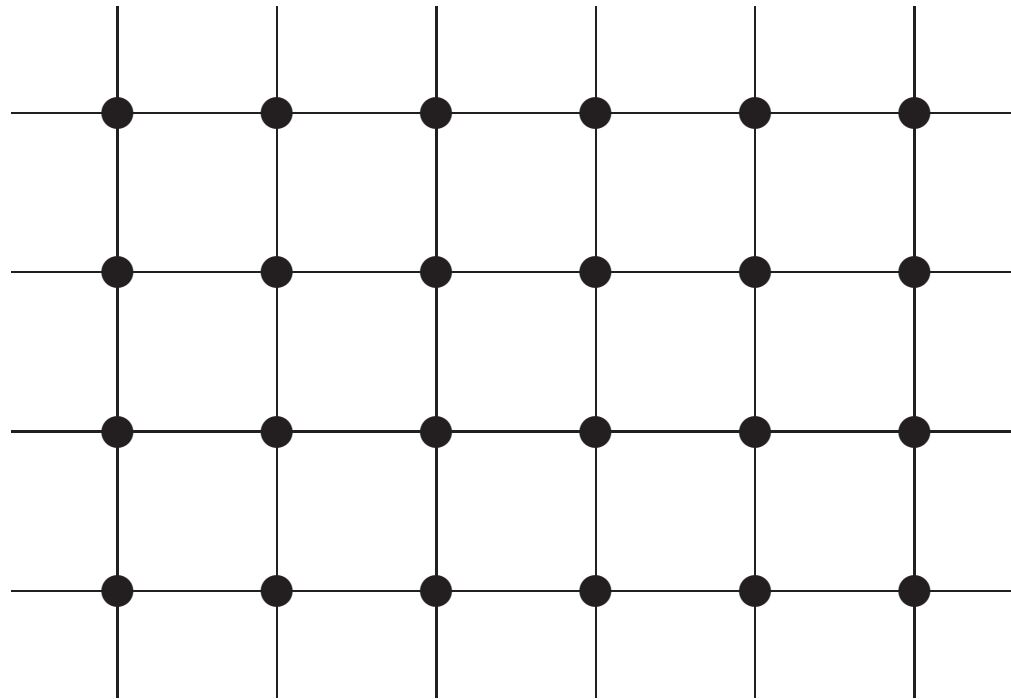
projective over $\mathbf{Z}[\zeta_N, 1/N]$, $N = \sqrt{|K|}$, For k closed

$$\begin{aligned}
 SQ_{g,K}(k) &= \left\{ \begin{array}{l} \text{degenerate abelian schemes } /k \\ \text{with level } G(K)\text{-structure} \end{array} \right\} / \text{isom.} \\
 &= \left\{ \begin{array}{l} G(K)\text{-invariant PSQAS } /k \\ \text{with level } G(K)\text{-structure} \end{array} \right\}, \\
 A_{g,K}(k) &= \left\{ \begin{array}{l} \text{(nonsingular) abelian schemes } /k \\ \text{with level } G(K)\text{-structure} \end{array} \right\} / \text{isom.} \\
 &= \left\{ \begin{array}{l} G(K)\text{-invariant (nonsingular) AS} \\ \text{with level } G(K)\text{-structure} \end{array} \right\}
 \end{aligned}$$

12 Delaunay/Voronoi decompositions

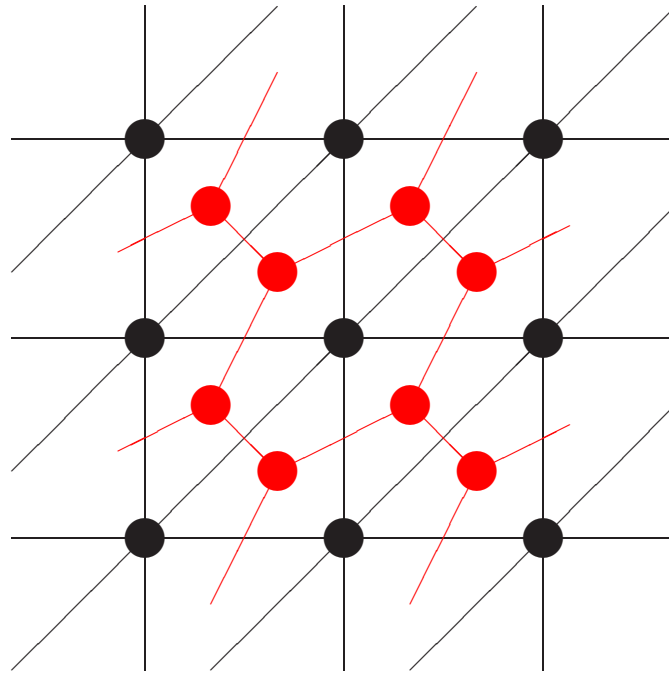
Exam 23

$$B = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$



Exam 24

$$B = \begin{pmatrix} 2 & -1 \\ -1 & 2 \end{pmatrix}$$

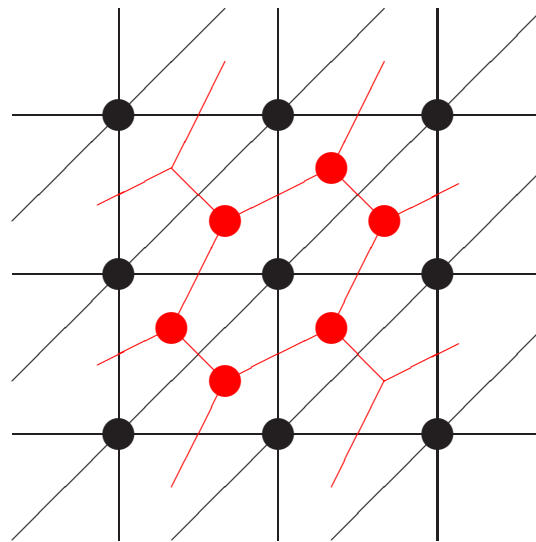


Def 25 D : for Delaunay cells

$$V(D) := \{\lambda \in X \otimes_{\mathbb{Z}} \mathbb{R}; D = D(\lambda)\}$$

We call it a **Voronoi cell**

$$\overline{V(0)} = \{\lambda \in X \otimes_{\mathbb{Z}} \mathbb{R}; \|\lambda\| \leq \|\lambda - q\|, (\forall q \in X)\}$$



This is a crystal of mica.

$$\text{For } B = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

We get $\overline{V(0)}$, a cube (**salt**),

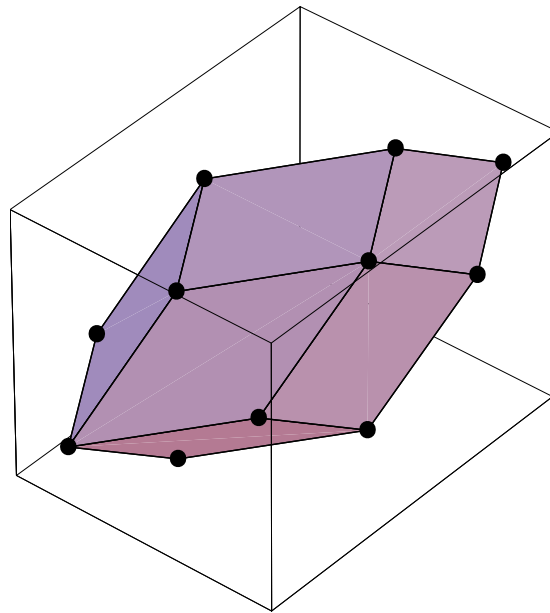
$$\text{For } B = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 2 & -1 \\ 0 & -1 & 2 \end{pmatrix}$$

then we get a hexagonal pillar (**calcite**),

and then

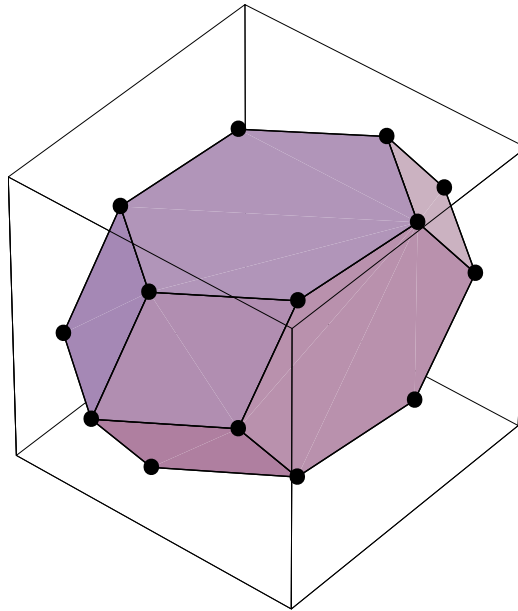
$$B = \begin{pmatrix} 2 & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 \end{pmatrix}$$

A Dodecahedron (**Garnet**)



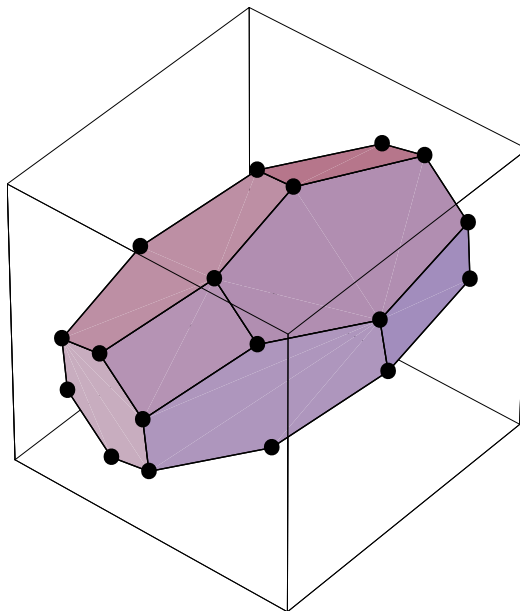
$$B = \begin{pmatrix} 2 & -1 & 0 \\ -1 & 3 & -1 \\ 0 & -1 & 2 \end{pmatrix}$$

Apophyllite $KCa_4(Si_4O_{10})_2F \cdot 8H_2O$



$$B = \begin{pmatrix} 3 & -1 & -1 \\ -1 & 3 & -1 \\ -1 & -1 & 3 \end{pmatrix}$$

A Trunc. Octahed. — **Zinc Blende** ZnS



謝謝 御清聴